

Mirrors, surfaces and maps in advanced gravitational wave detectors

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Ando group seminar

Network of ground-based GW detectors



aLIGO, Hanford, 4km



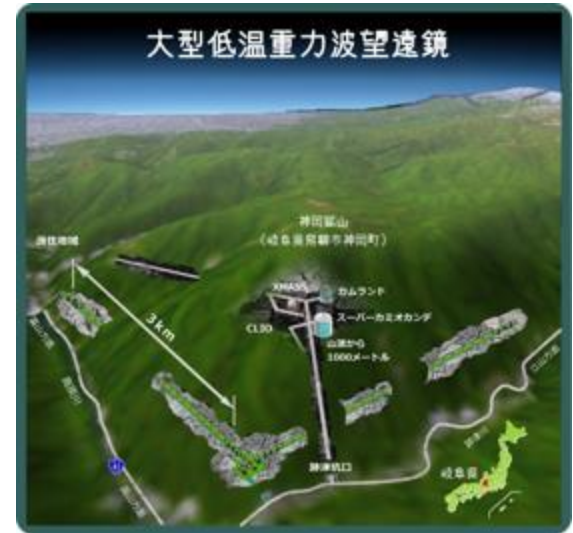
GEO Hannover 600m



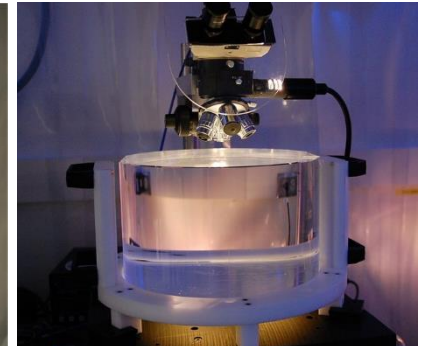
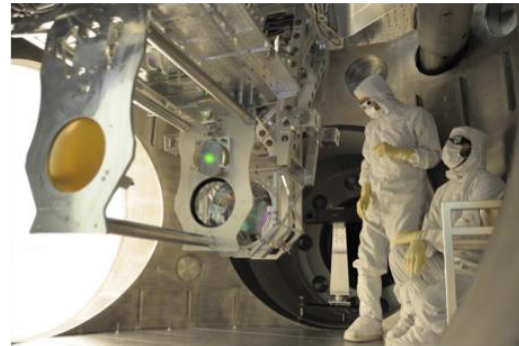
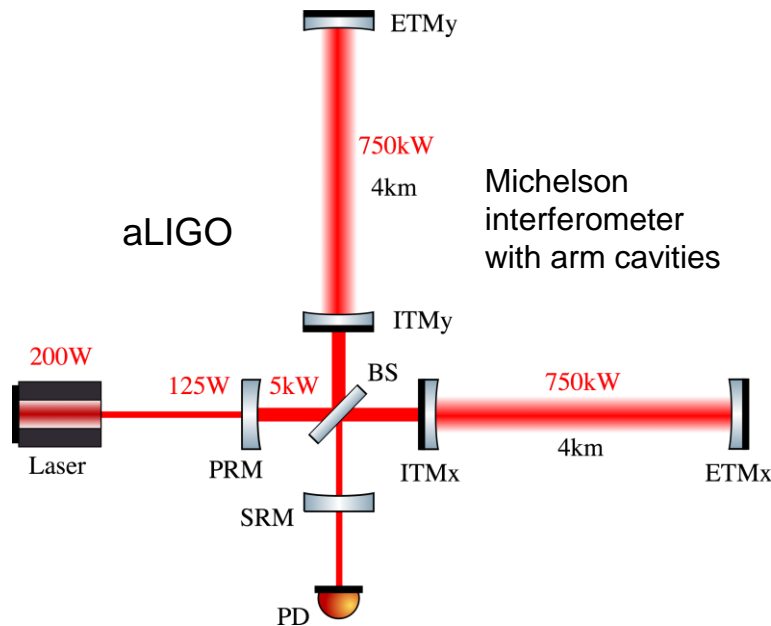
aLIGO, Livingston, 4km



AdVirgo, Cascina, 3km



KAGRA, Kamioka, 3km



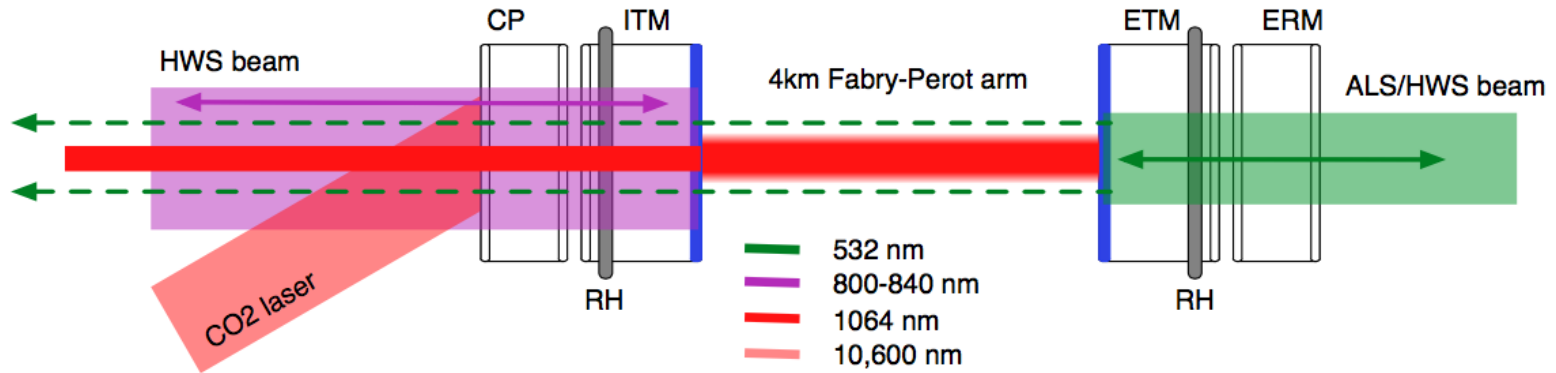
Mirrors (test masses) are critically important for GW detectors!

Key points in this talk

- Thermal modelling of test mass in Advanced LIGO
- Study the behavior of near-unstable cavities
- Simulations with mirror surface maps
- Study of the birefringence effect in KAGRA

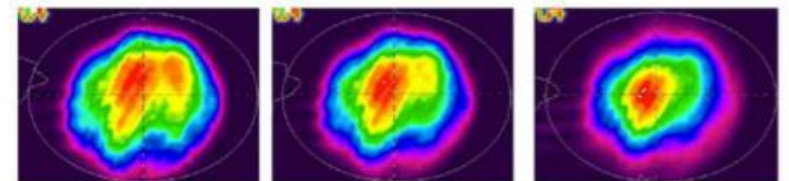
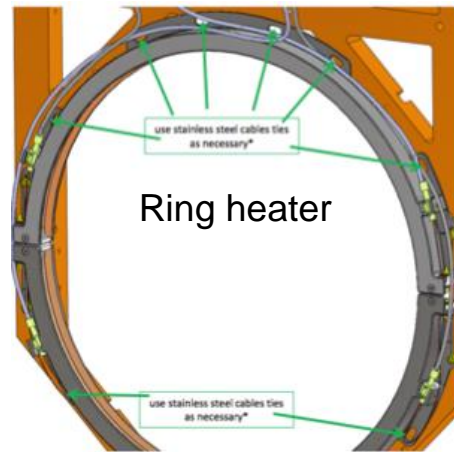
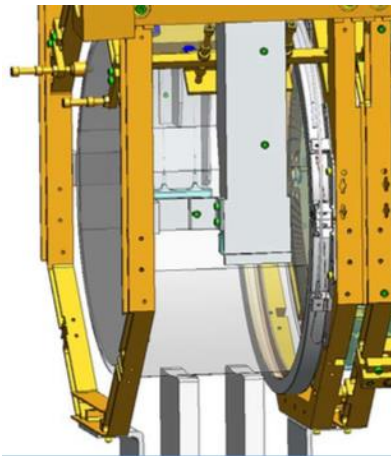
1. Thermal modelling of aLIGO test mass

Thermal compensation system (TCS) in aLIGO



Compensation plate (CP): to compensate thermal lensing of ITM

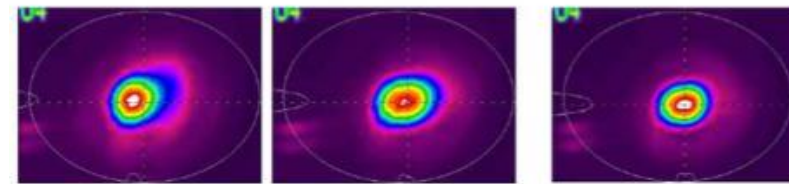
Ring heater (RH): to compensate mirror ROC changes



No Heating

30 mW

60 mW



120 mW

150 mW

180 mW

ROC accuracy: 1m around ROC of ~2000m

1. Thermal modelling of aLIGO test mass

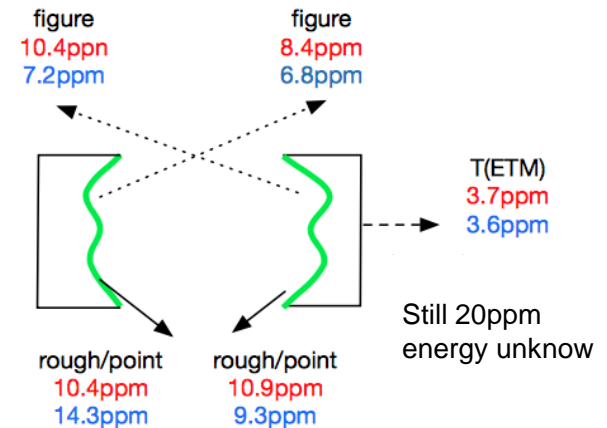
Thermal transient impacts the performance of the high-power (750 kW) interferometer.

- Thermal lensing and expansion affects the control and sensitivity.
- Change of condition of parametric instability.

A good thermal model of test masses can

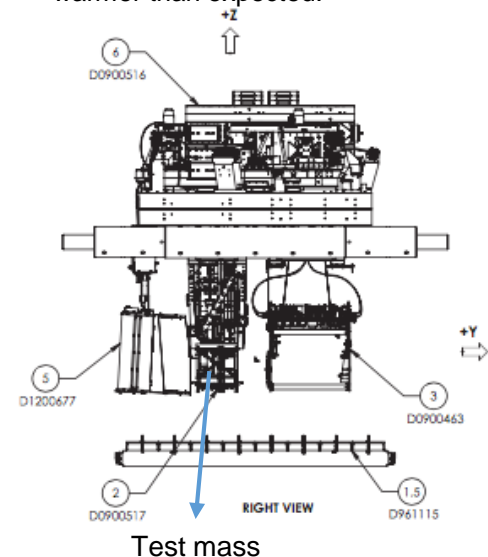
- help mitigate thermal effects
- give instructions for TCS for stable control
- estimate and monitor the coating absorption

But it is not easy to accurately model thermal status of the test mass due to surroundings near the test mass.

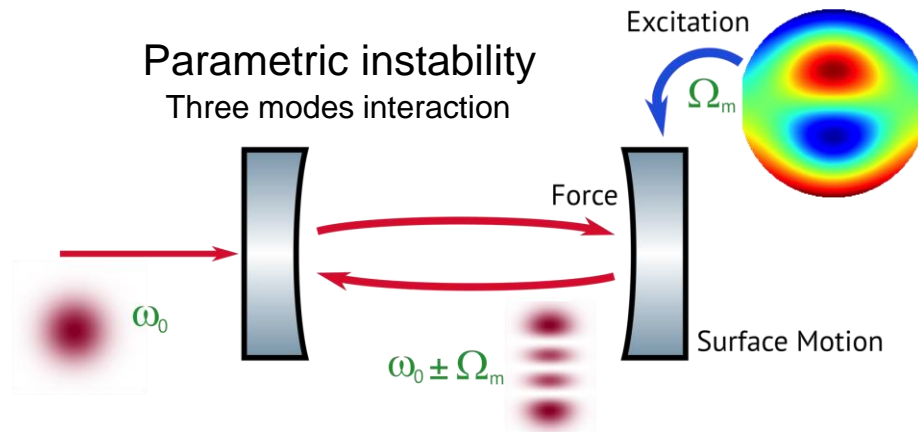


The scattered light heats surroundings near the test mass.

The surroundings make the test mass warmer than expected.

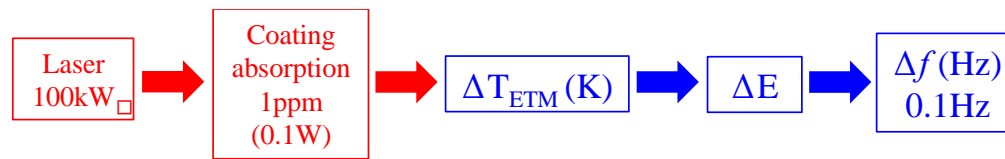


1. Thermal modelling of aLIGO test mass

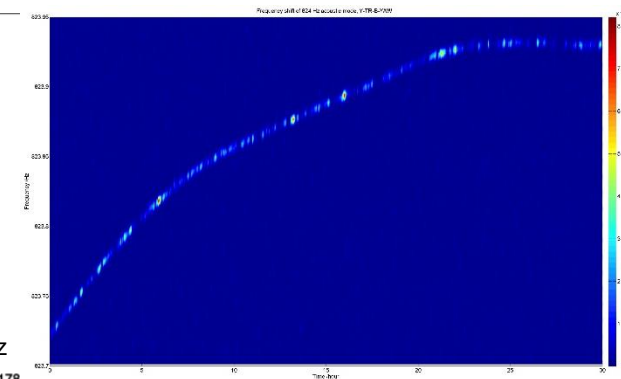
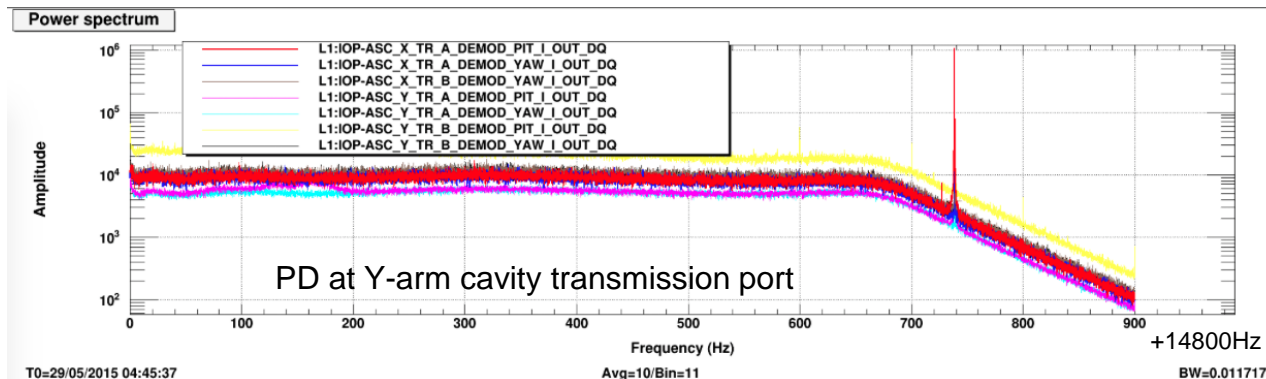
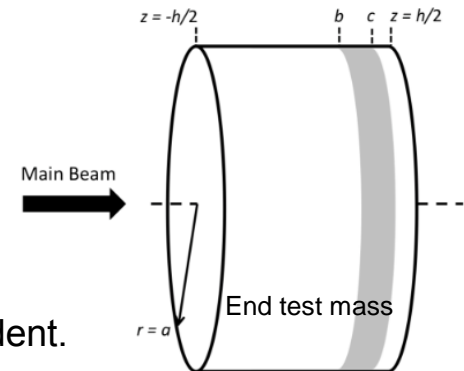


Mechanical mode frequency is used as a probe for the overall temperature of the ETM.

Its frequency is read out through the parametric instability effect.

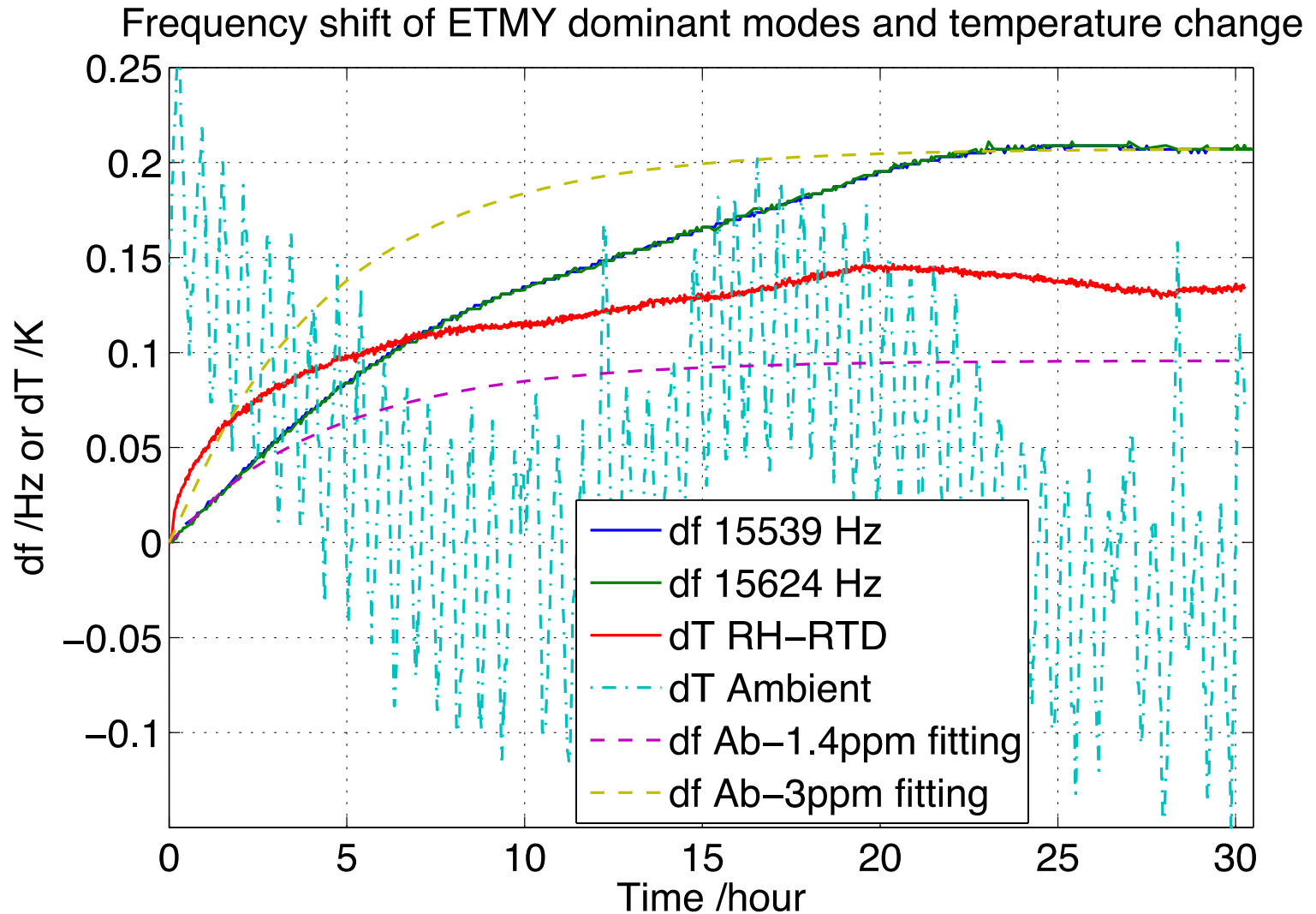


Young's Modulus (E) is temperature dependent.



