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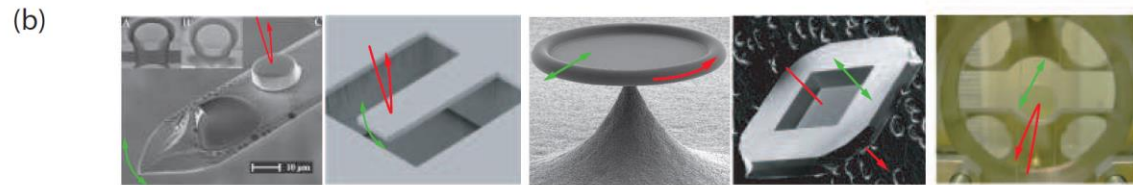
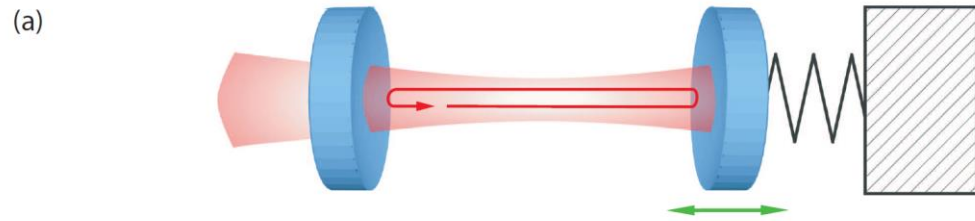
CENTRE FOR
QUANTUM COMPUTATION &
COMMUNICATION TECHNOLOGY
AUSTRALIAN RESEARCH COUNCIL CENTRE OF EXCELLENCE

Photothermal Effects, Optomechanics and Optical Levitation

Chenyue Gu

Supervisors: Jiayi Qin, Giovanni Guccione, and Ping Koy Lam

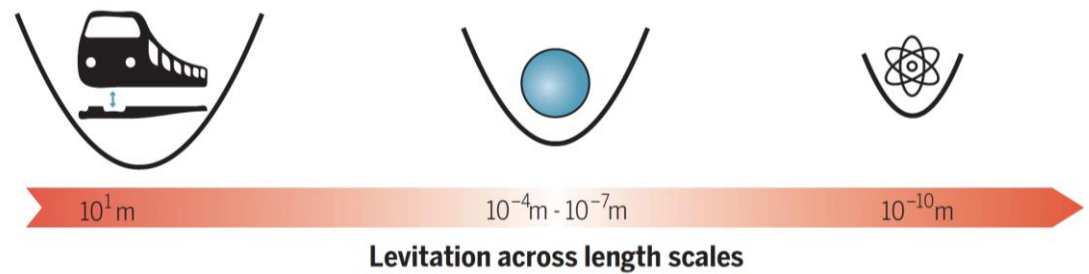
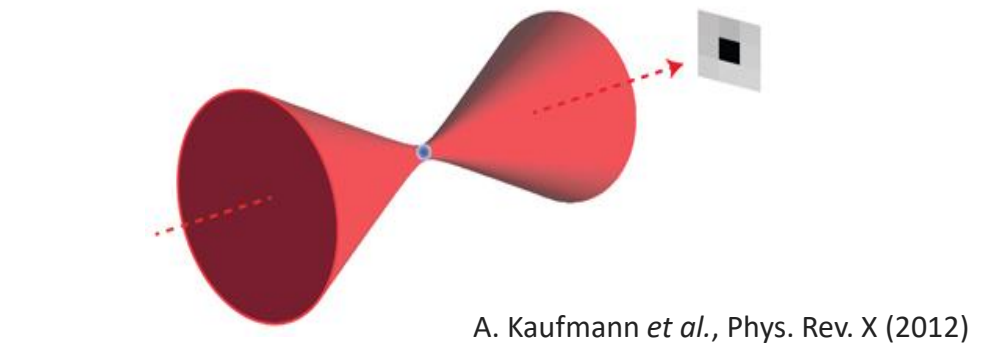
Cavity optomechanics



\mathcal{F}	200	30,000	22,000	15,000	4,000
$\Omega_m/2\pi$	12.5 kHz	814 kHz	57.8 MHz	134 kHz	12.7 Hz
Q_m	18,400	10,000	2,900	$1.1 \cdot 10^6$	19,950
m_{eff}	24 ng	190 μg	15 ng	40 ng	~ 1 g
Ref.	[34]	[26,27]	[22,28]	[30]	[29]

[2] Kippenberg, T. J., & Vahala, K. J. (2007). Cavity opto-mechanics. *Optics express*, 15(25), 17172-17205.

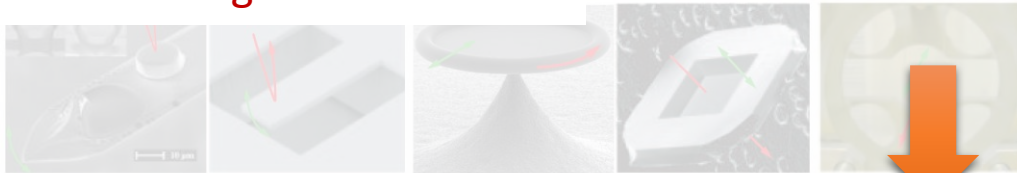
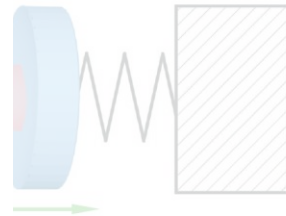
Optical levitation



[3] Gonzalez-Ballester, C., Aspelmeyer, M., Novotny, L., Quidant, R., & Romero-Isart, O. (2021). Levitodynamics: Levitation and control of microscopic objects in vacuum. *Science*, 374(6564), eabg3027.

Cavity optomechanics

1. High sensitivity
2. Tunability (Optical spring)
3. Simultaneously readout
4. Robustness to mechanical loss
5. Can deal with mass
6. Less scattering



\mathcal{F}	200	30,000	22,000	15,000	4,000
$\Omega_m/2\pi$	12.5 kHz	814 kHz	57.8 MHz	134 kHz	12.7 Hz
Q_m	18,400	10,000	2,900	$1.1 \cdot 10^6$	19,950

1. Precise metrology (gravimeters, weak force, pressure sensors, acceleration & rotation sensors)

40 ng
[30]

2. Optical and mechanical interaction

mechanics. Optics express,

Optical levitation

1. High inertial sensitivity
2. Tunability (external degree of freedom)
3. Coupling internal and external degree of freedom
4. Simultaneously readout
5. Free to move
6. Controllable isolation



Levitatio

3. Preparation of mechanical quantum states

[3] Gonzalez-Ballester, C., Aspelmeyer, M., Novotny, L., Quidant, R., & Romero-Isart, O. (2021). Levitodynamics: Levitation and control of microscopic objects in vacuum. Science, 374(6564), eabg3027.

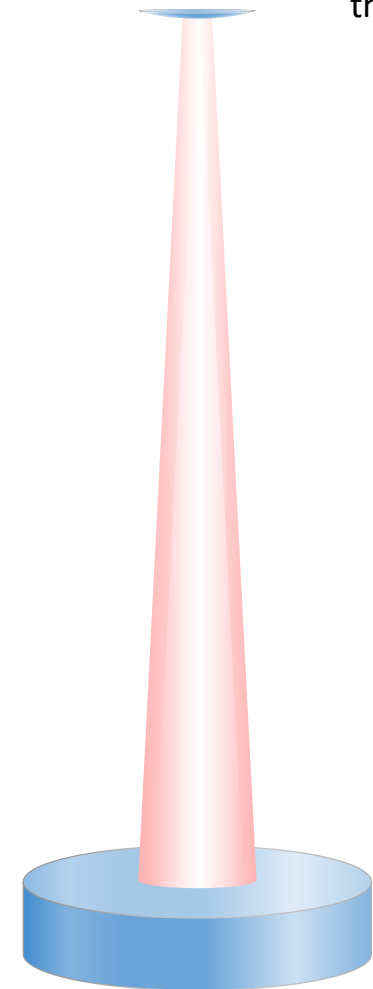
Optomechanical Levitation



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Mass : 1.116 mg
Size : diameter \sim 3 mm
thickness \sim 50 μ m