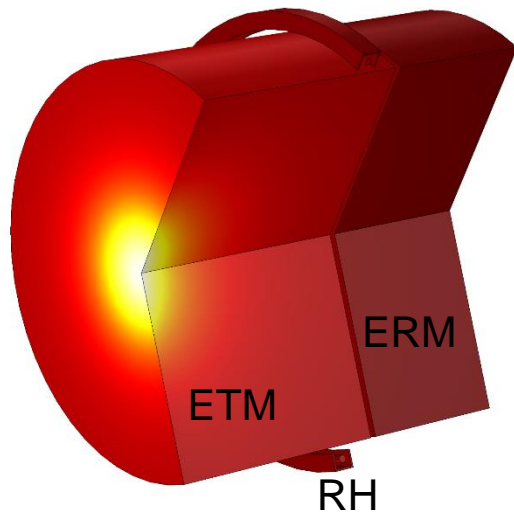
30 hours lock

1. Thermal modelling of aLIGO test mass

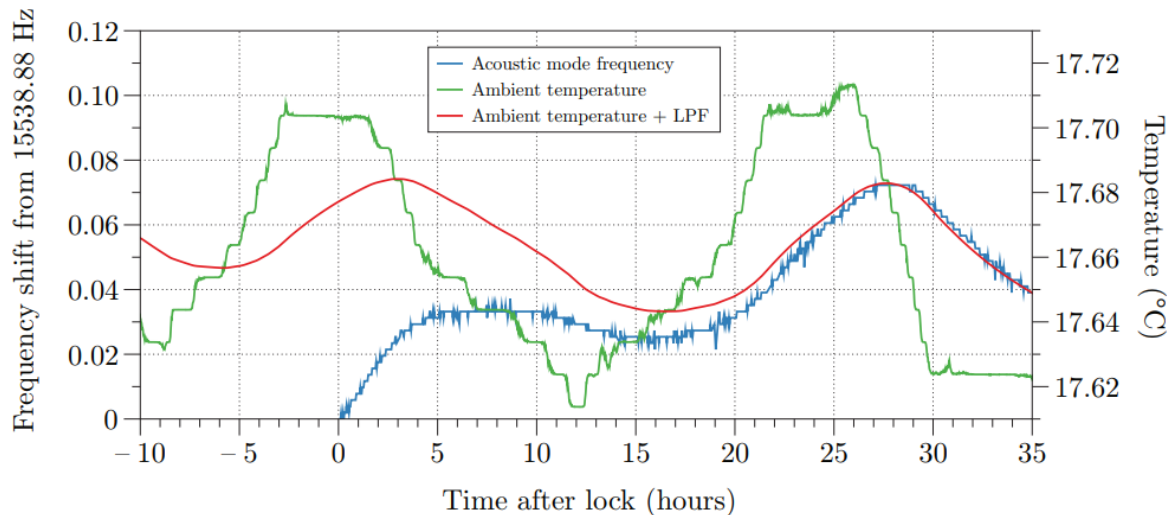
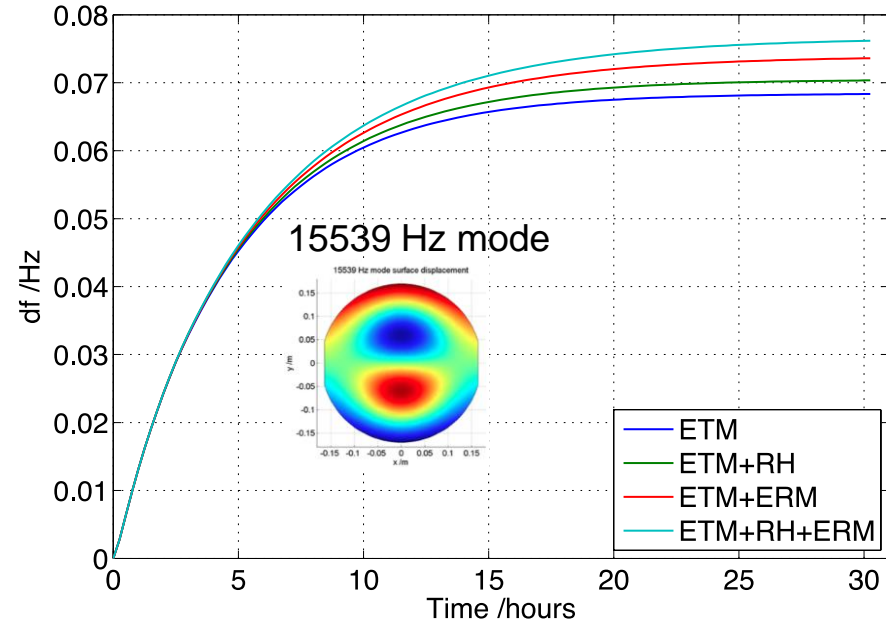


1. Thermal modelling of aLIGO test mass

COMSOL model



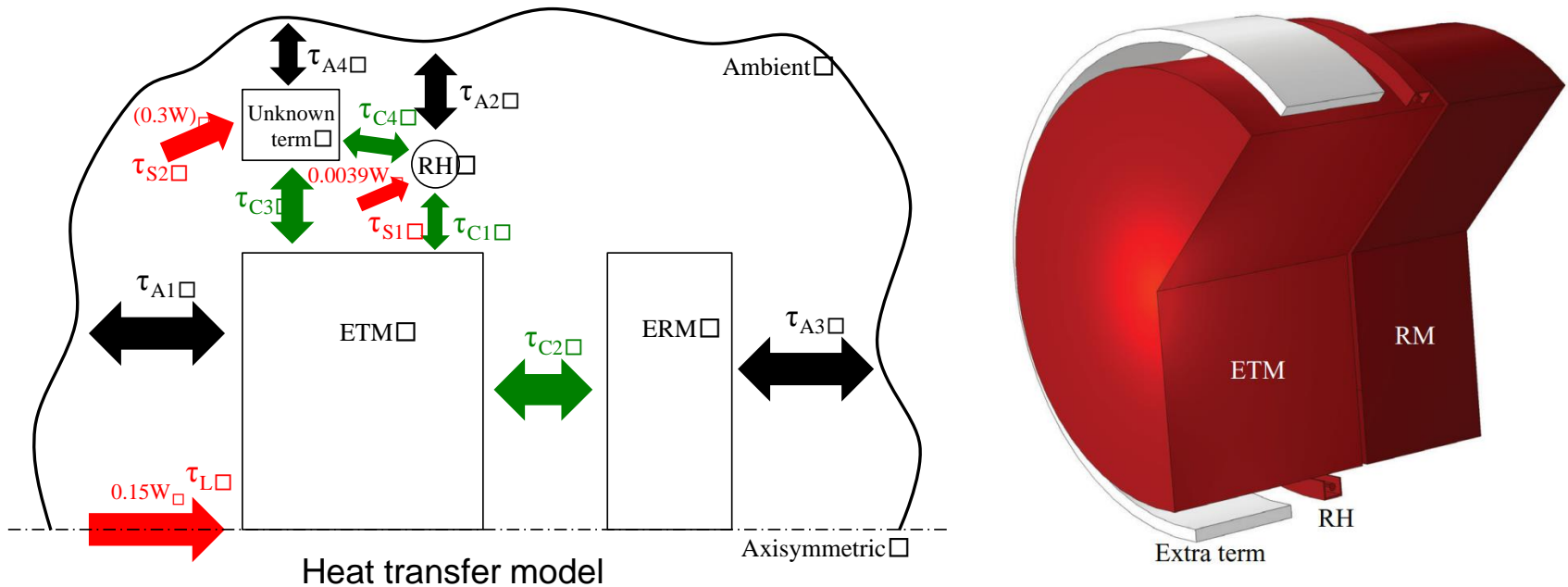
Frequency shift including different components around ETM



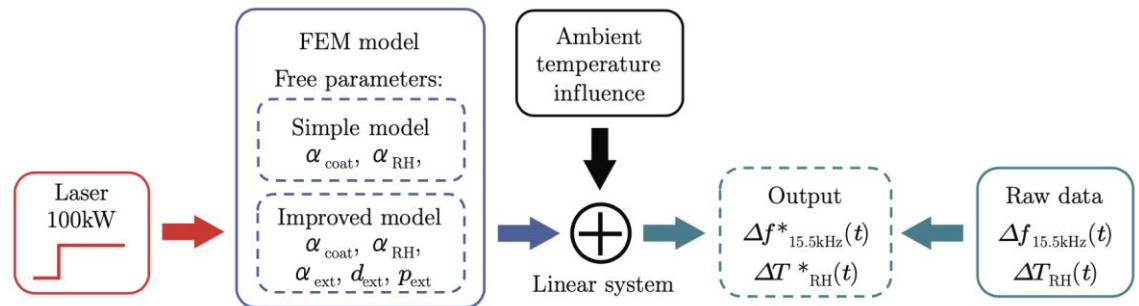
Ambient temperature calibration

A low-pass filter with a time constant of 7.2 hours is applied to the raw data.

1. Thermal modelling of aLIGO test mass

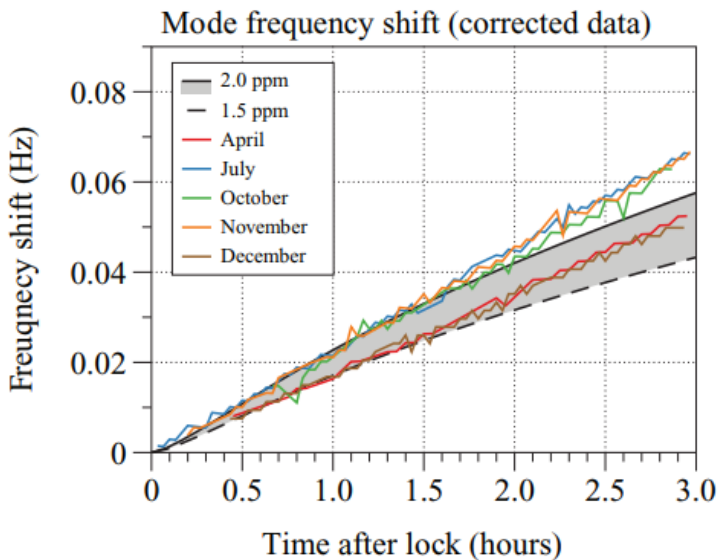
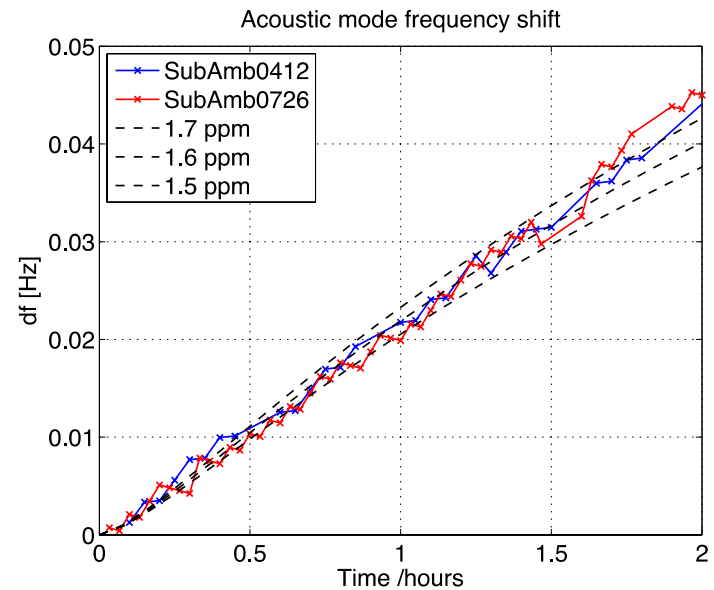
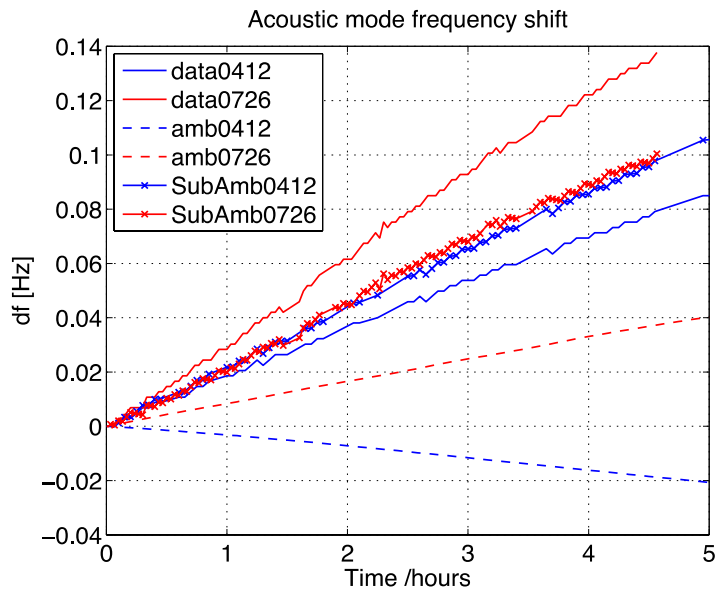


We treat each of the heat transfer mechanisms as having a linear effect, as the overall temperature of the test mass by approximately 0.2°C around room temperature.



$$\Delta f_m(t) = \Delta f_{\text{laser}}(t) + \Delta f_{\text{surroundings}}(t) + \Delta f_{\text{ambient}}(t)$$

1. Thermal modelling of aLIGO test mass

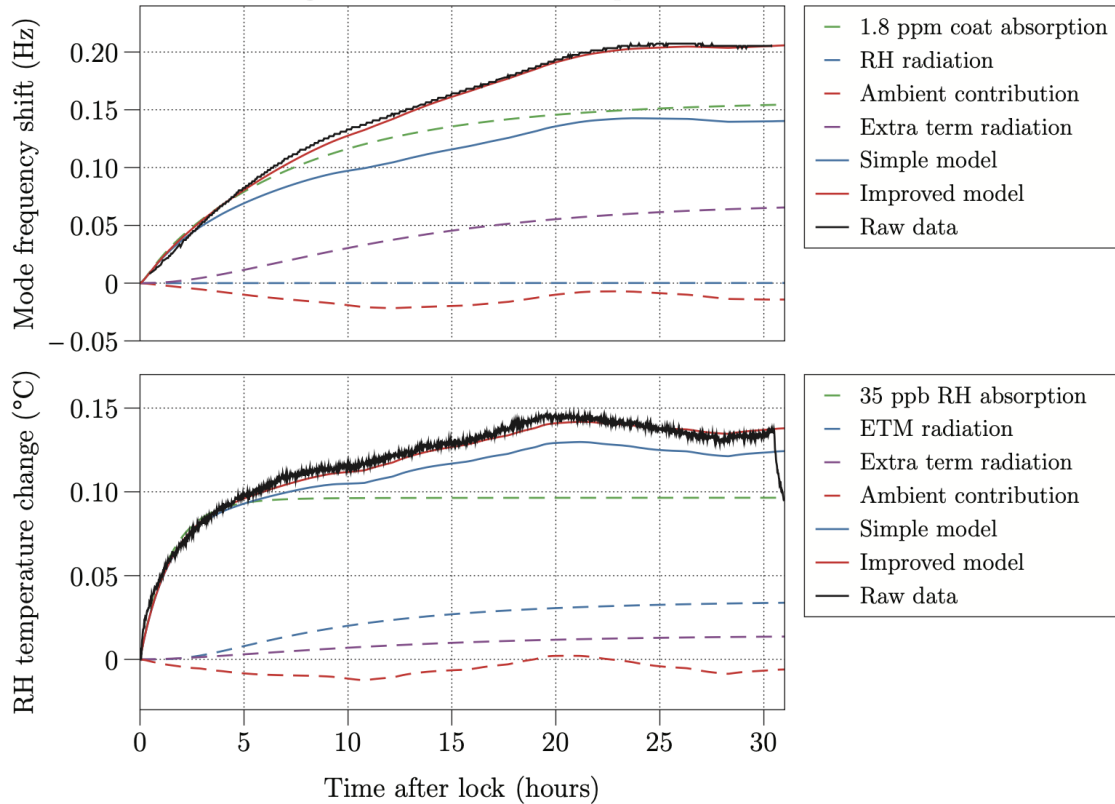


Modelling of initial hours after lock:
coating absorption: 1.5 ~ 2.0 ppm \gg 0.5 ppm