1. Environmental isolation
2. Scattering-free
3. Large mass
4. Simultaneous optical readouts



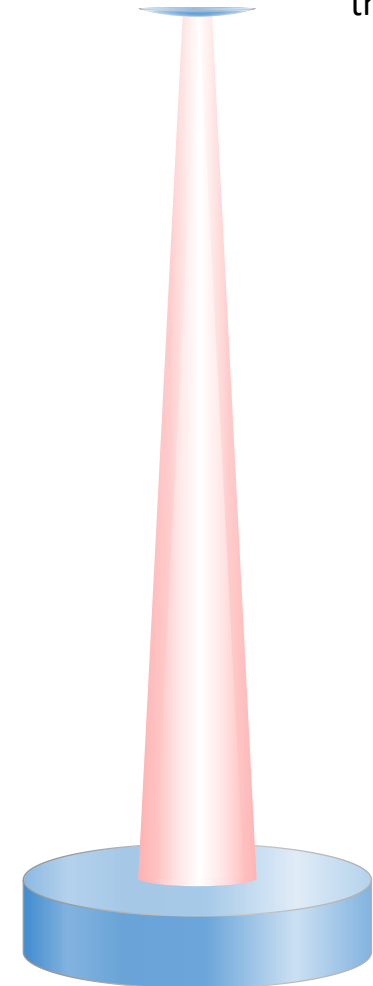
Optomechanical Levitation



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1. Optomechanics
2. Optical-levitation System and Current Model
3. Photothermal Cancellation
4. Model with multiple photothermal effects

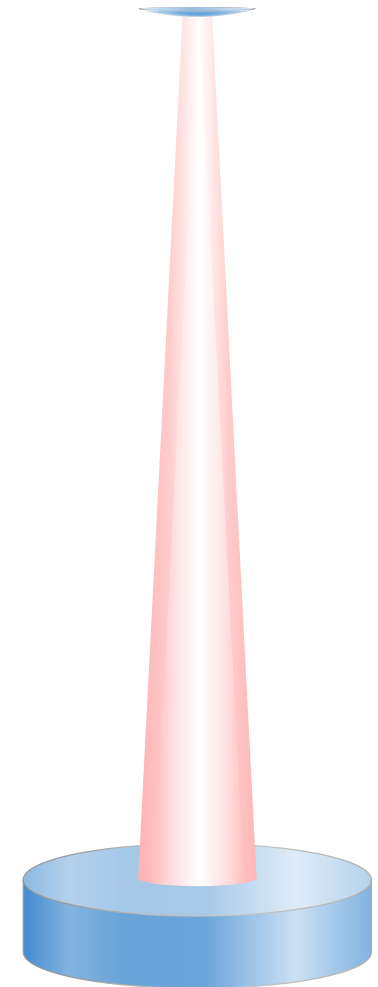
Mass : 1.116 mg
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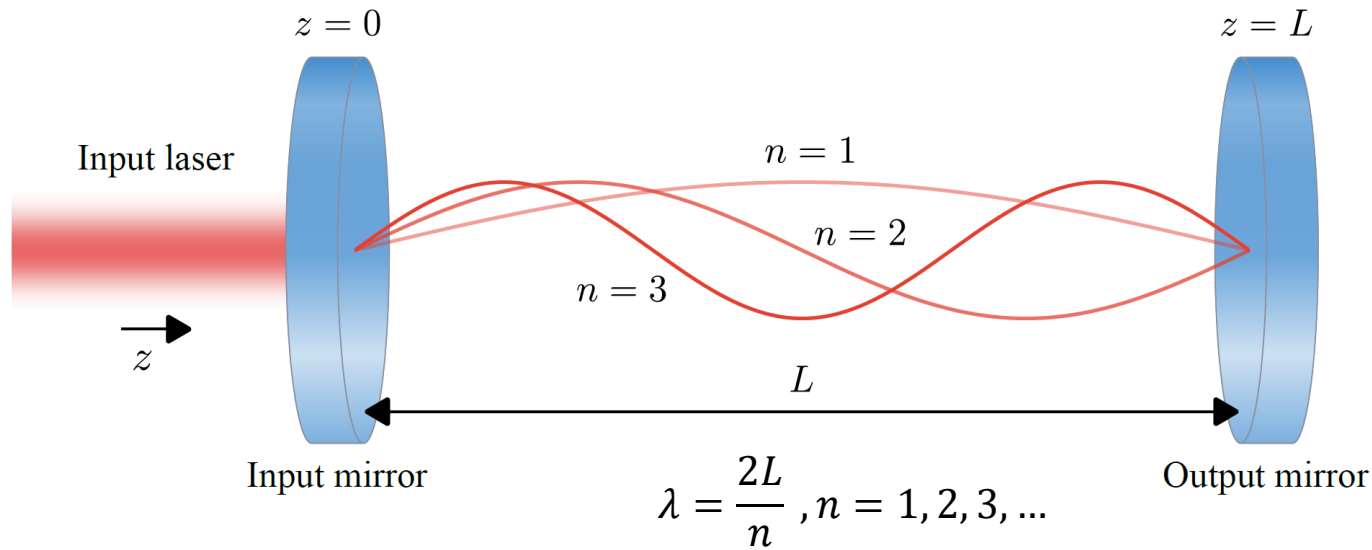


Optomechanical Levitation



1. Optomechanics
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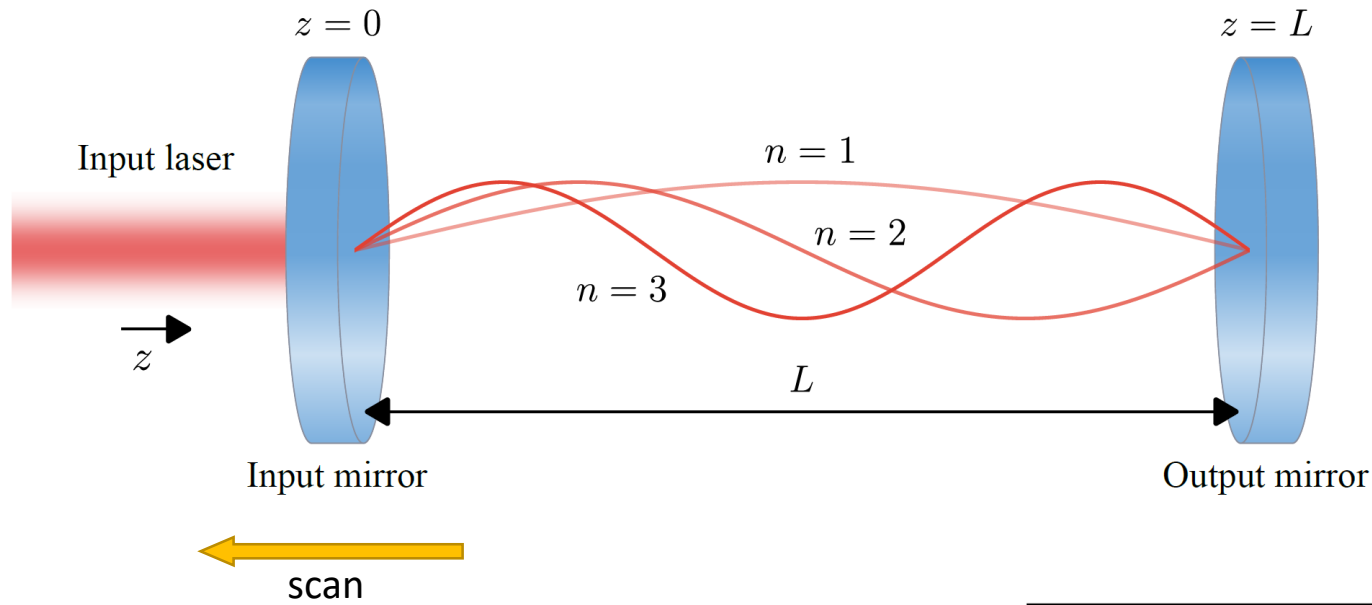
Resonance frequencies: $\omega_{cav,n} = \frac{n\pi c}{L}$

Free spectral range (FSR): $\Delta\omega_{FSR} = \omega_{cav,n} - \omega_{cav,n-1} = \frac{\pi c}{L}$

Cavity loss: $\kappa = \kappa_{in} + \kappa_{out} + \kappa_0$

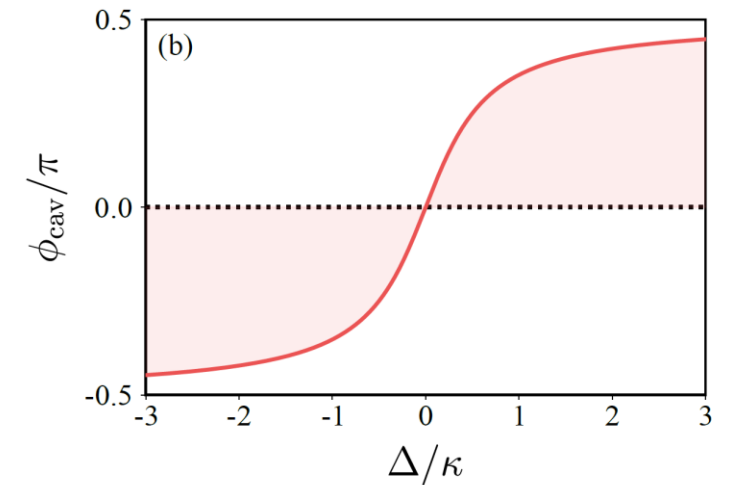
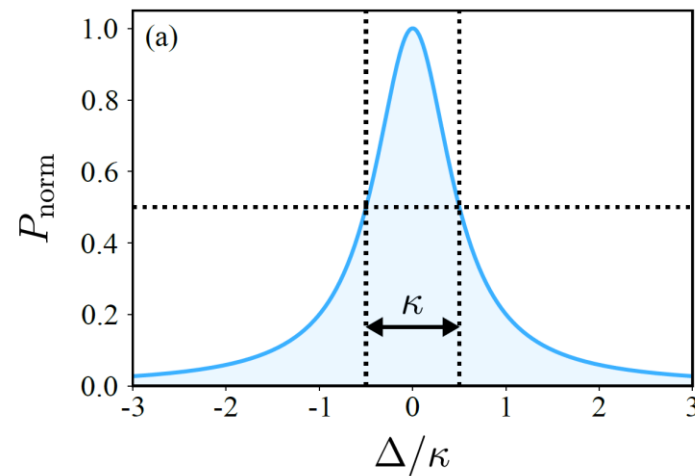
Finesse: $\mathcal{F} \equiv \frac{\Delta\omega_{FSR}}{\kappa}$

Optomechanics

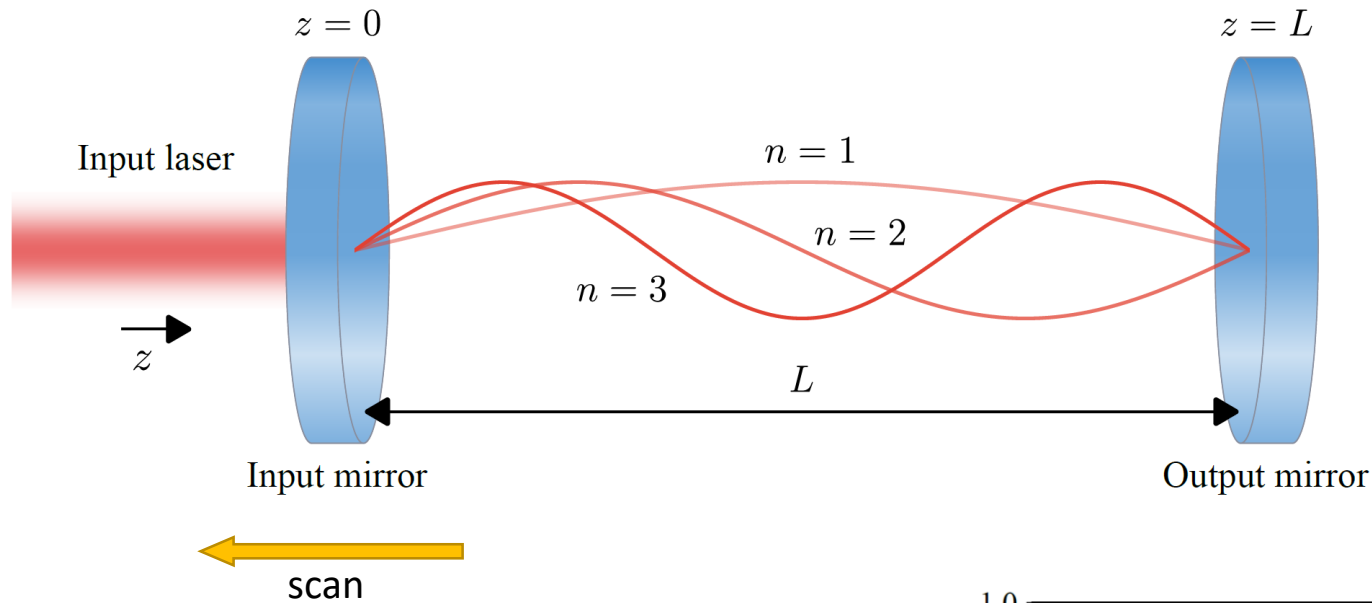


$$\dot{a} = -\left(\frac{\kappa}{2} - i\Delta\right)a + \sqrt{\kappa_{in}}a_{in}$$

$$\Delta = \omega_L - \omega_{cav}$$



Optomechanics

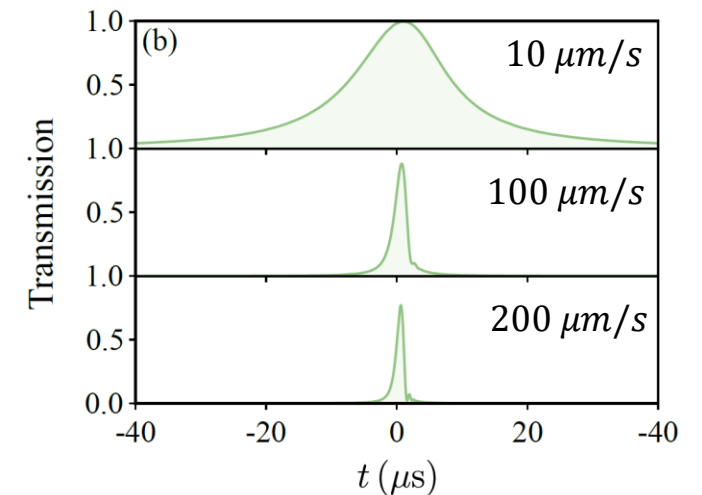
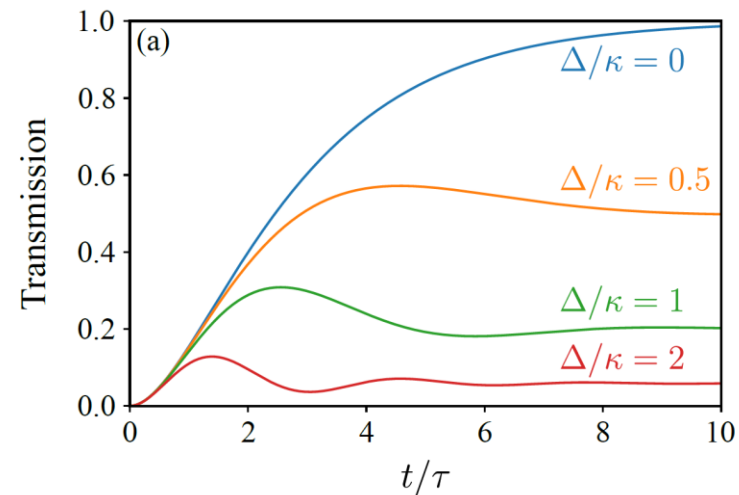