1. Thermal modelling of aLIGO test mass

Long time-scale model

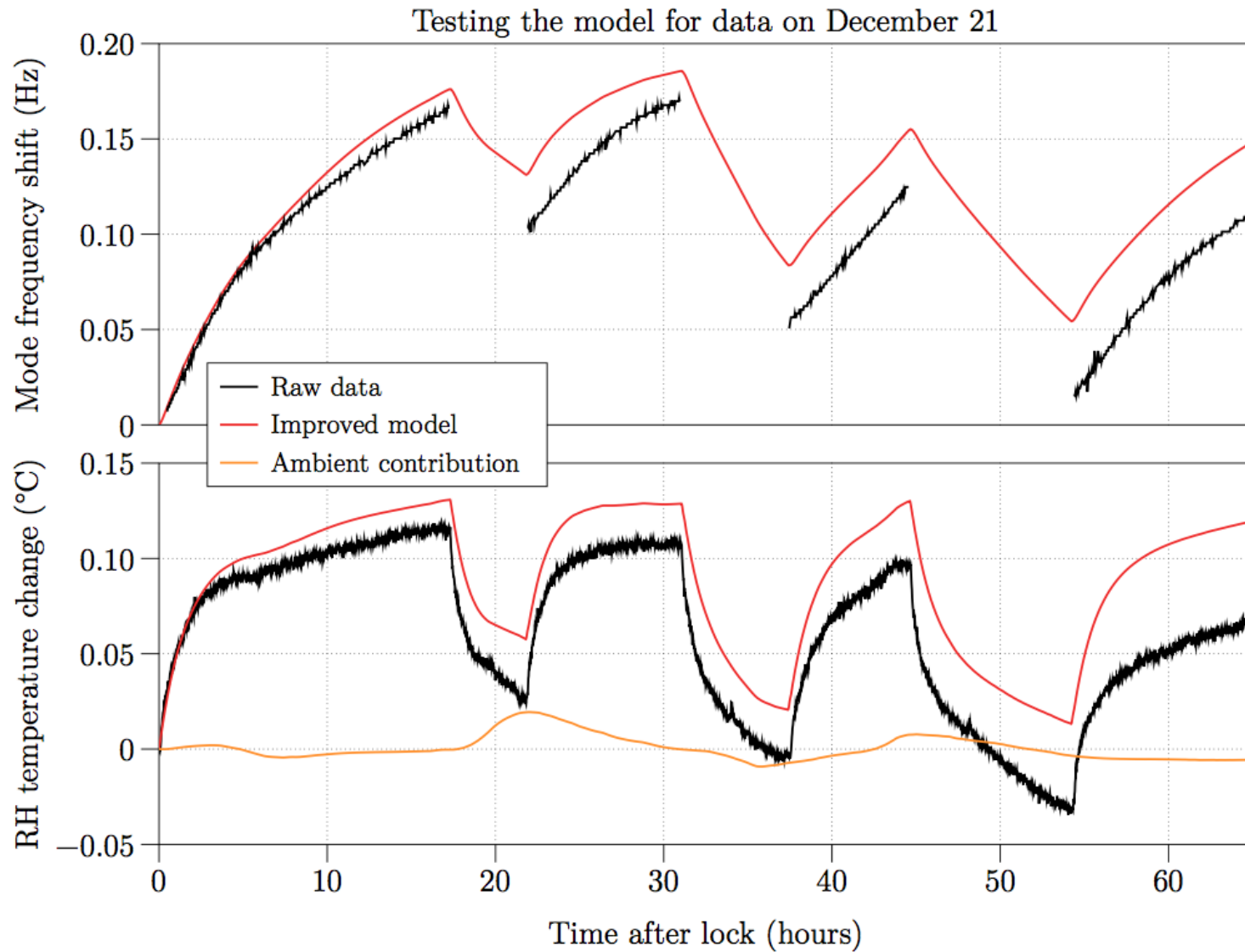
Tuning the model for data on April 12



This model gives us an understand of the heating of the ETM.

We also give a model that estimates the temperature of the ring heater. The scattered light incident on the ring heater is estimated to be 0.3–1.3 ppm.

1. Thermal modelling of aLIGO test mass

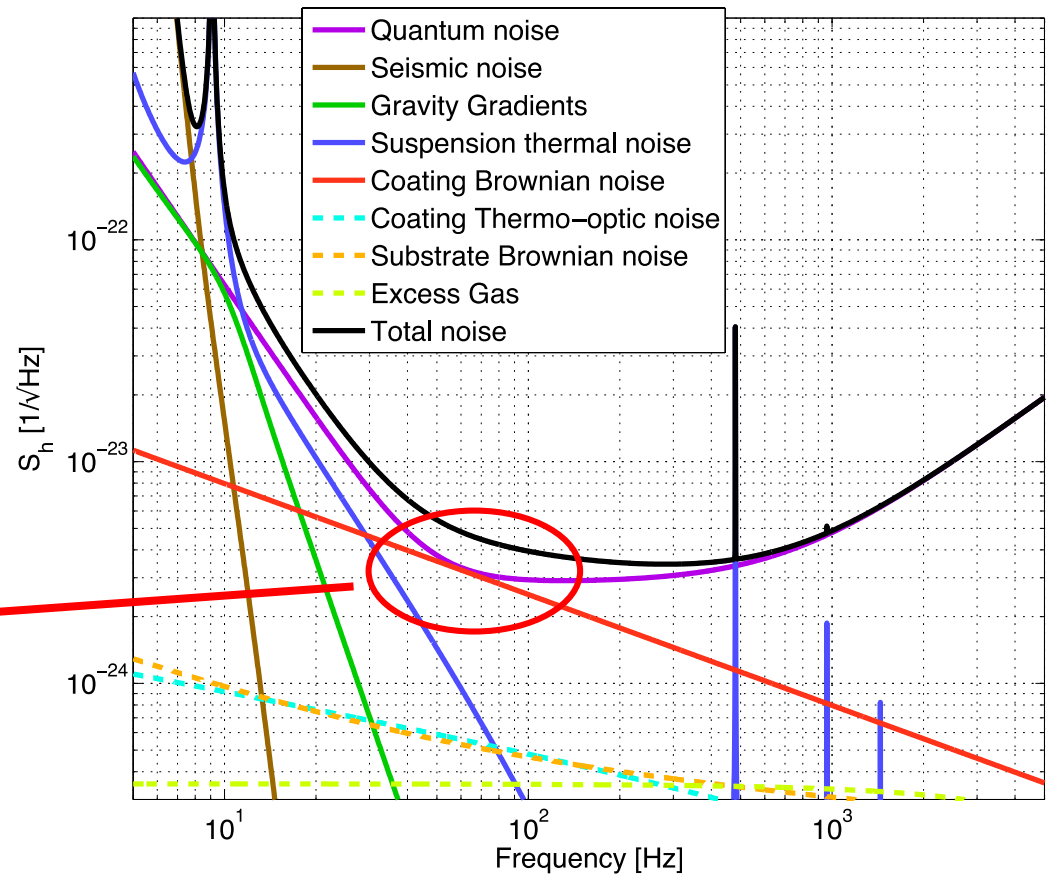


2. Study the behavior of near-unstable cavities

- From 2nd generation to 3rd generation detectors



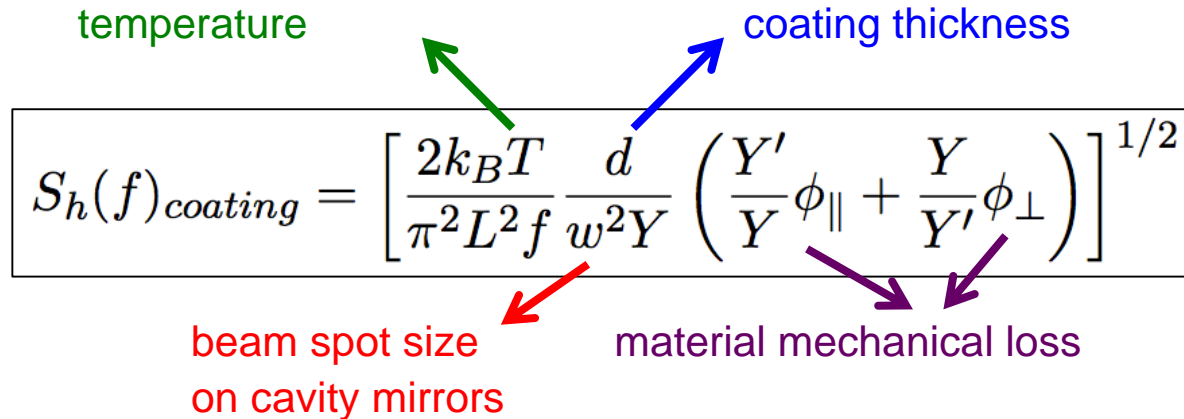
Advanced LIGO Noise budget



With quantum noise reduced, **coating thermal noise** becomes evident at mid-band.

2. Study the behavior of near-unstable cavities

- Amplitude spectral density:


$$S_h(f)_{coating} = \left[\frac{2k_B T}{\pi^2 L^2 f} \frac{d}{w^2 Y} \left(\frac{Y'}{Y} \phi_{\parallel} + \frac{Y}{Y'} \phi_{\perp} \right) \right]^{1/2}$$

temperature

coating thickness

beam spot size on cavity mirrors

material mechanical loss

- by using cryogenic techniques: KAGRA
- by reducing coating thickness d
- by improving coatings
- by **increasing beam size**: averaging power on more atoms

2. Study the behavior of near-unstable cavities

- Stability criterion:

$$0 < g_1 g_2 < 1,$$

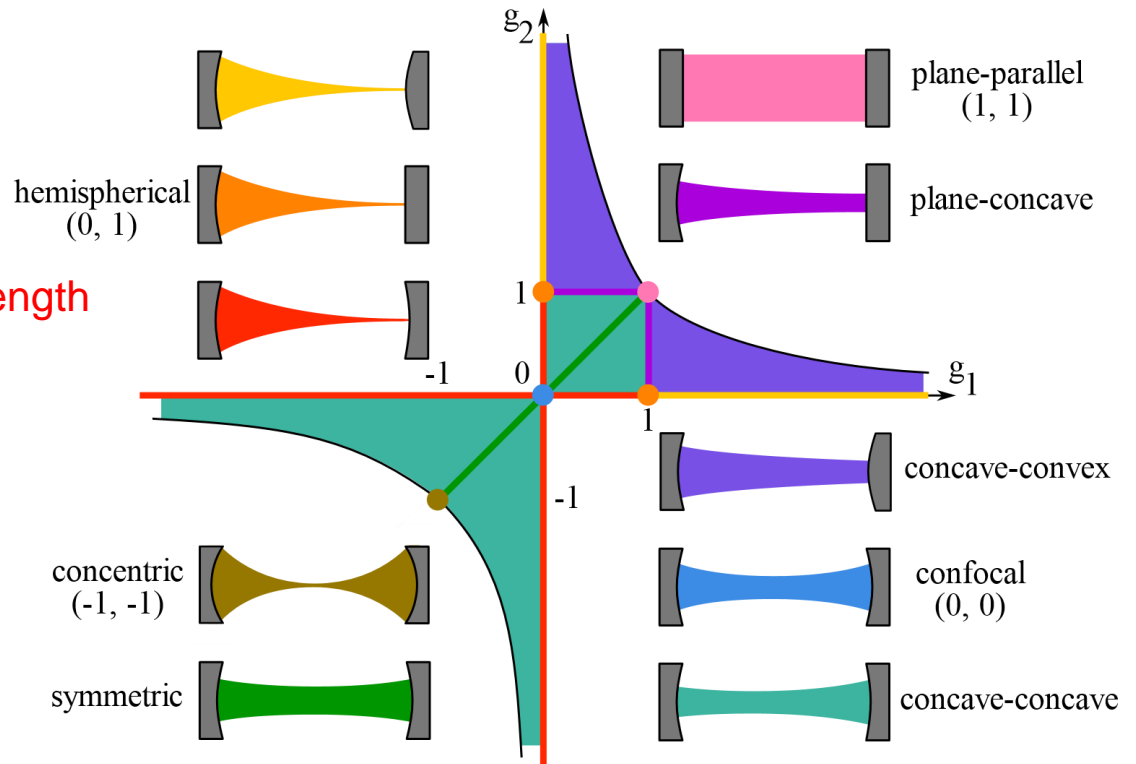
with

$$g_1 = 1 - \frac{L}{R_{c1}}$$

$$g_2 = 1 - \frac{L}{R_{c2}}$$

cavity length

radius of curvature



An old document: LIGO 3 Strawman Design, Team Red
([LIGO DCC/public/T1200046](https://www.ligo.caltech.edu/public/T1200046))

Input mirror: 5.31cm → 8.46cm (60%)

End mirror: 6.21cm → 9.95cm (60%)

g-factor: 0.832 → 0.974

Coating thermal noise expected to be reduced by a factor of 1.6 by using larger beam size on arm cavity mirrors

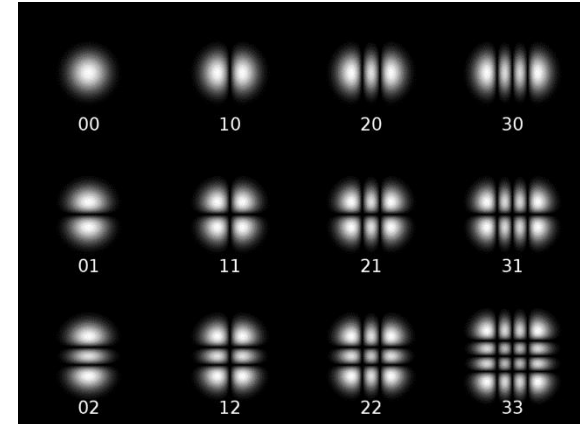
- Recycling cavities in Advanced Virgo currently are near-unstable

2. Study the behavior of near-unstable cavities

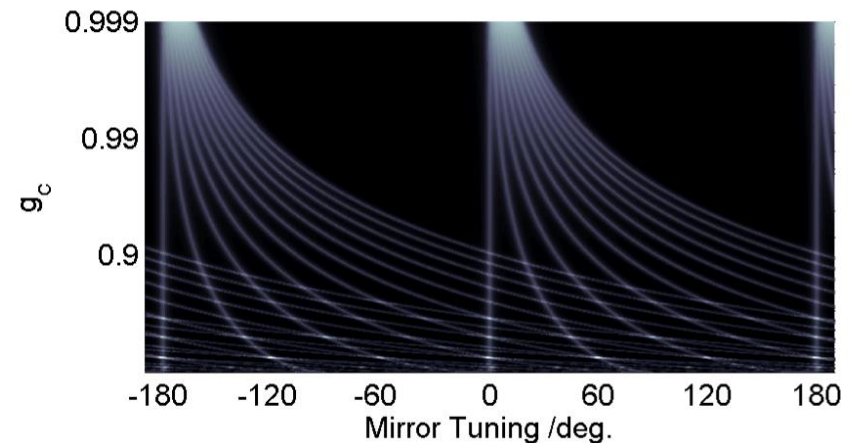
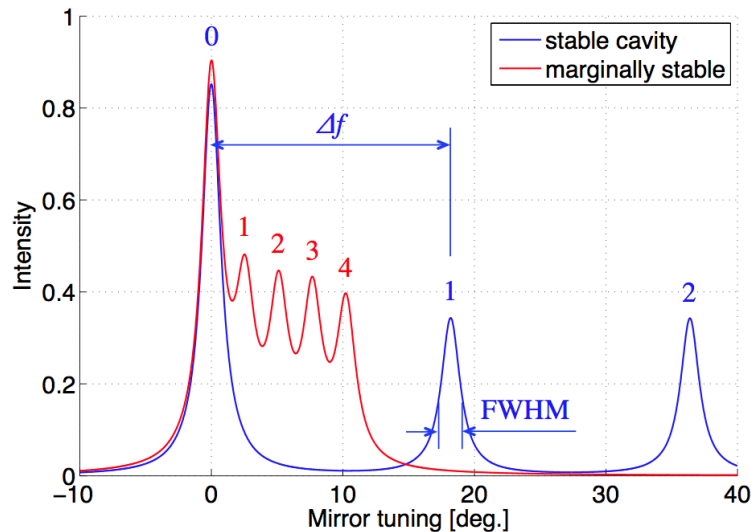
Motivation of using near-unstable cavities: large beam spots to reduce coating thermal noise

Problems:

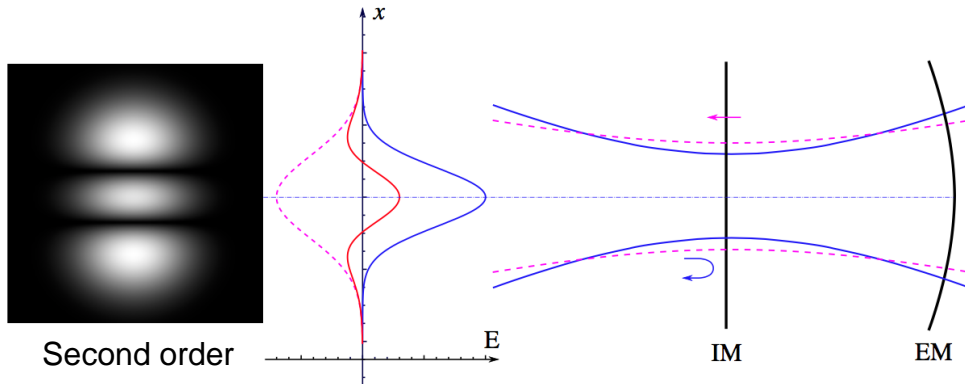
- Mode bunching
- Easily affected by mirror defects
- Angular instability
- High optical loss
- Hard to control



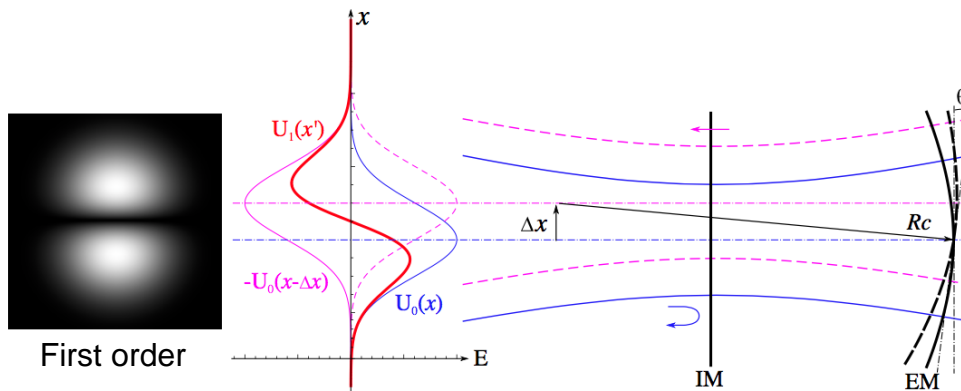
One of the major difficulties of working with near-unstable cavities is that higher-order modes may become coresonant with the fundamental mode.