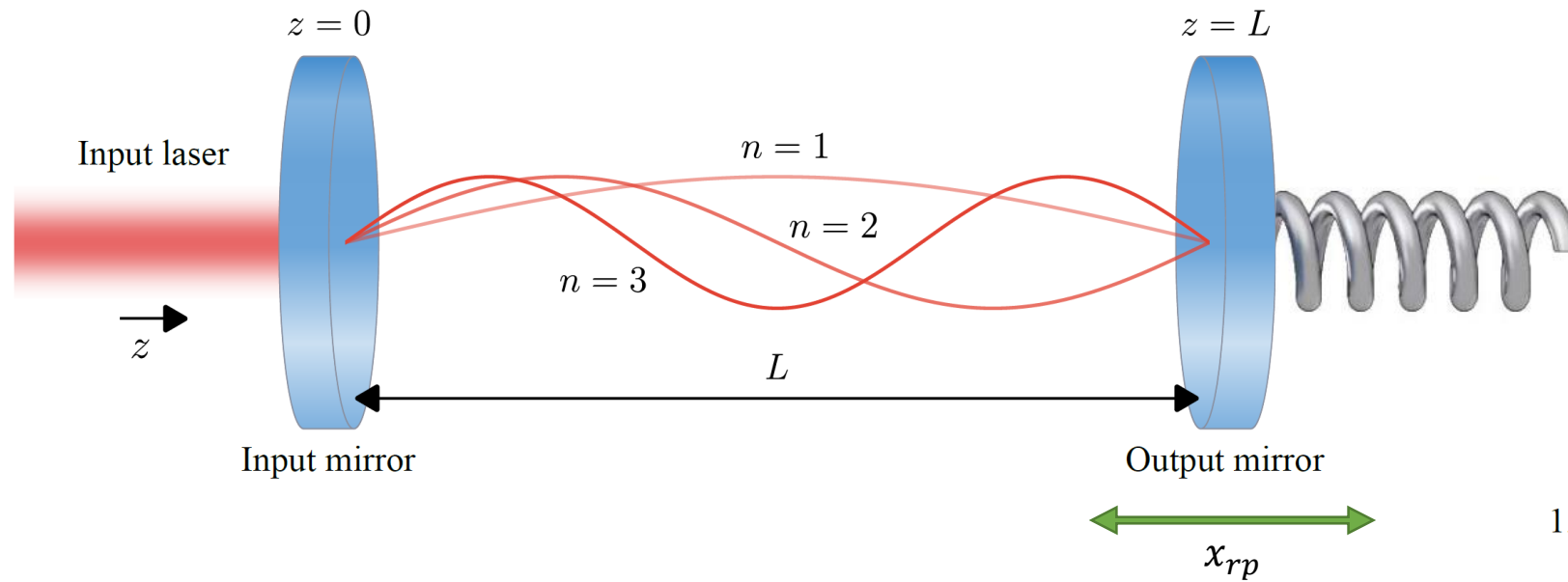
$$\dot{a} = -\left[\frac{\kappa}{2} - i\Delta(t)\right]a + \sqrt{\kappa_{in}}a_{in}$$

$$L(t) = L_0 + v_{scan}t$$

$$\Delta(t) = \Delta_0 + \frac{2kv_{scan}t}{\tau_{cav}}$$

k : wavenumber
 τ_{cav} : one-trip time





$$\dot{a} = -\left[\frac{\kappa}{2} - i(\Delta + Gx_{rp})\right]a + \sqrt{\kappa_{in}}a_{in}$$

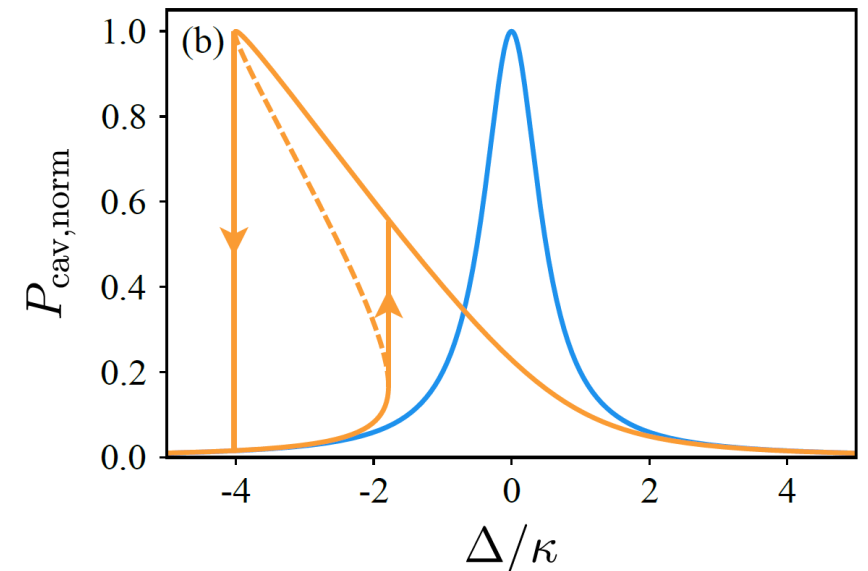
$$\ddot{x}_{rp} = -\Omega_m^2 x_{rp} - \Gamma_m \dot{x}_{rp} + F_{opt}(t)$$