2. Study the behavior of near-unstable cavities

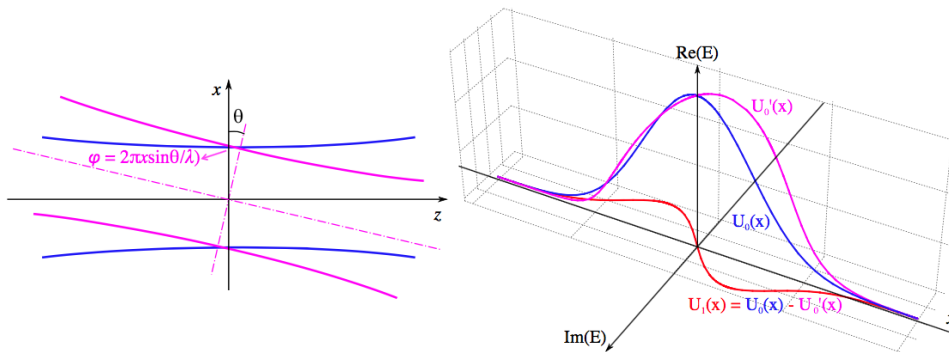


Mode mismatch



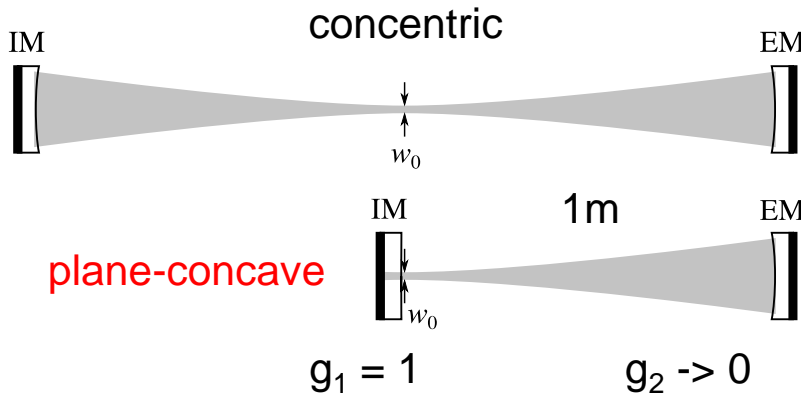
Translation

	coupling coefficient	phase
Translation Δx	$\Delta x/w_0$	0°
Tilt θ	$i\pi w_0\theta/\lambda$	90°

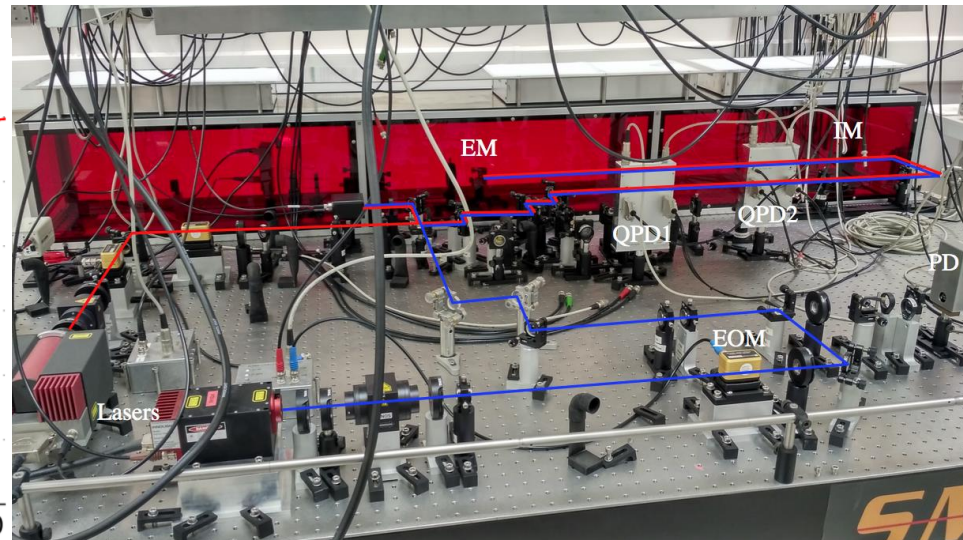
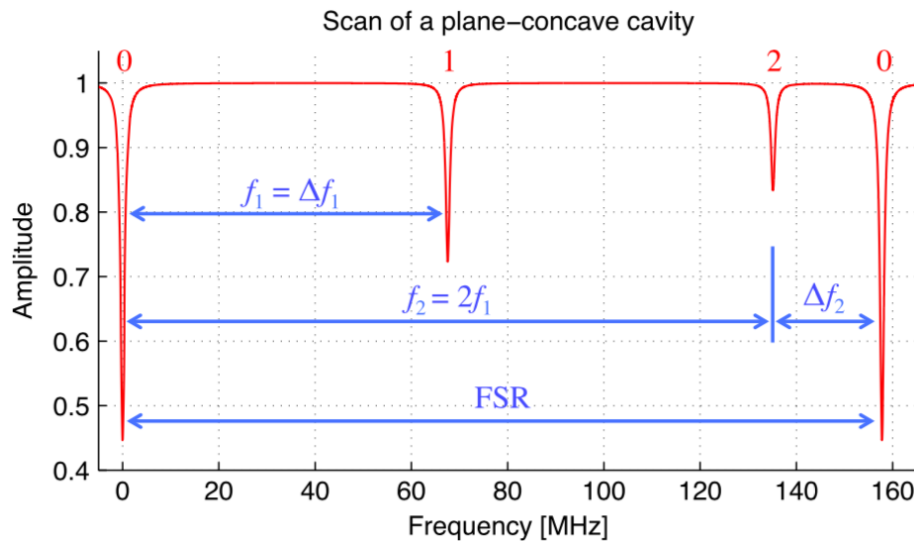


2. Study the behavior of near-unstable cavities

Parameters of our plane-concave cavity

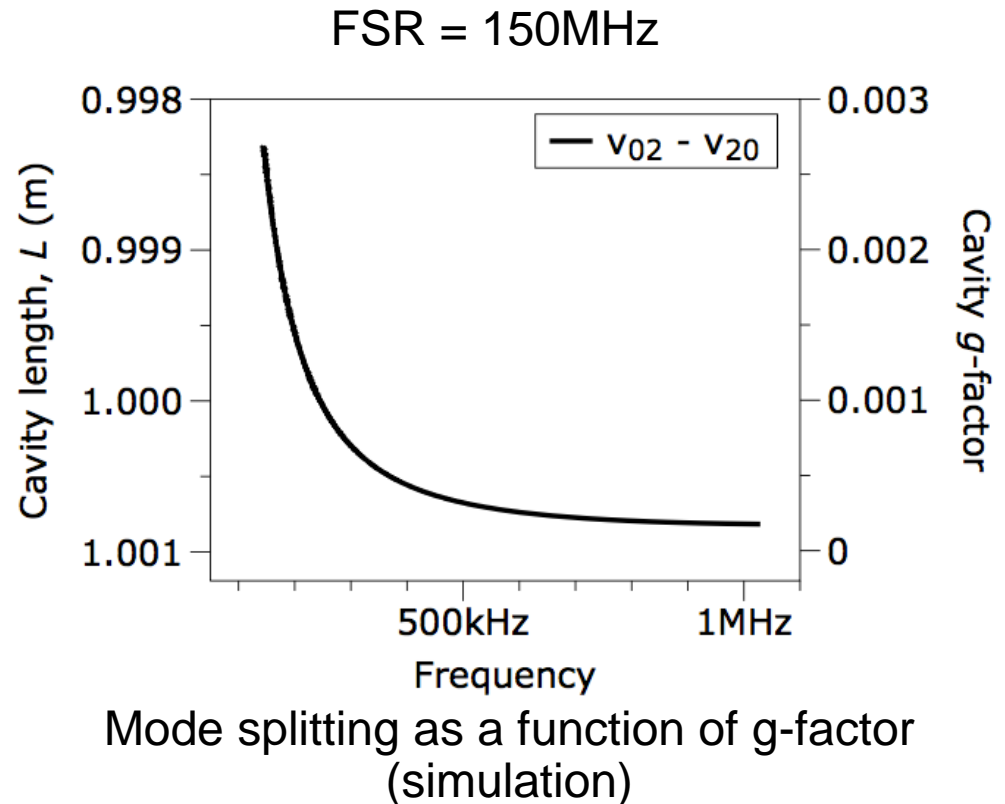
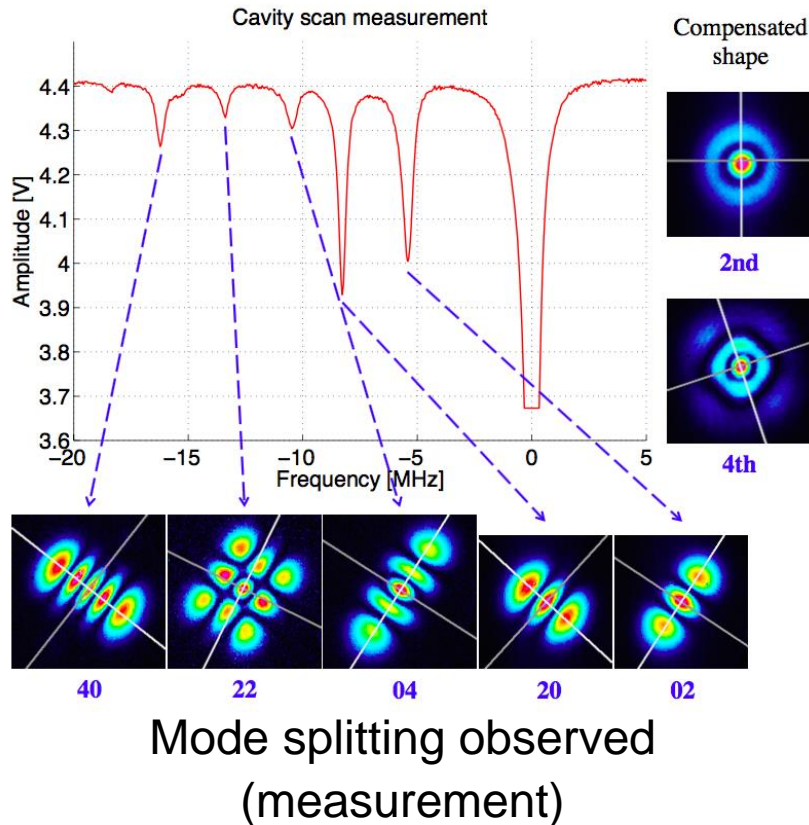


Cavity length (m)	0.956	0.993	0.999	0.9999
Beam waist (μm)	263.56	168.04	103.46	58.19
Beam spot at EM (mm)	1.26	2.01	3.27	5.82
Rayleigh range (mm)	205.10	83.37	31.61	10.00
Divergent angle (mrad)	1.29	2.02	3.27	5.82
FSR (MHz)	156.80	150.95	150.05	149.91
f_1 (\times FSR)	0.433	0.474	0.490	0.497
f_2 (\times FSR)	0.865	0.947	0.980	0.994
δ_2	563.2	223.2	84.6	26.6
g_c	0.044	0.007	0.001	0.0001
g_c^*	0.832	0.972	0.996	0.9996



2. Experiments on near-unstable cavities

Mode splitting observed

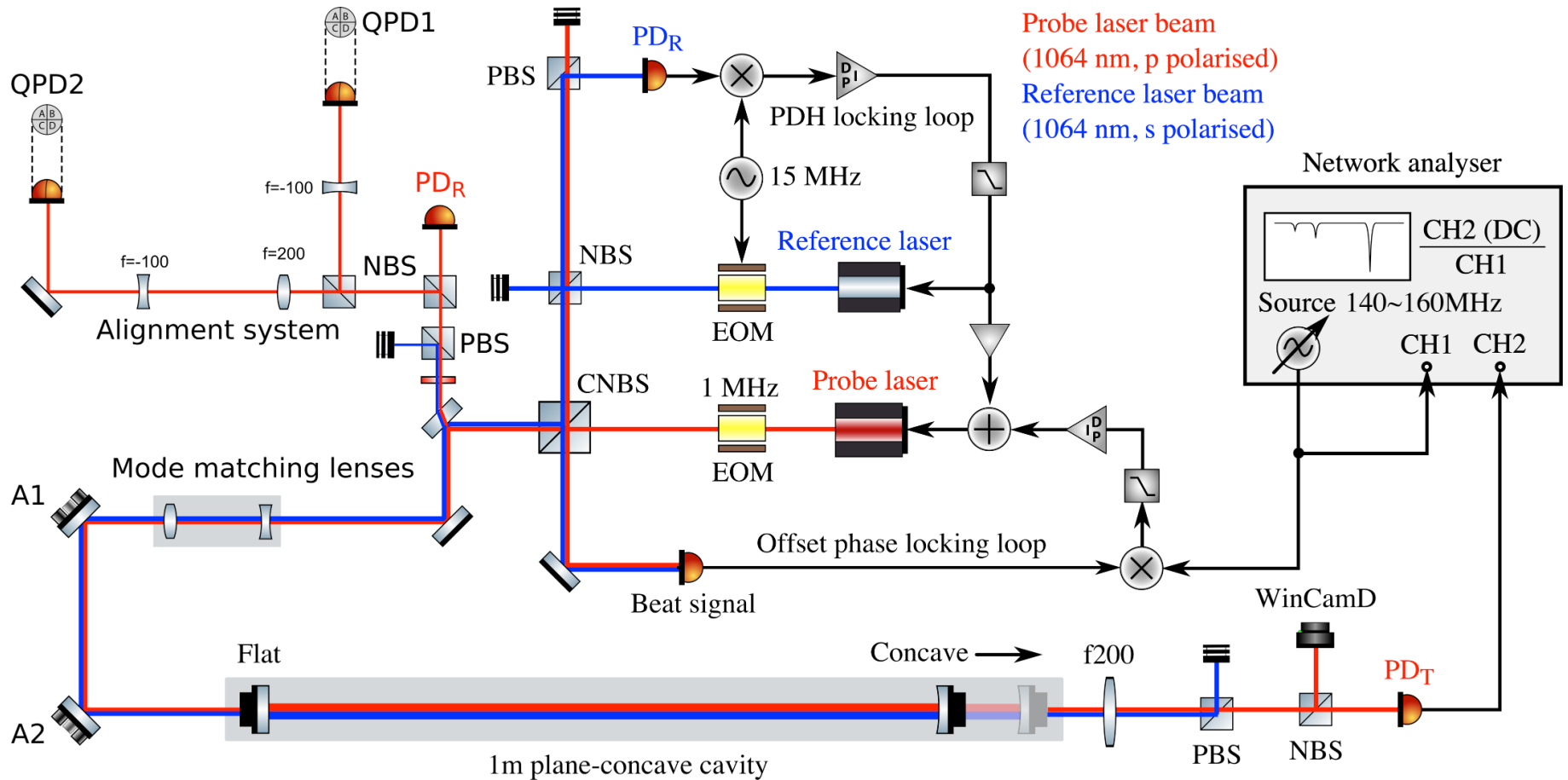


The surface of the EM is ellipsoidal.

The separation can be reduced by increasing the stress of the screw holding the spherical mirror, thus compensating the surface deformation.

2. Experiments on near-unstable cavities

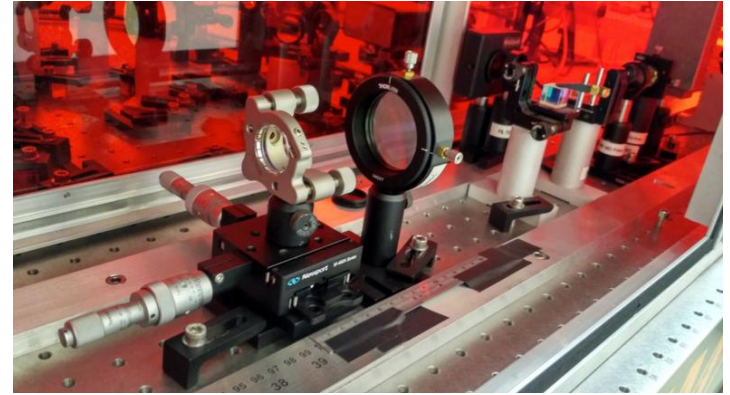
We measure resonant frequencies of 2nd modes and the fundamental mode.



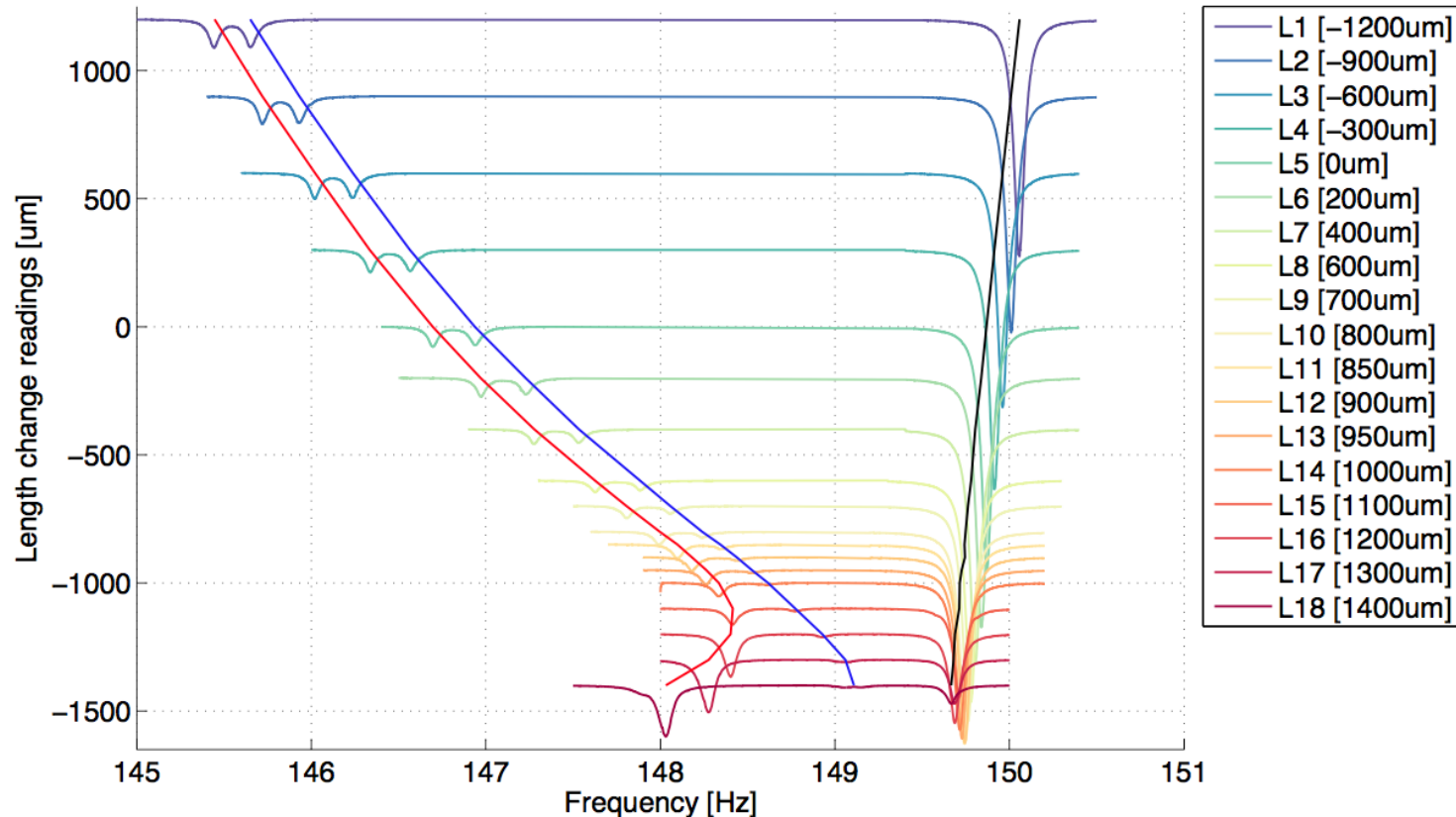
2. Experiments on near-unstable cavities

Cavity length as a function of mode spacing frequency for the **plane-concave cavity**

$$L_0 + \Delta L = \frac{R_c}{2} \left[1 - \cos \left(\frac{\Delta f^{02}}{\text{FSR}} \pi \right) \right]$$



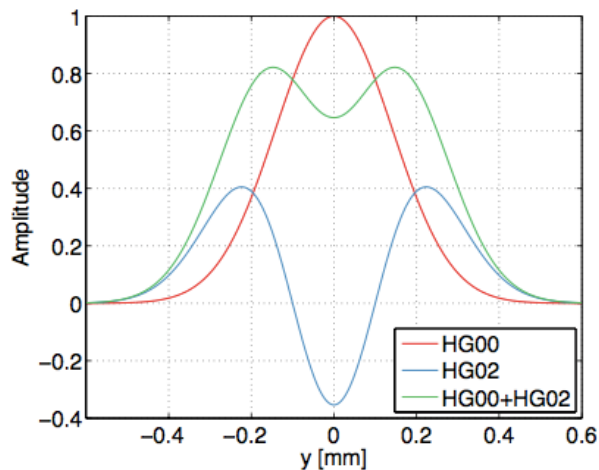
Cavity resonance measurement



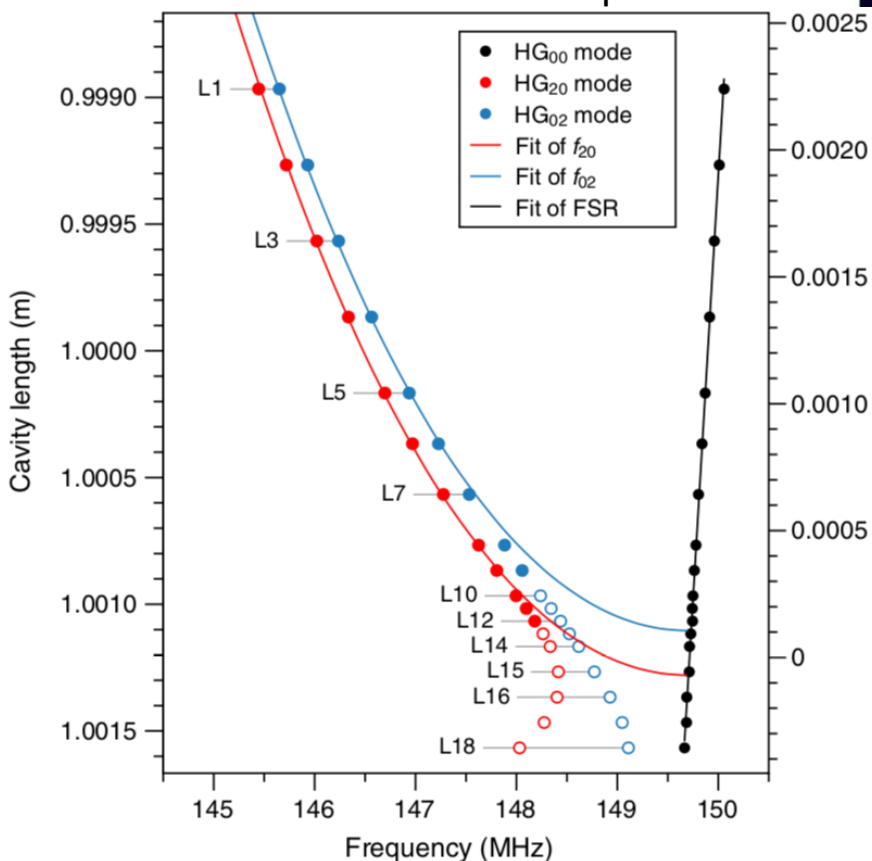
We change the position of the concave end mirror via a translation stage and take 18 measurements.

The mode matching is very difficult.

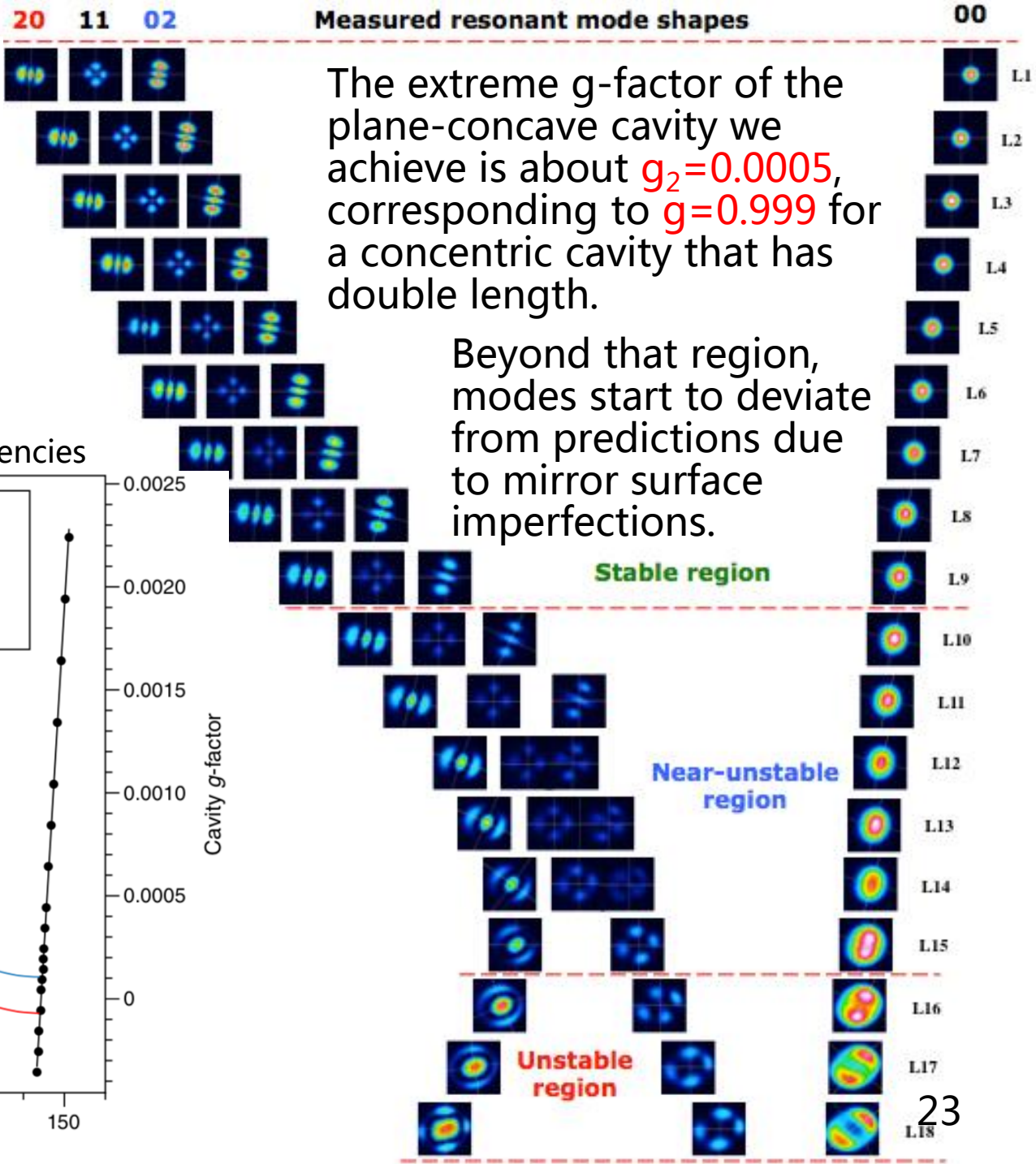
sum of HG00 and HG20 modes



Measured modes' frequencies

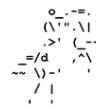


Measured resonant mode shapes



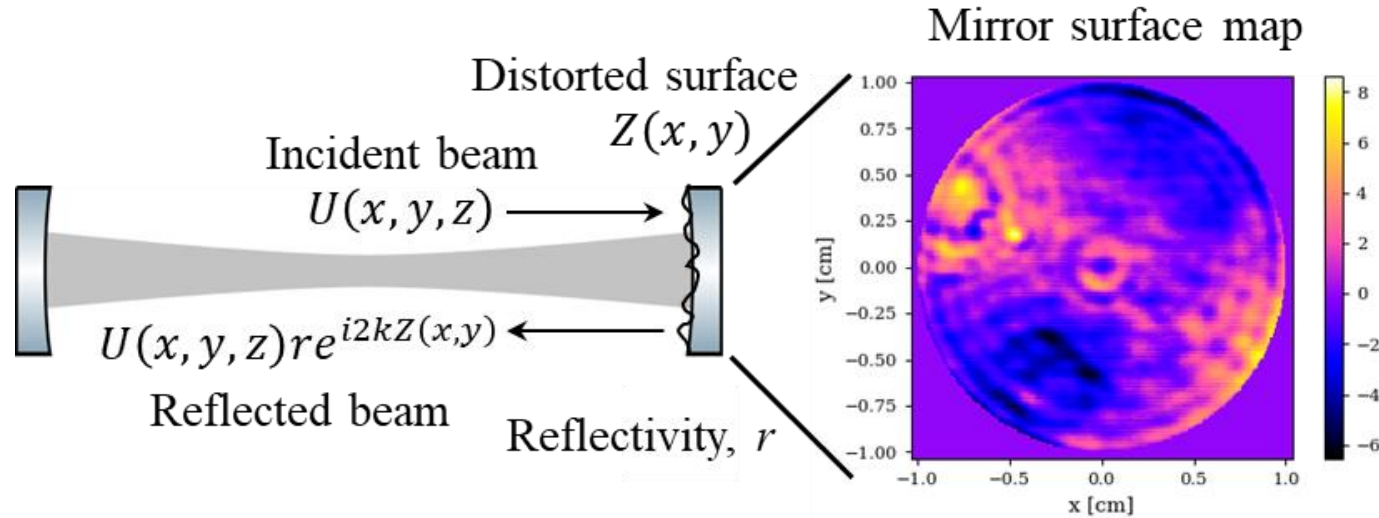
The extreme g -factor of the plane-concave cavity we achieve is about $g_2=0.0005$, corresponding to $g=0.999$ for a concentric cavity that has double length.

Beyond that region, modes start to deviate from predictions due to mirror surface imperfections.



3. Simulation with mirror maps

The measured mirror map can be applied in the EM in FINESSE.

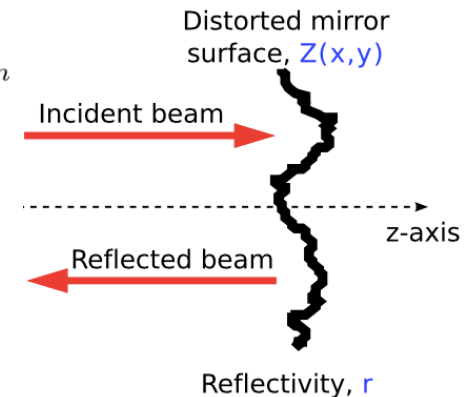


Coupling coefficients for TEM mode

$$k_{n,m,n',m'} = \exp\left(i2kz' \sin^2\left(\frac{\gamma}{2}\right)\right) \iint dx' dy' u_{n'm'} \exp(ikx' \sin \gamma) u_{nm}^*$$

Goal:

- Trying to understand mode behaviors.
- Trying to model mirror defect influences.



3. Simulation with mirror maps

Finesse is a widely-used interferometer simulation program in GW community.

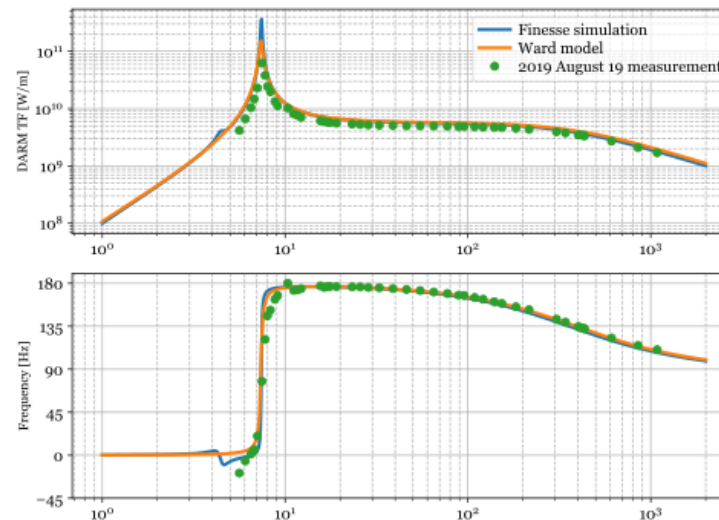
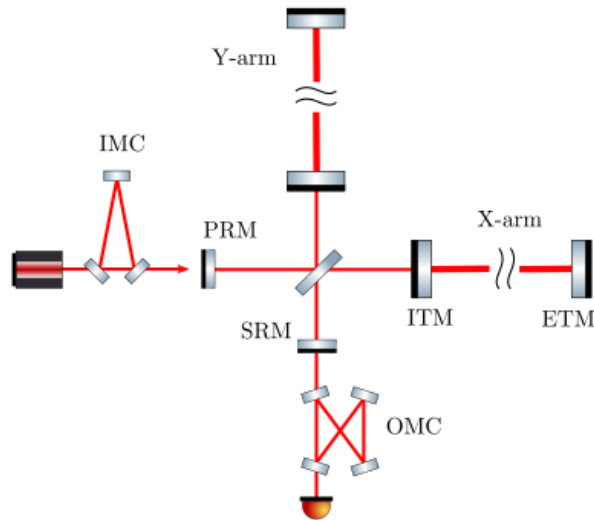


A number of desired analysis for modelling modern complex gravitational wave interferometers can be performed, like computing

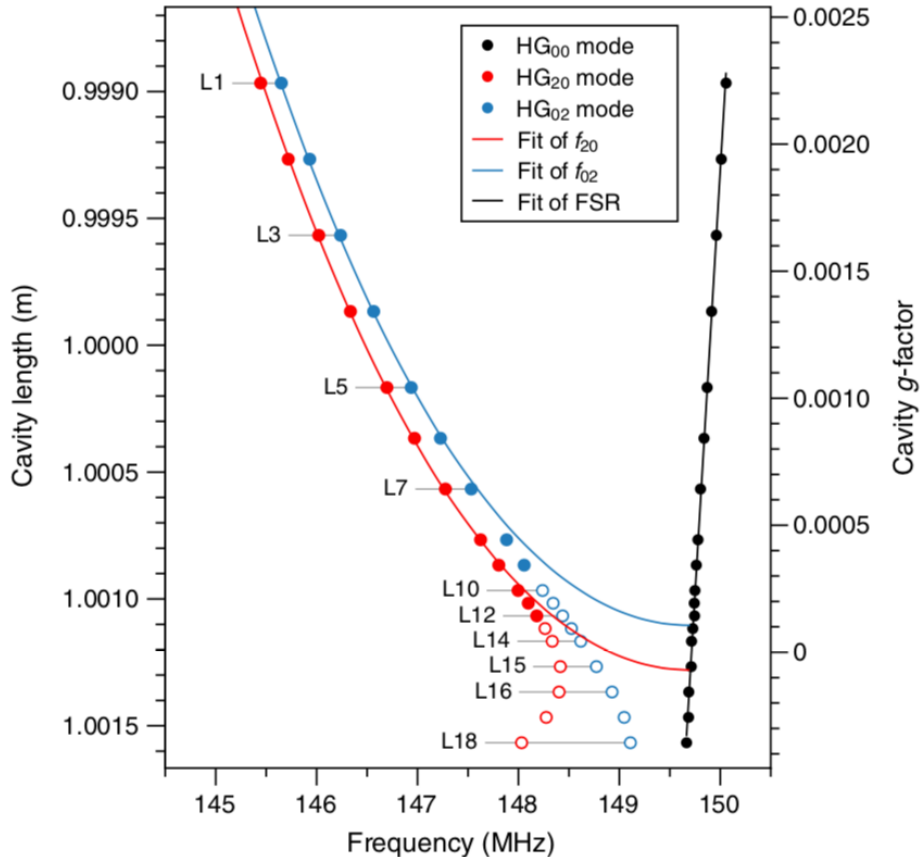
- modulation-demodulation error signals
- transfer functions
- control matrix
- quantum-noise-limited sensitivities
- scattering effects
- beam shapes



Andreas Freise



Fitting the frequency change



$$L_0 + \Delta L = \frac{R_{2+}}{2} \left[1 - \cos \left(\frac{f_{20}}{\text{FSR}} \pi \right) \right]$$

$$L_0 + \Delta L = \frac{R_{2-}}{2} \left[1 - \cos \left(\frac{f_{02}}{\text{FSR}} \pi \right) \right]$$