G : frequency pull parameter

Ω_m : frequency

Γ_m : damping rate

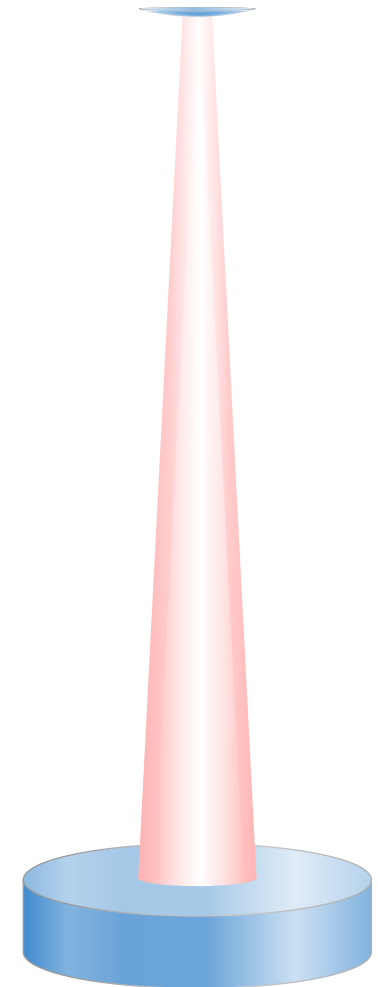
$F_{opt}(t)$: optical force



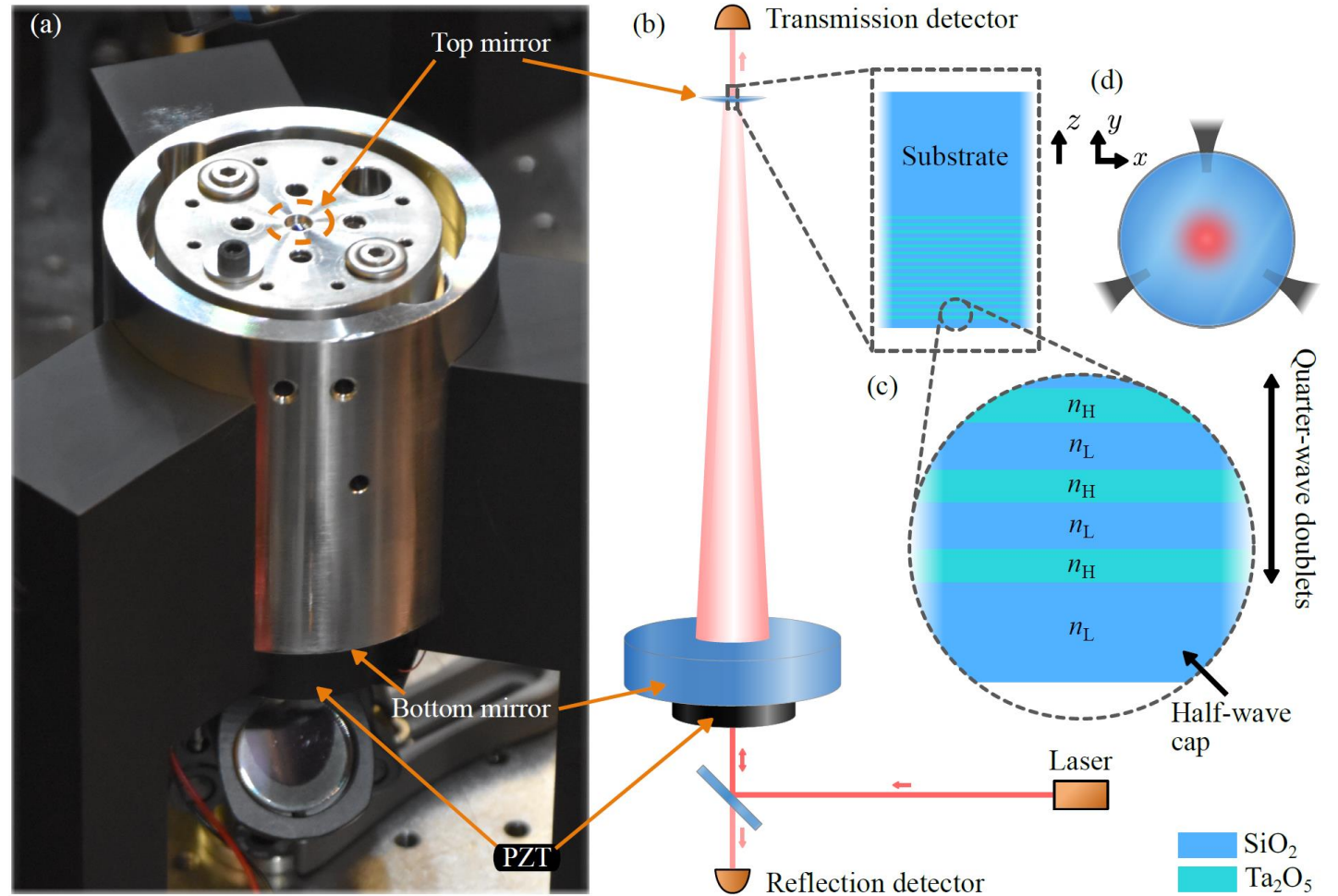
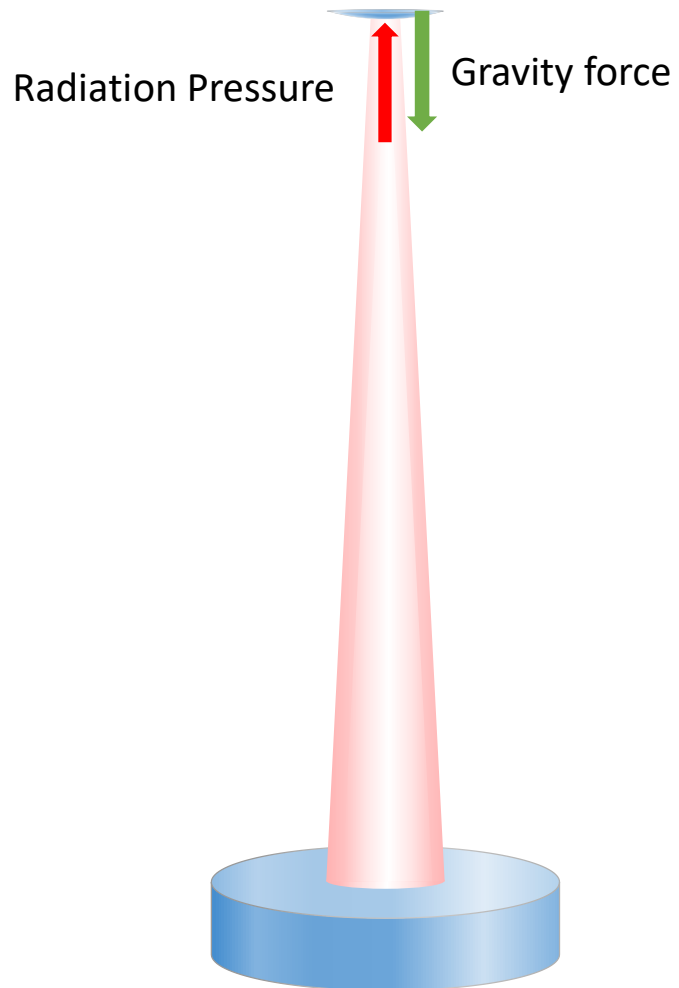
Optomechanical Levitation



1. Optomechanics
2. Optical-levitation System and Current Model
3. Photothermal Cancellation
4. Model with multiple photothermal effects



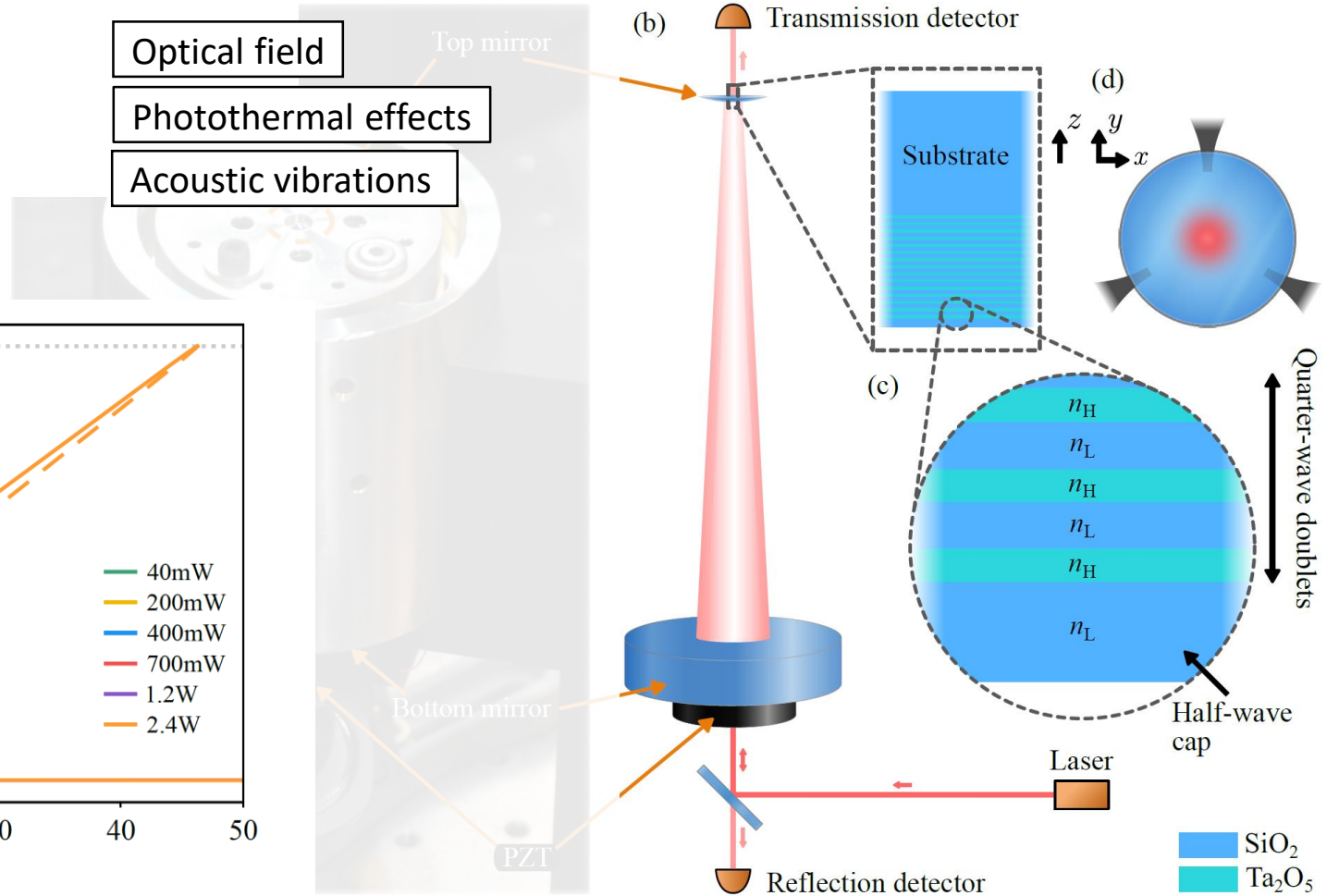
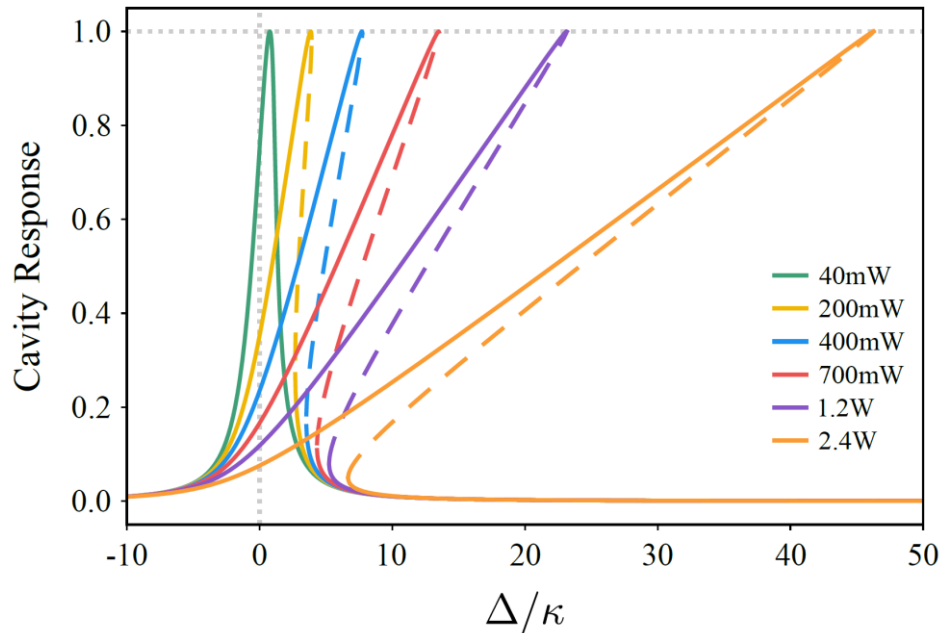
Optomechanical system



Optomechanical system



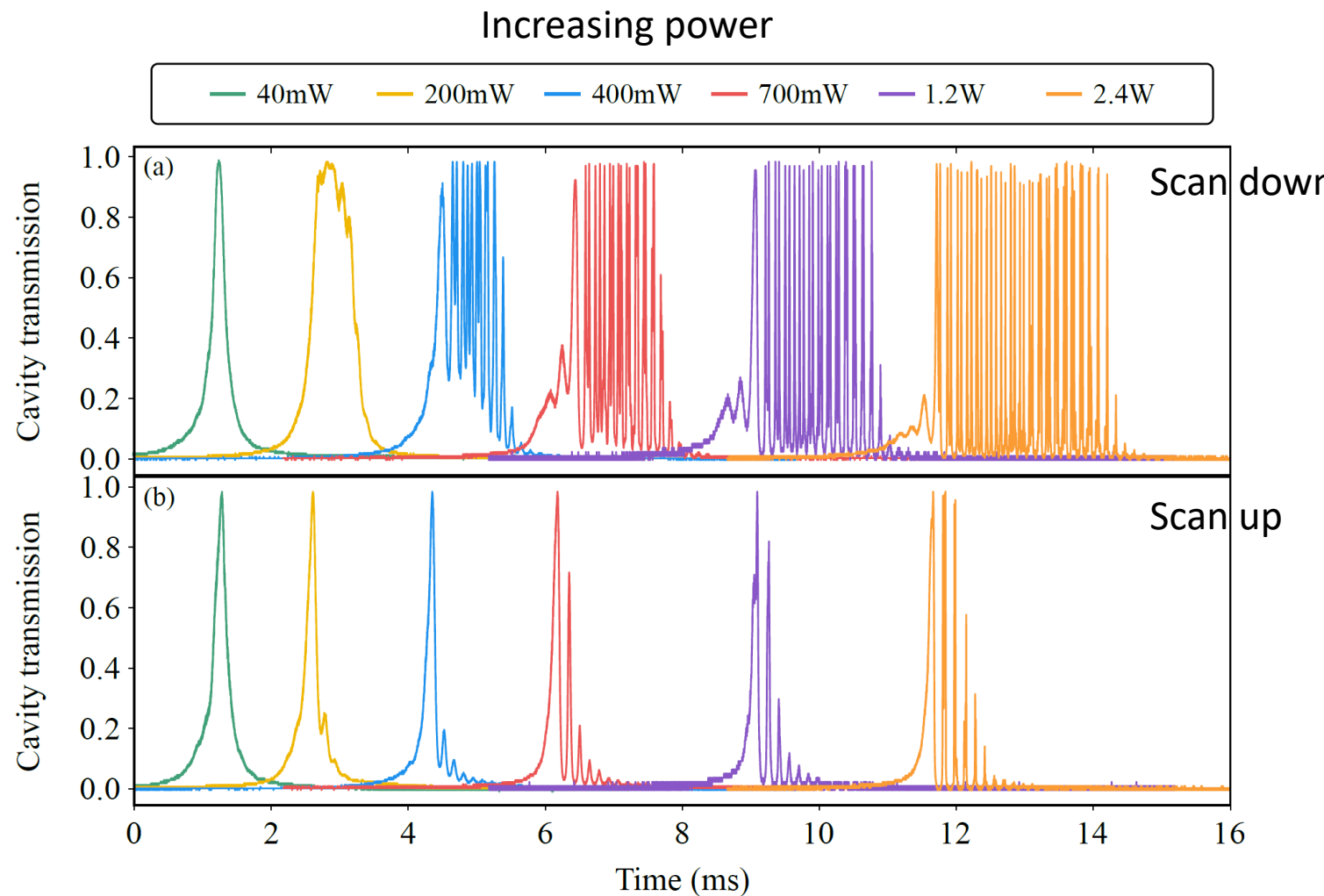
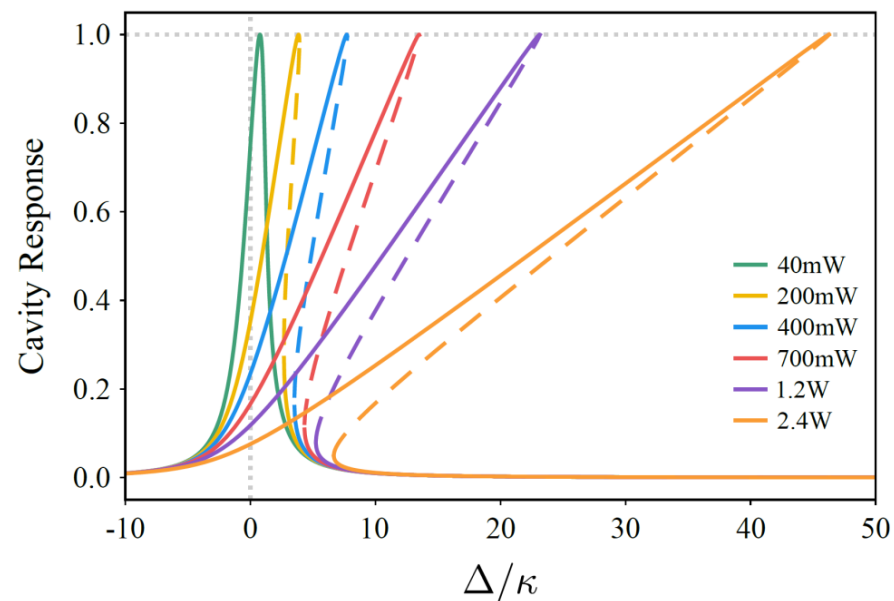
$$\begin{aligned} \dot{a} &= [-\kappa/2 + i(\Delta + G(x_{\text{th}} + x_{\text{ac}}))] a + \sqrt{\kappa_{\text{in}}} a_{\text{in}}, \\ \dot{x}_{\text{th}} &= -\gamma_{\text{th}}(x_{\text{th}} + \beta_{\text{th}} P_{\text{opt}}(a)), \\ \ddot{x}_{\text{ac}} &= -\gamma_{\text{ac}} \dot{x}_{\text{ac}} - \omega_{\text{ac}}^2 x_{\text{ac}} + F_{\text{opt}}(a)/m_{\text{ac}}, \end{aligned}$$