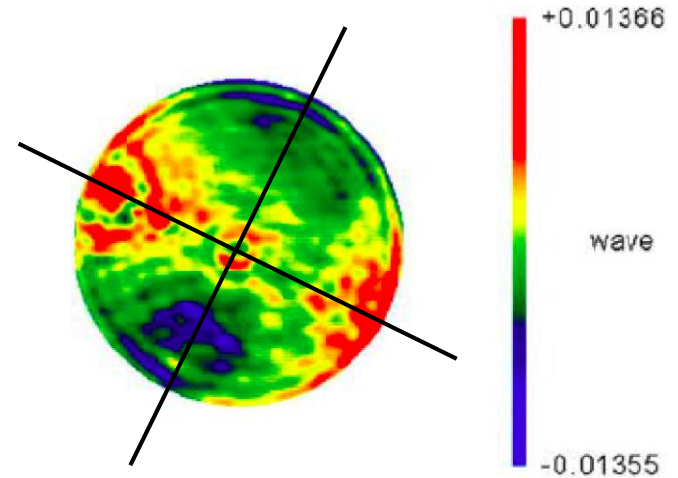
$$R_{2+} = 1,001,284.9 \pm 4.6 \mu\text{m}$$

$$R_{2-} = 1,001,140.0 \pm 15.7 \mu\text{m}$$

The difference is about 145 μm

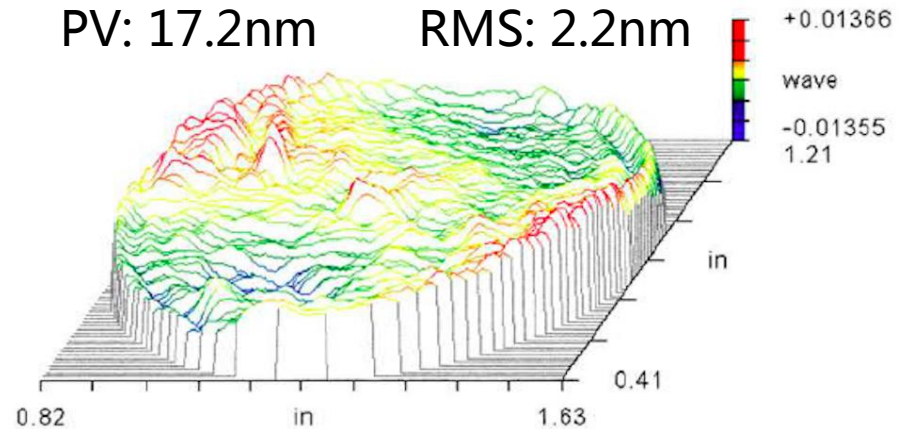
ZYGO measurement

The mirror map: phase map



PV: 17.2nm

RMS: 2.2nm

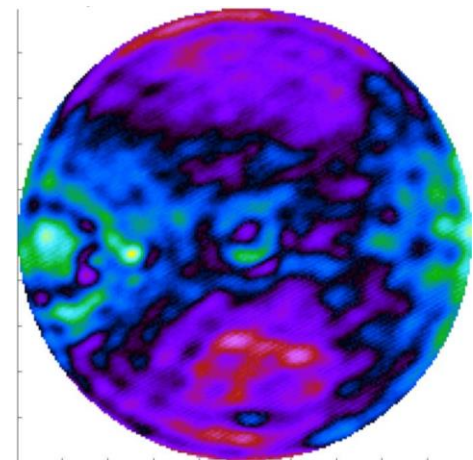


By fitting mode frequency changes,

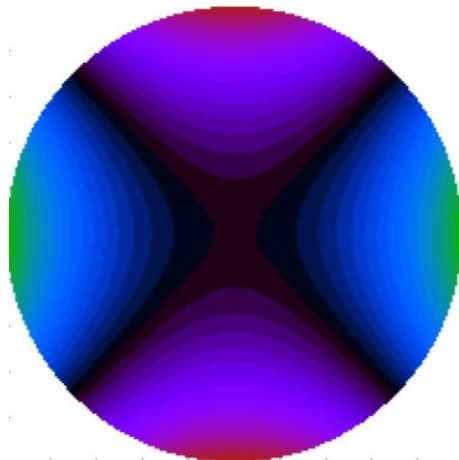
- we can quantify the stability
- study mode behaviors
- it is possible to infer the shape of the mirror surface

3. Simulation with mirror maps

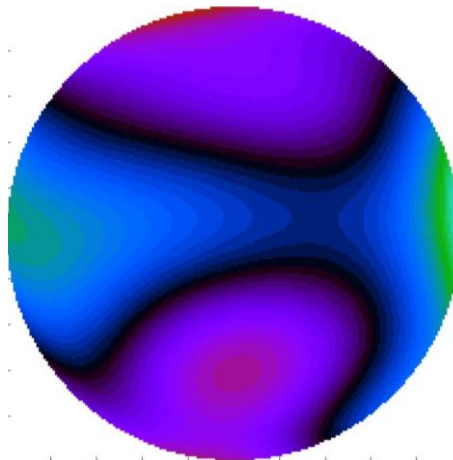
Fitting the map with Zernike polynomials



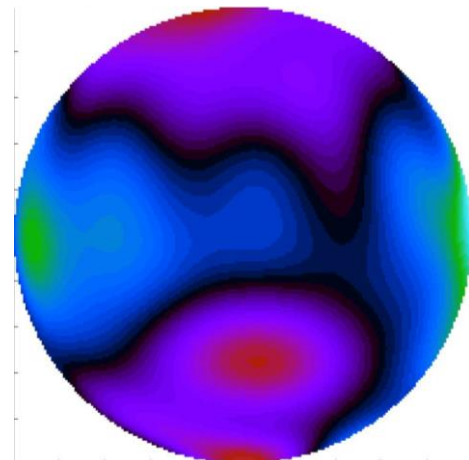
Original map



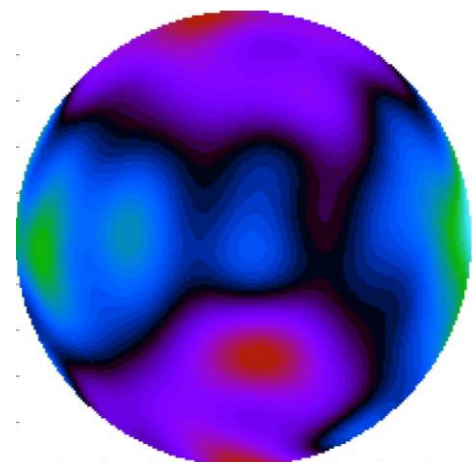
Order 2



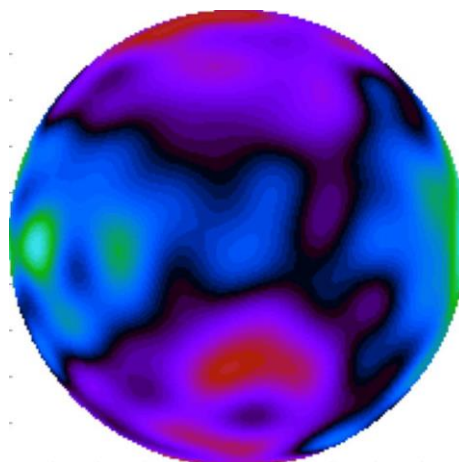
Order 6



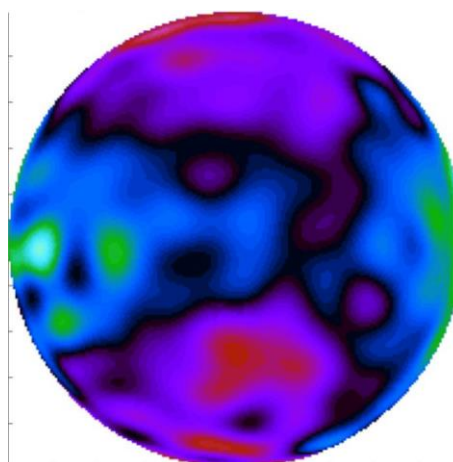
Order 10



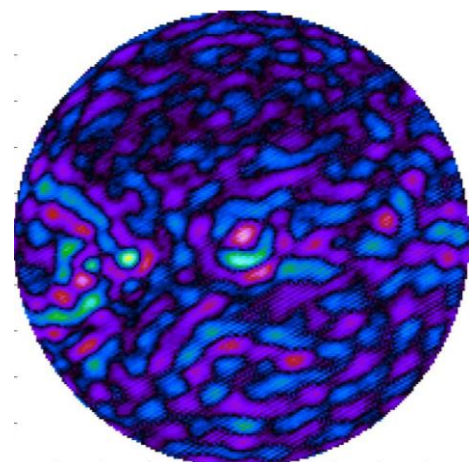
Order 12



Order 16

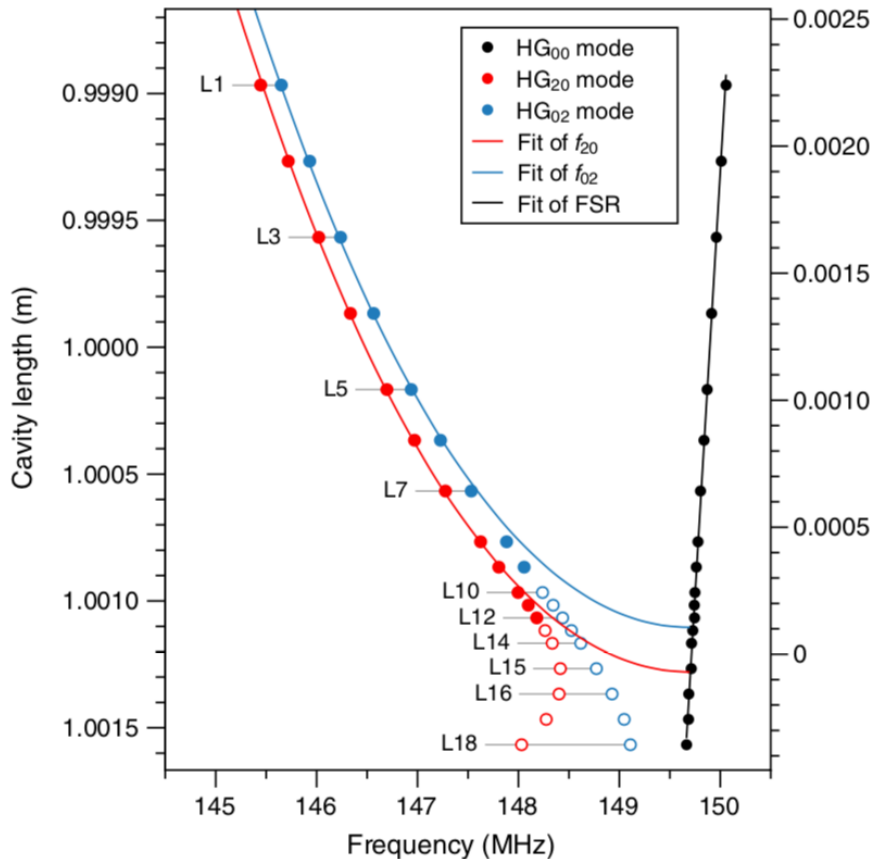


Order 20



Residue of order 20

Fitting the frequency change



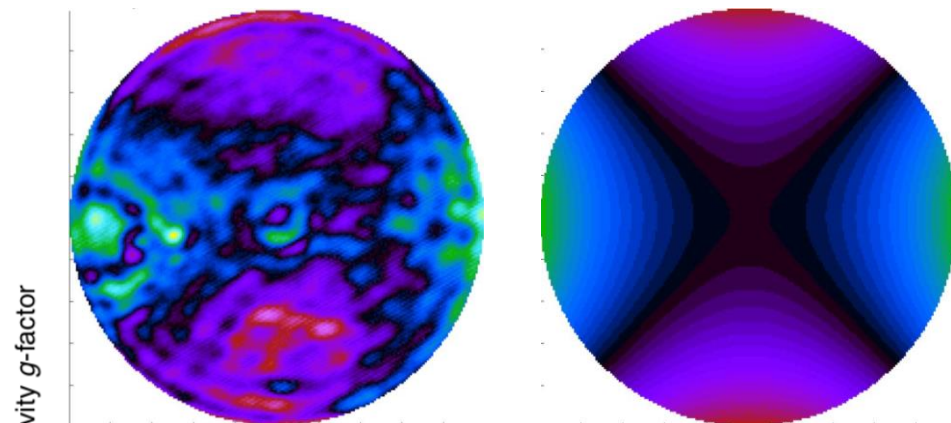
$$L_0 + \Delta L = \frac{R_{2+}}{2} \left[1 - \cos \left(\frac{f_{20}}{\text{FSR}} \pi \right) \right]$$

$$L_0 + \Delta L = \frac{R_{2-}}{2} \left[1 - \cos \left(\frac{f_{02}}{\text{FSR}} \pi \right) \right]$$

$$R_{2+} = 1,001,284.9 \pm 4.6 \mu\text{m}$$

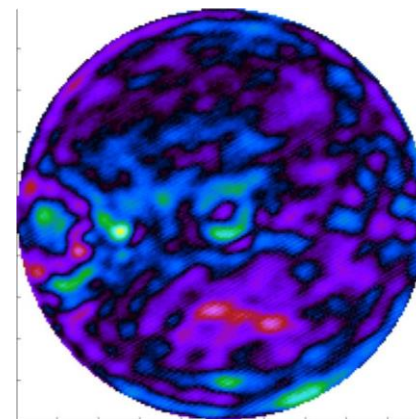
$$R_{2-} = 1,001,140.0 \pm 15.7 \mu\text{m}$$