Optomechanical system



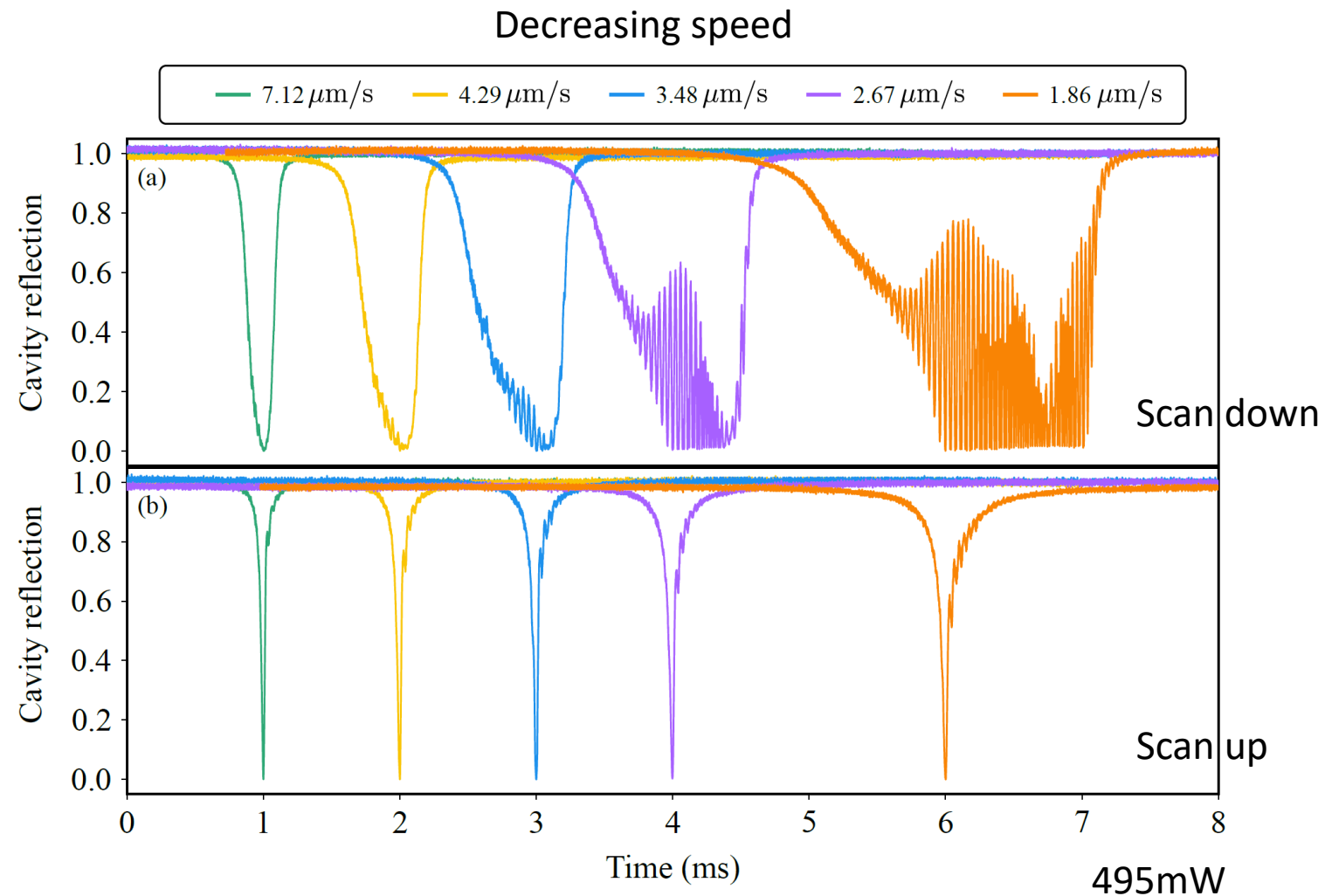
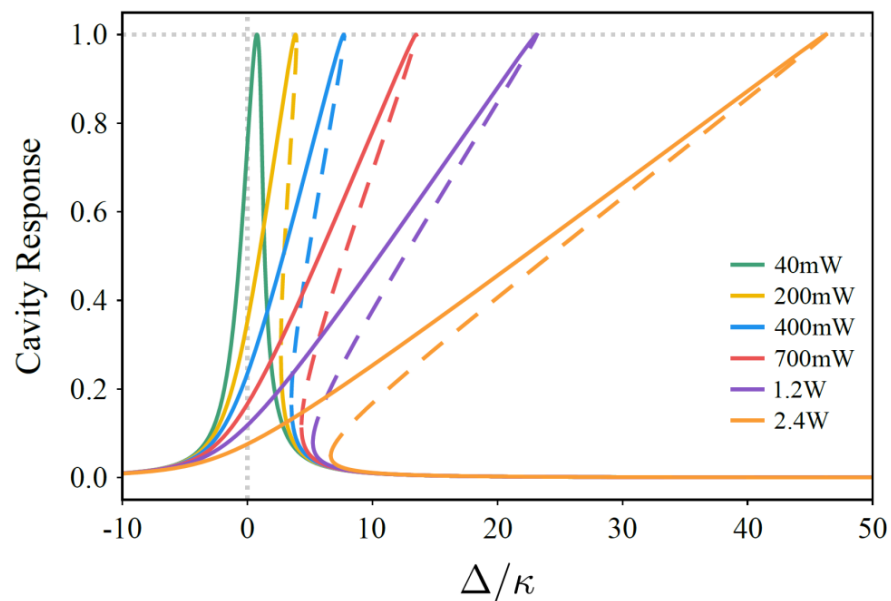
$$\begin{aligned}\dot{a} &= [-\kappa/2 + i(\Delta + G(x_{\text{th}} + x_{\text{ac}}))] a + \sqrt{\kappa_{\text{in}}} a_{\text{in}}, \\ \dot{x}_{\text{th}} &= -\gamma_{\text{th}}(x_{\text{th}} + \beta_{\text{th}} P_{\text{opt}}(a)), \\ \ddot{x}_{\text{ac}} &= -\gamma_{\text{ac}} \dot{x}_{\text{ac}} - \omega_{\text{ac}}^2 x_{\text{ac}} + F_{\text{opt}}(a)/m_{\text{ac}},\end{aligned}$$



Optomechanical system



$$\begin{aligned} \dot{a} &= [-\kappa/2 + i(\Delta + G(x_{\text{th}} + x_{\text{ac}}))] a + \sqrt{\kappa_{\text{in}}} a_{\text{in}}, \\ \dot{x}_{\text{th}} &= -\gamma_{\text{th}}(x_{\text{th}} + \beta_{\text{th}} P_{\text{opt}}(a)), \\ \ddot{x}_{\text{ac}} &= -\gamma_{\text{ac}} \dot{x}_{\text{ac}} - \omega_{\text{ac}}^2 x_{\text{ac}} + F_{\text{opt}}(a)/m_{\text{ac}}, \end{aligned}$$