Fitting the map with Zernike polynomials



Original map

Zernike map order 2



Residue

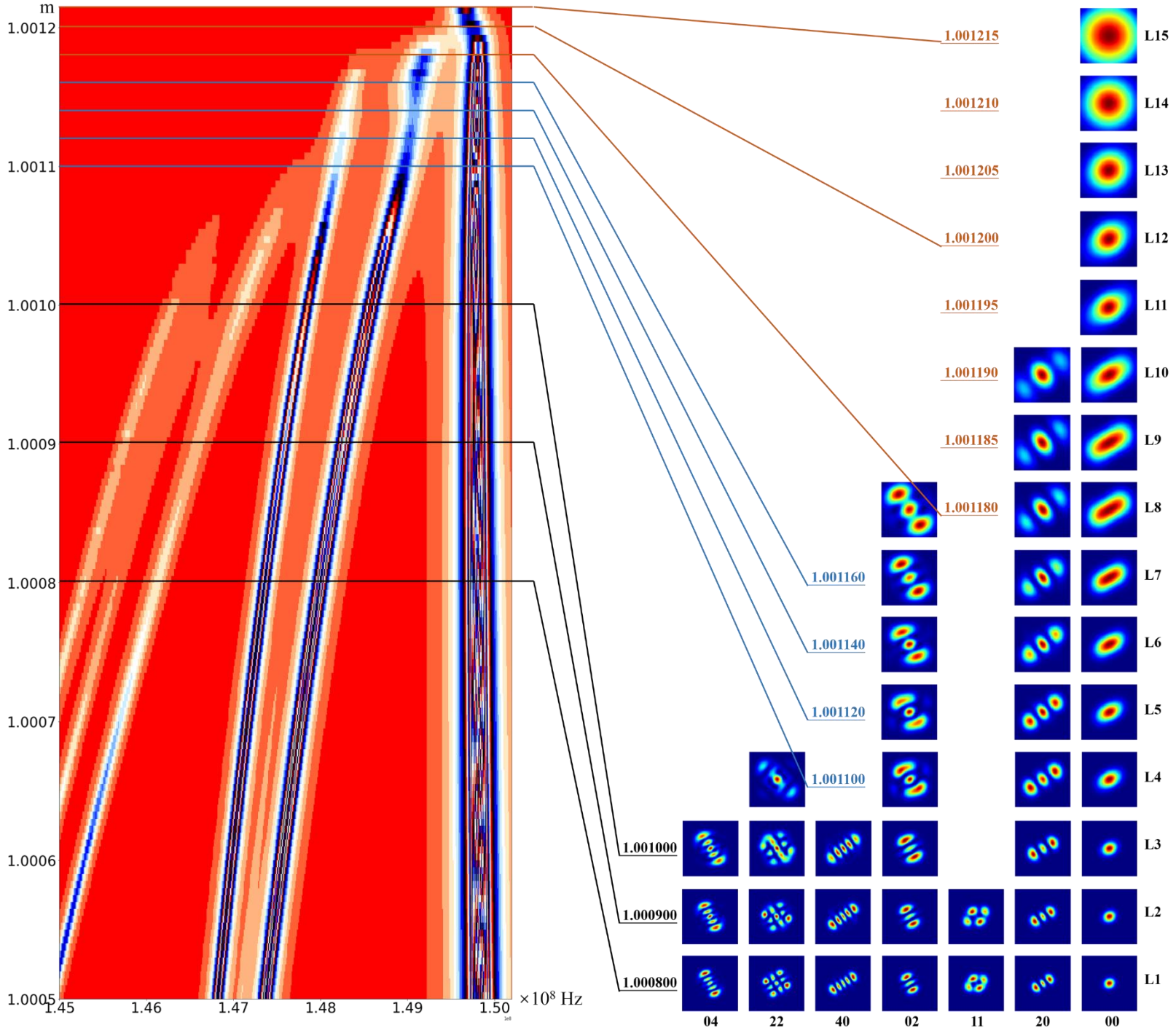
Astigmatism

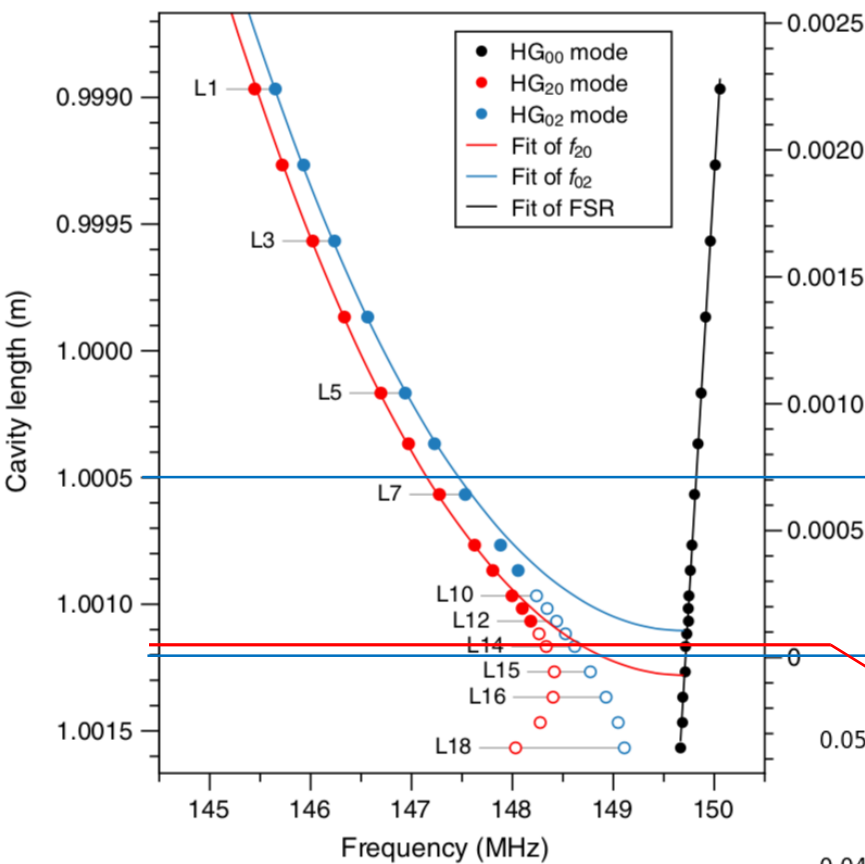
$$R_{2+} = 1,001,300.7 \mu\text{m}$$

15.8 μm

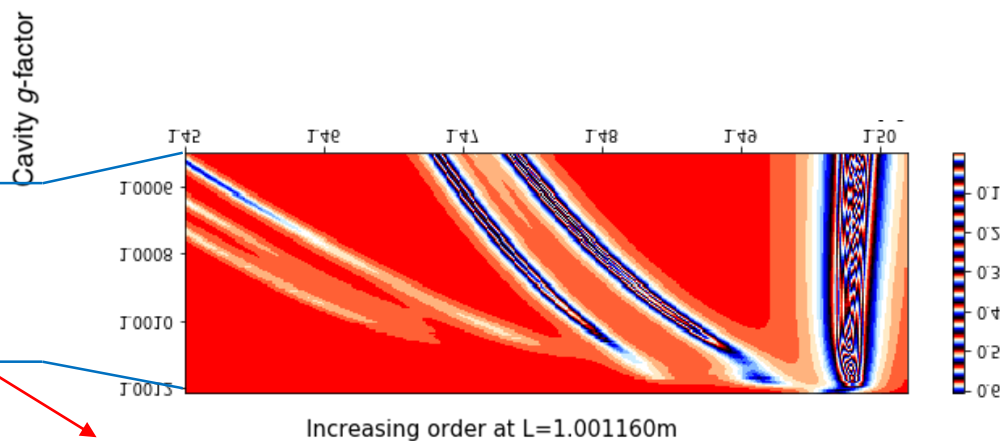
$$R_{2-} = 1,001,133.2 \mu\text{m}$$

-6.8 μm



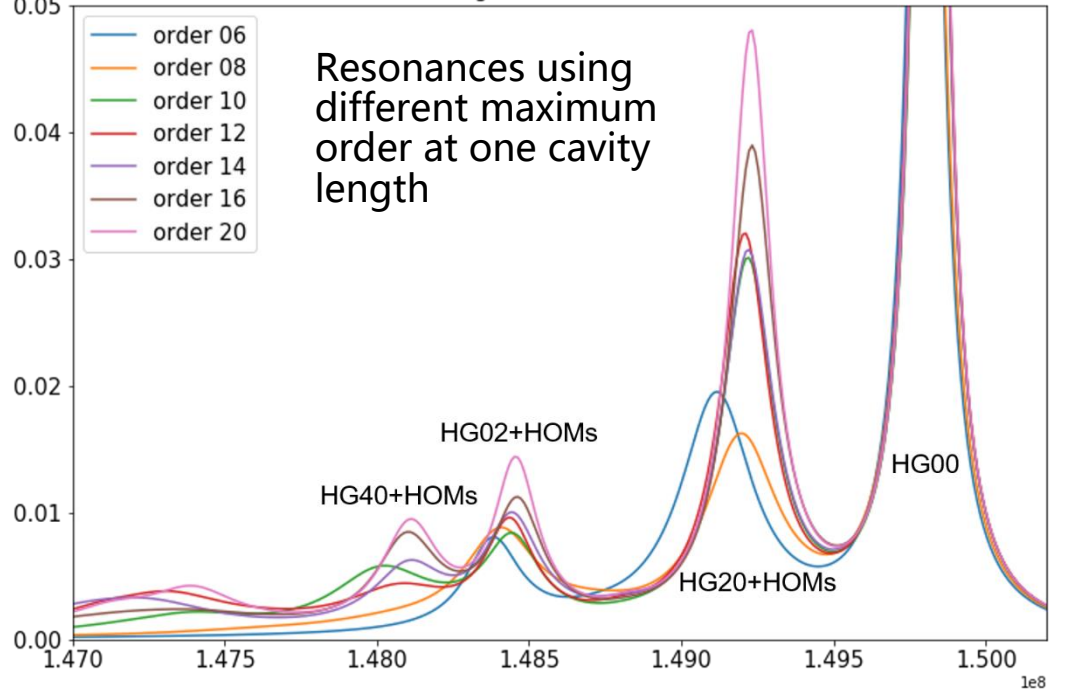


The maximum HOM order taken into account for calculation is 6.



However, the maximum order of HOMs for calculation does matter very much.

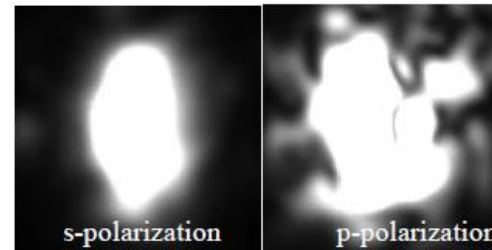
The higher the maximum order taken into account, the longer the calculation time.



4. Study of birefringence effect in KAGRA

- Backgrounds

- Birefringence is a severe problem in KAGRA.
- Around 5%~10% s-pol light is coupled to p-pol due to birefringence of the sapphire mirror.

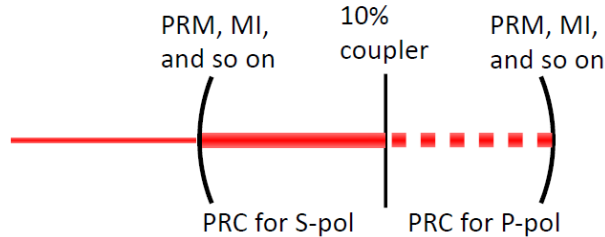


- Purpose of this study

- To understand the influence of the birefringence by comparing numerical simulations with experimental measurements.
- To find ways of mitigating the birefringence effect so that the interferometer can achieve its design sensitivity.

4. Study of birefringence effect in KAGRA

- Model: a two-world approach



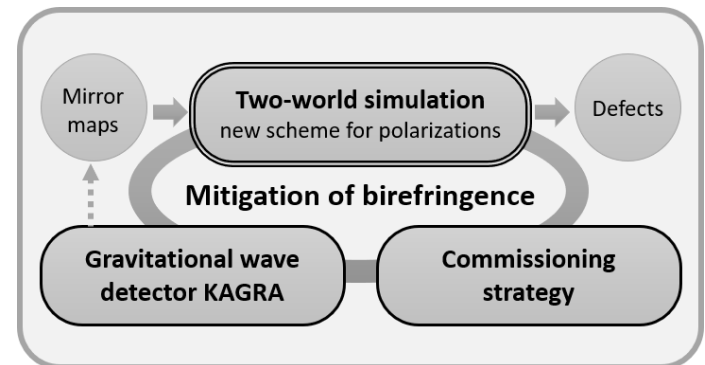
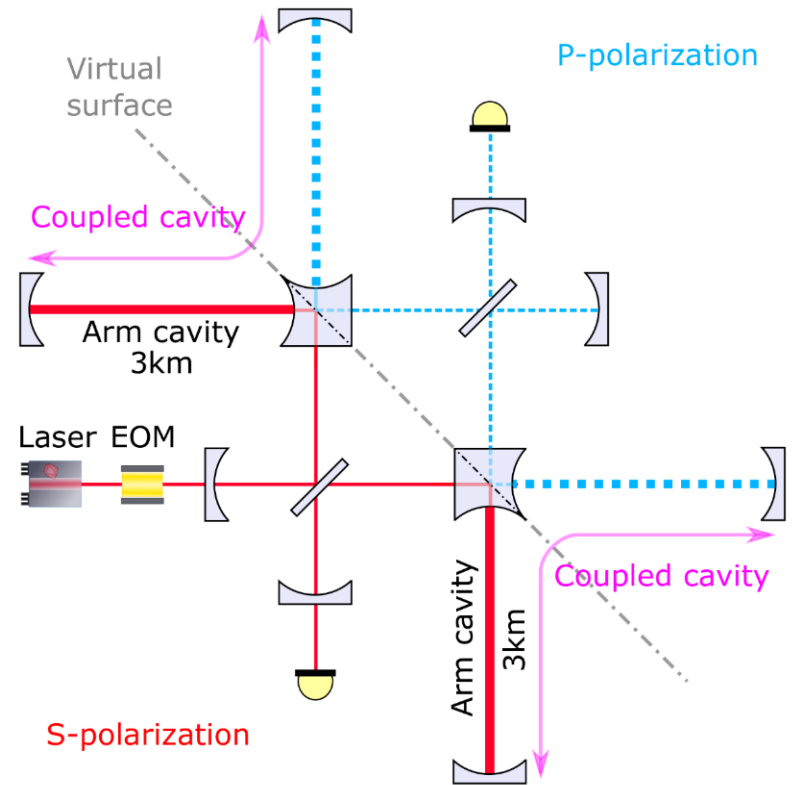
S-pol and p-pol beams form a coupled cavity.

We have

- figured out the phase relation in s/p couplings
- derived the coupling matrix
- proposed a model to simulate birefringence with Finesse

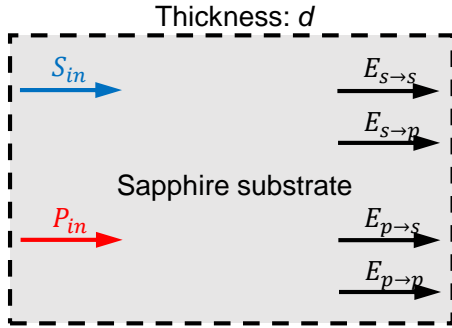
We are going to

- derive the birefringence map
- set up the two-world approach model in Finesse
- apply necessary mirror maps to study birefringence



4. Study of birefringence effect in KAGRA

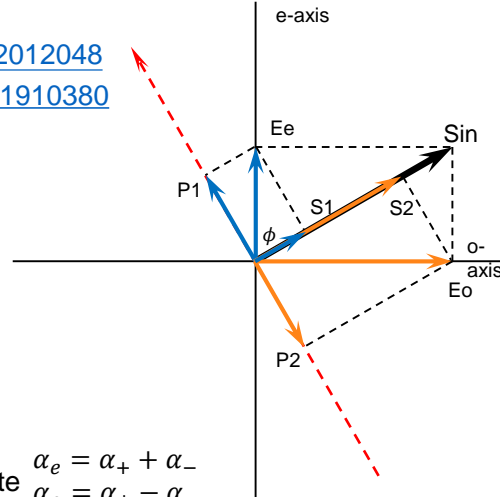
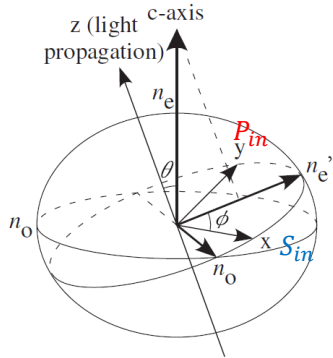
Coupling matrix [JGW-T2012048](#) [JGW-T1910380](#)



$$\alpha_e = 2\pi \frac{dn'_e}{\lambda} \quad \alpha_o = 2\pi \frac{dn_o}{\lambda}$$

One-way phase difference α_-

$$\alpha_- = \frac{\alpha_e - \alpha_o}{2} = \frac{\pi d}{\lambda} (n'_e - n_o)$$



Note $\alpha_e = \alpha_+ + \alpha_-$
 $\alpha_o = \alpha_+ - \alpha_-$

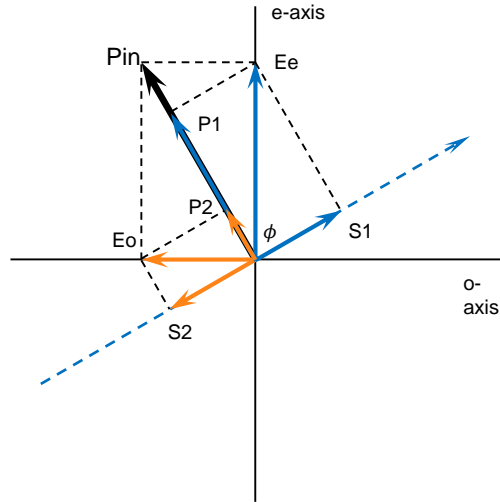
Considering the incident beam is **pure s-pol** S_{in} . It is projected to e-axis and o-axis. After a propagation of thickness d , the two fields are

$$E_e = \cos \phi e^{i\alpha_e} S_{in} \quad E_o = \sin \phi e^{i\alpha_o} S_{in}$$

The two fields are then projected back to s-pol axis and p-pol axis:

$$\begin{aligned} E_{S \rightarrow S} &= \cos \phi E_e + \sin \phi E_o = S_{in} (\cos^2 \phi e^{i\alpha_e} + \sin^2 \phi e^{i\alpha_o}) \\ &= S_{in} e^{i\alpha_+} (\cos^2 \phi e^{i\alpha_-} + \sin^2 \phi e^{-i\alpha_-}) \\ &= S_{in} e^{i\alpha_+} [\cos^2 \phi (\cos \alpha_- + i \sin \alpha_-) + \sin^2 \phi (\cos \alpha_- - i \sin \alpha_-)] \\ &= S_{in} e^{i\alpha_+} (\cos \alpha_- + i \cos 2\phi \sin \alpha_-) \end{aligned}$$

$$\begin{aligned} E_{S \rightarrow P} &= \sin \phi E_e - \cos \phi E_o = S_{in} (\sin \phi \cos \phi e^{i\alpha_e} - \sin \phi \cos \phi e^{i\alpha_o}) \\ &= S_{in} e^{i\alpha_+} (\sin \phi \cos \phi e^{i\alpha_-} - \sin \phi \cos \phi e^{-i\alpha_-}) \\ &= S_{in} e^{i\alpha_+} \cdot i \sin 2\phi \sin \alpha_- \end{aligned}$$



Considering the incident beam is **pure p-pol** P_{in} . It is projected to e-axis and o-axis. After a propagation of thickness d , the two fields are

$$E_e = \sin \phi e^{i\alpha_e} P_{in} \quad E_o = \cos \phi e^{i\alpha_o} P_{in}$$

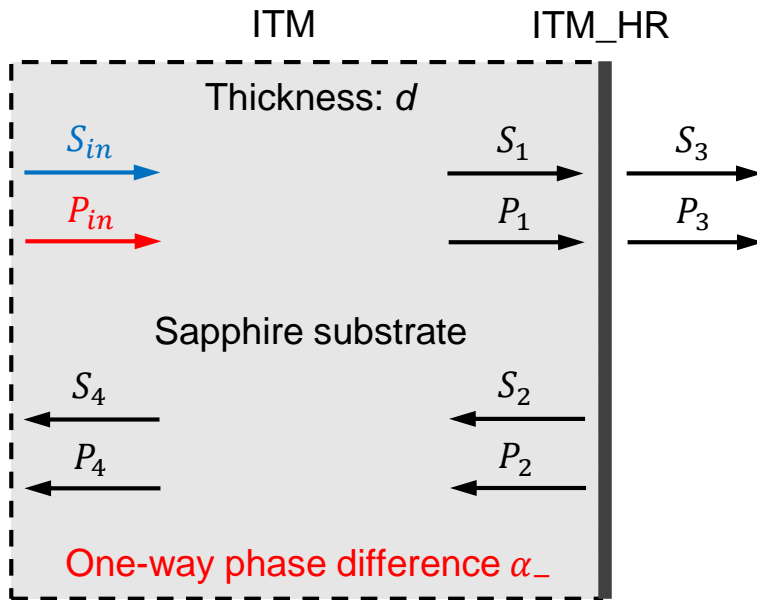
The two fields are then projected back to s-pol axis and p-pol axis:

$$\begin{aligned} E_{P \rightarrow S} &= \cos \phi E_e - \sin \phi E_o = P_{in} (\sin \phi \cos \phi e^{i\alpha_e} - \sin \phi \cos \phi e^{i\alpha_o}) \\ &= P_{in} e^{i\alpha_+} (\sin \phi \cos \phi e^{i\alpha_-} - \sin \phi \cos \phi e^{-i\alpha_-}) \\ &= P_{in} e^{i\alpha_+} \cdot i \sin 2\phi \sin \alpha_- \end{aligned}$$

$$\begin{aligned} E_{P \rightarrow P} &= \sin \phi E_e + \cos \phi E_o = P_{in} (\sin^2 \phi e^{i\alpha_e} + \cos^2 \phi e^{i\alpha_o}) \\ &= P_{in} e^{i\alpha_+} (\sin^2 \phi e^{i\alpha_-} + \cos^2 \phi e^{-i\alpha_-}) \\ &= P_{in} e^{i\alpha_+} [\sin^2 \phi (\cos \alpha_- + i \sin \alpha_-) + \cos^2 \phi (\cos \alpha_- - i \sin \alpha_-)] \\ &= P_{in} e^{i\alpha_+} (\cos \alpha_- - i \cos 2\phi \sin \alpha_-) \end{aligned}$$

4. Study of birefringence effect in KAGRA

We have developed a model to simulate the birefringence.

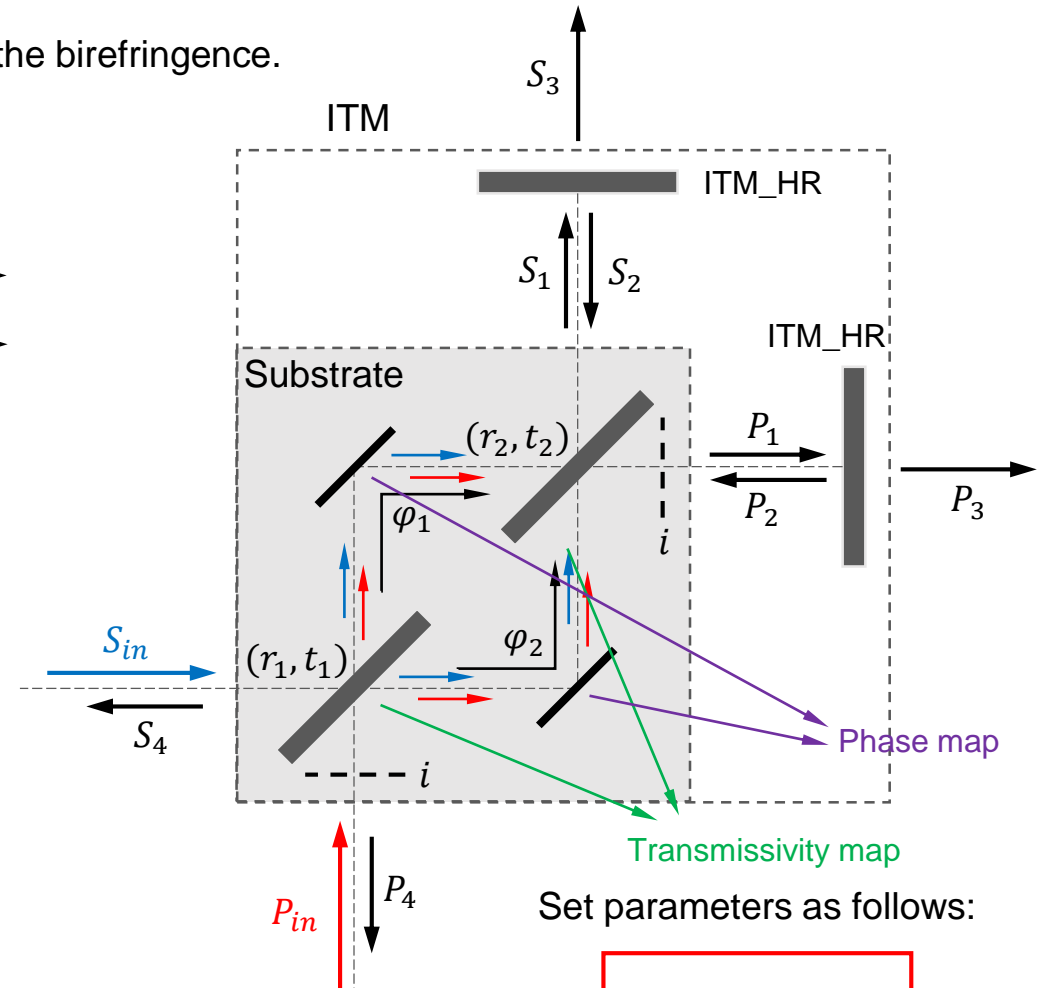


$$\alpha_- = \frac{\alpha_e - \alpha_o}{2} = \frac{\pi d}{\lambda} (n'_e - n_o)$$

In both cases, we have fields as follows

$$\begin{bmatrix} S_1 \\ P_1 \end{bmatrix} = \mathbf{G} \times \begin{bmatrix} S_{in} \\ P_{in} \end{bmatrix} \quad \begin{bmatrix} S_2 \\ P_2 \end{bmatrix} = r_{HR} \begin{bmatrix} S_1 \\ P_1 \end{bmatrix} \quad \begin{bmatrix} S_3 \\ P_3 \end{bmatrix} = it_{HR} \begin{bmatrix} S_1 \\ P_1 \end{bmatrix} \quad \begin{bmatrix} S_4 \\ P_4 \end{bmatrix} = \mathbf{G} \times \begin{bmatrix} S_2 \\ P_2 \end{bmatrix}$$

$$\mathbf{G} = \begin{bmatrix} r_{s \rightarrow s} & t_{p \rightarrow s} \\ t_{s \rightarrow p} & r_{p \rightarrow p} \end{bmatrix} = \begin{bmatrix} \cos \alpha_- + i \cos 2\phi \sin \alpha_- & i \sin 2\phi \sin \alpha_- \\ i \sin 2\phi \sin \alpha_- & \cos \alpha_- - i \cos 2\phi \sin \alpha_- \end{bmatrix}$$

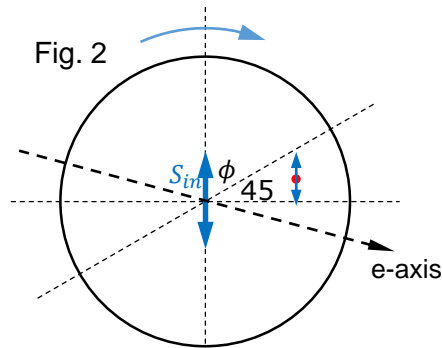
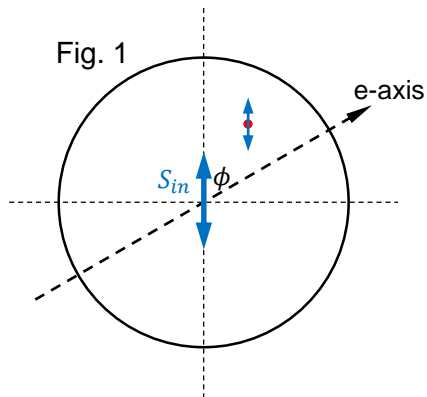


Set parameters as follows:

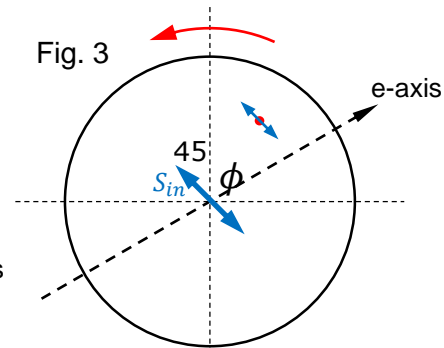
$$\begin{aligned} r_1 &= r_2 = \sin \phi \\ t_1 &= t_2 = \cos \phi \\ \phi_1 &= -\alpha_- \\ \phi_2 &= \pi + \alpha_- \end{aligned}$$

4. Study of birefringence effect in KAGRA

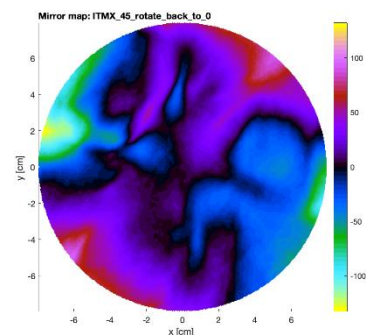
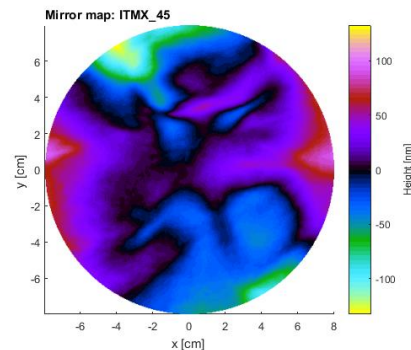
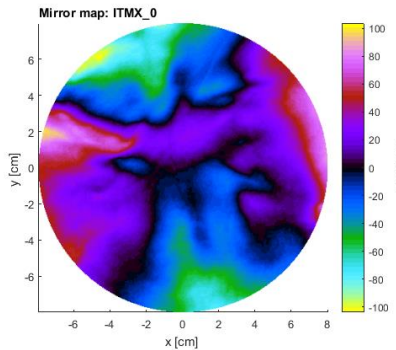
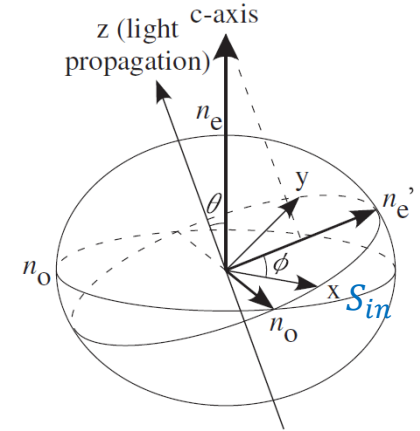
Measure the transmission wavefront error (TWE) map



Clockwise rotate the mirror by 45 degrees and measure the map



The measured map is anticlockwise rotated back by 45 degrees.

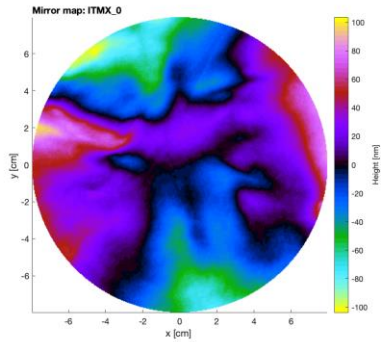


After rotating the map back, it is equivalent that we change the polarization rotation of the input beam and take the measurement, while the mirror keeps still. (Compare Fig. 1 and Fig. 3.)

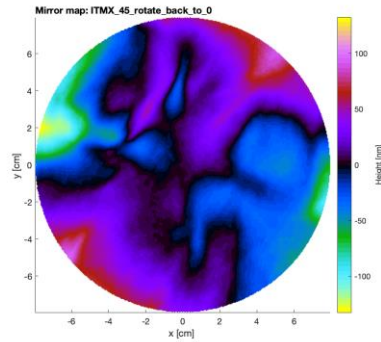
4. Study of birefringence effect in KAGRA

TWE maps for ITMX

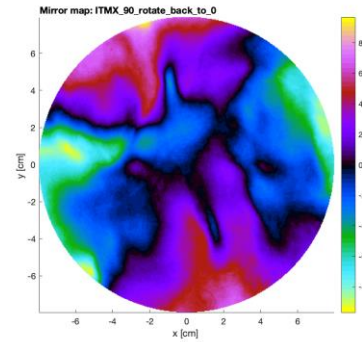
map(0)



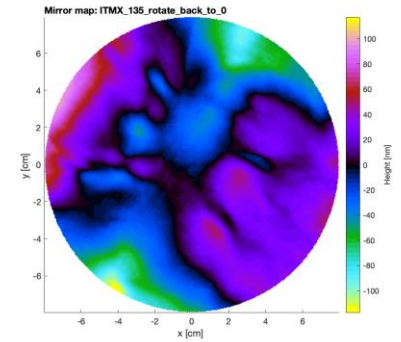
map(45)



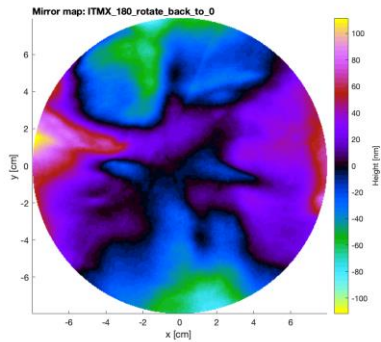
map(90)



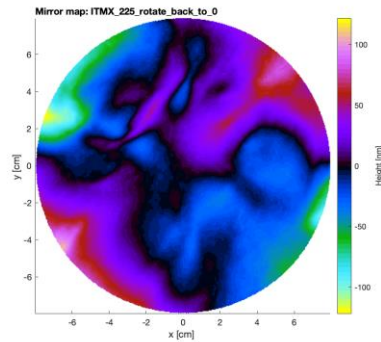
map(135)



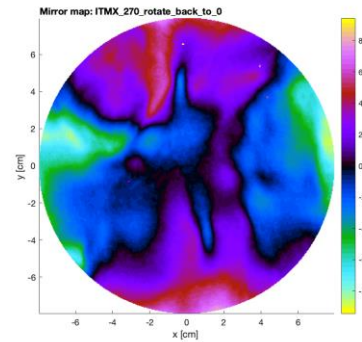
map(180)



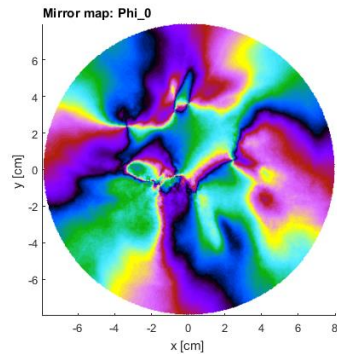
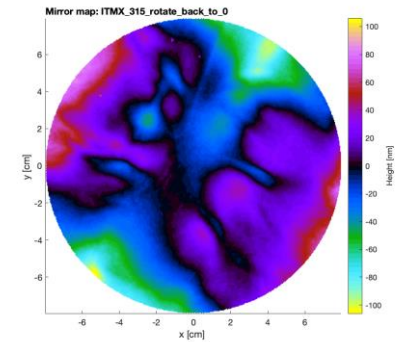
map(225)



map(270)

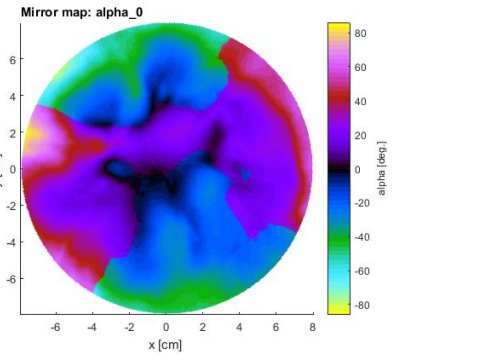


map(315)



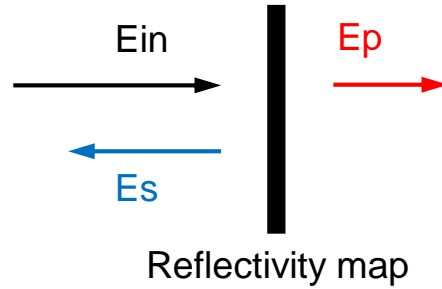
$$\phi = -\frac{1}{2} \tan^{-1} \frac{\text{map}(\phi + 45) - \text{map}(\phi + 135)}{\text{map}(\phi) - \text{map}(\phi + 90)}$$

$$\alpha_- = \frac{\alpha_e - \alpha_o}{2} = \frac{2\pi}{\lambda} \cdot \frac{\text{map}(\phi) - \text{map}(\phi + 90)}{\cos 2\phi}$$

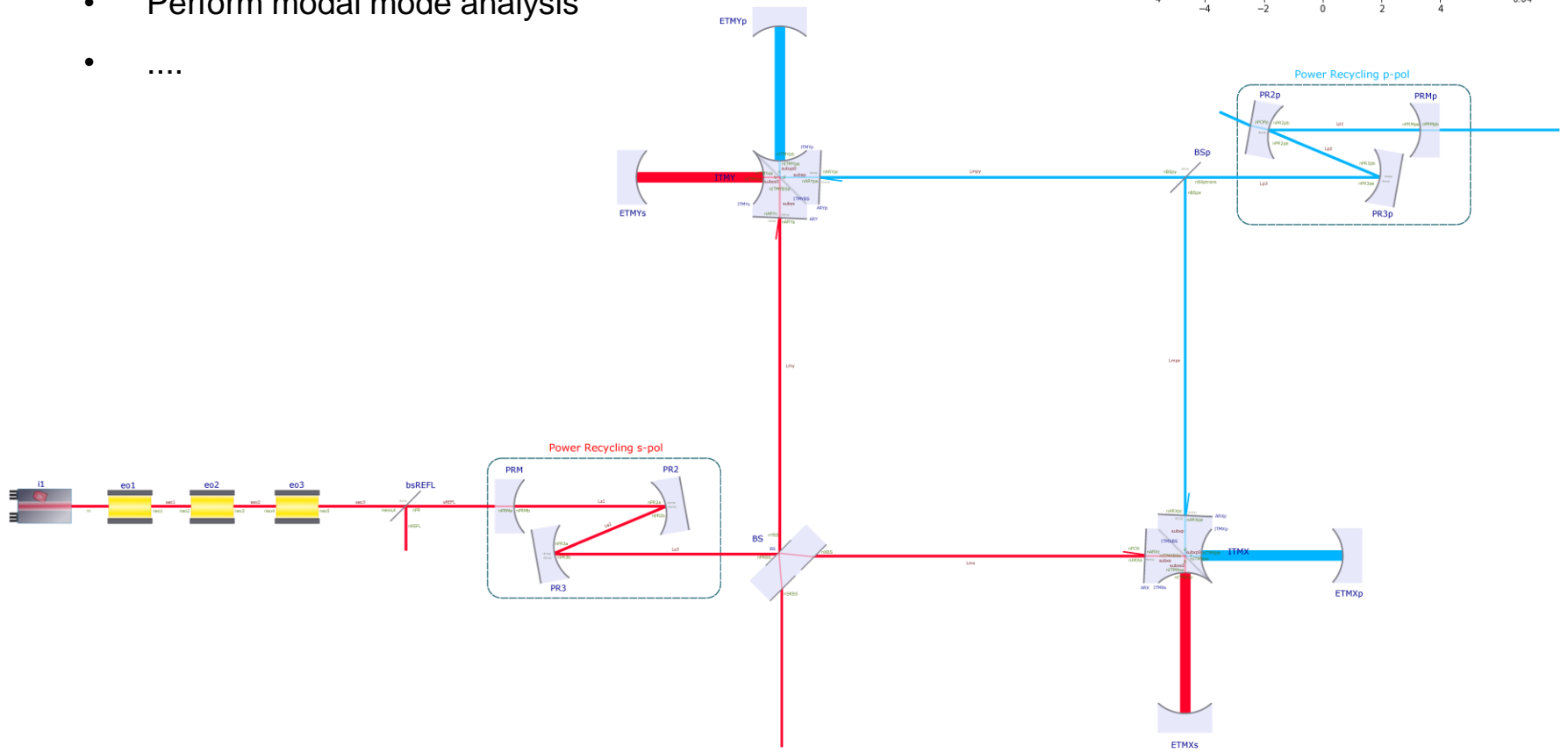
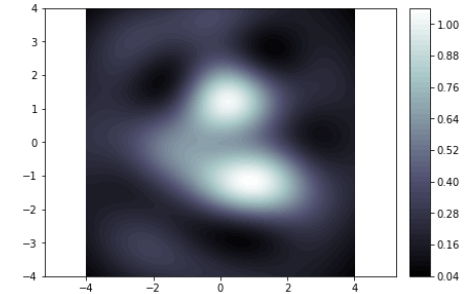


4. Study of birefringence effect in KAGRA

- Check resonances for p-pol beam
- Check control signals
- Check power recycle gain
- Check beam shapes
- Perform modal mode analysis
-



Single bounce beam shape



Thank you !