Current model

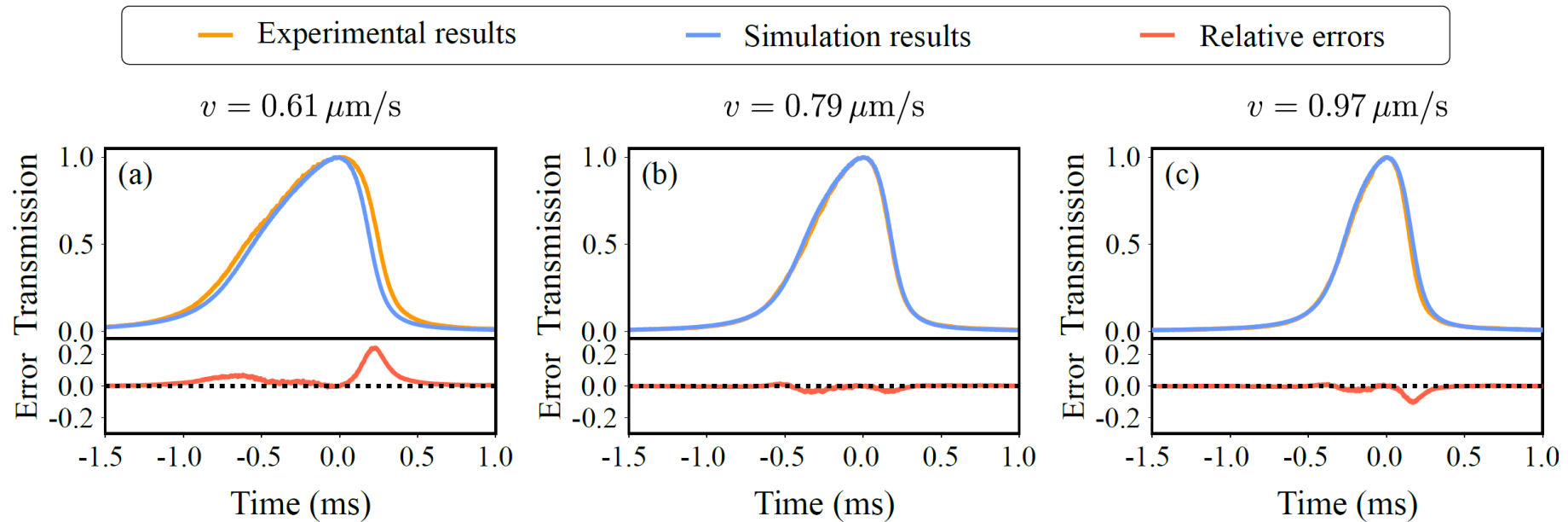


$$\dot{a} = [-\kappa/2 + i(\Delta + G(x_{\text{th}} + x_{\text{ac}}))] a + \sqrt{\kappa_{\text{in}}} a_{\text{in}}, \quad (3.1)$$

$$\dot{x}_{\text{th}} = -\gamma_{\text{th}}(x_{\text{th}} + \beta_{\text{th}} P_{\text{opt}}(a)), \quad (3.2)$$

$$\ddot{x}_{\text{ac}} = -\gamma_{\text{ac}} \dot{x}_{\text{ac}} - \omega_{\text{ac}}^2 x_{\text{ac}} + F_{\text{opt}}(a)/m_{\text{ac}}, \quad (3.3)$$

93mW



Current model

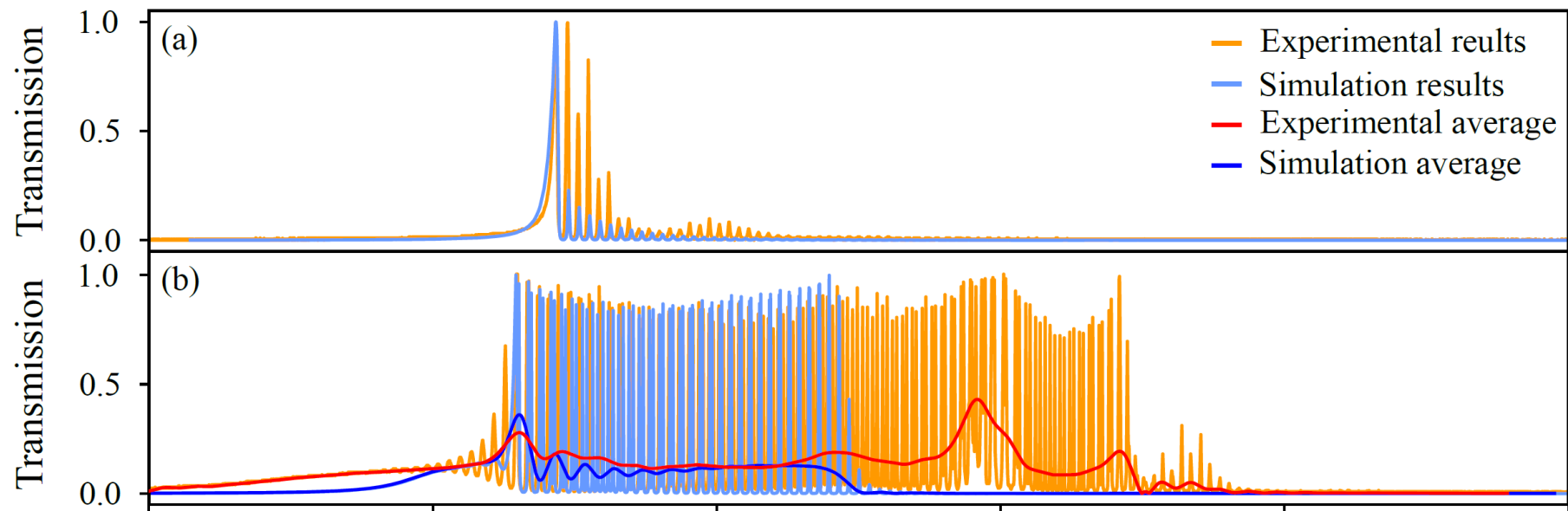


$$\dot{a} = [-\kappa/2 + i(\Delta + G(x_{\text{th}} + x_{\text{ac}}))] a + \sqrt{\kappa_{\text{in}}} a_{\text{in}}, \quad (3.1)$$

$$\dot{x}_{\text{th}} = -\gamma_{\text{th}}(x_{\text{th}} + \beta_{\text{th}} P_{\text{opt}}(a)), \quad (3.2)$$

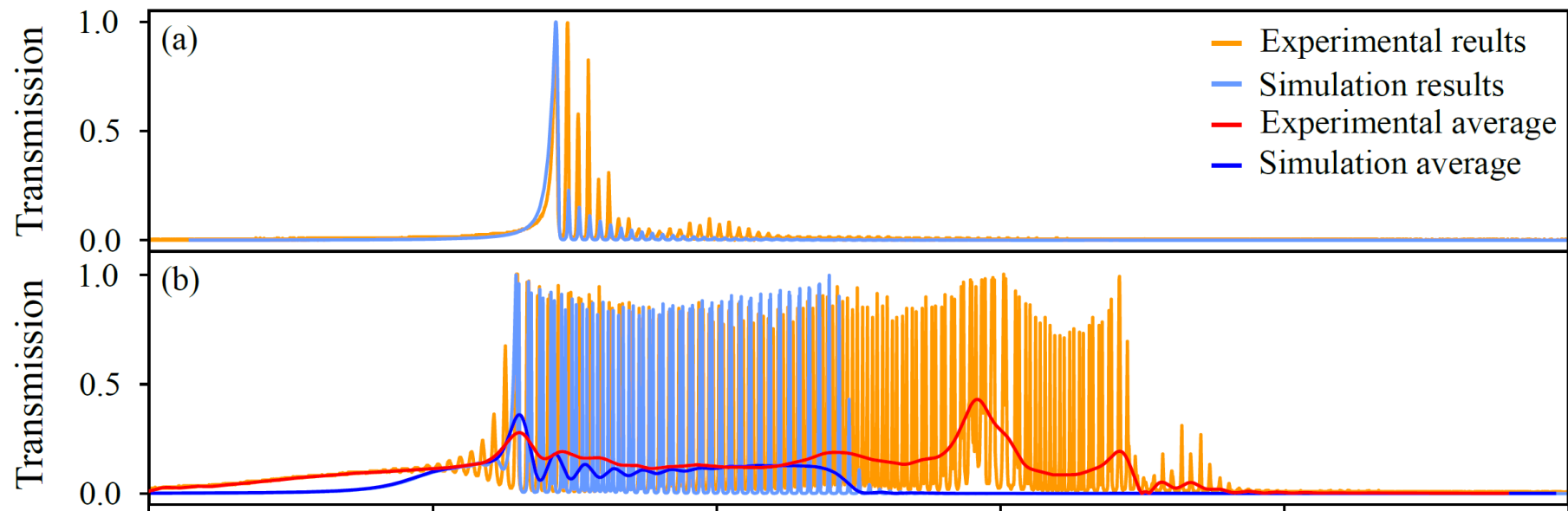
$$\ddot{x}_{\text{ac}} = -\gamma_{\text{ac}} \dot{x}_{\text{ac}} - \omega_{\text{ac}}^2 x_{\text{ac}} + F_{\text{opt}}(a)/m_{\text{ac}}, \quad (3.3)$$

2.75W





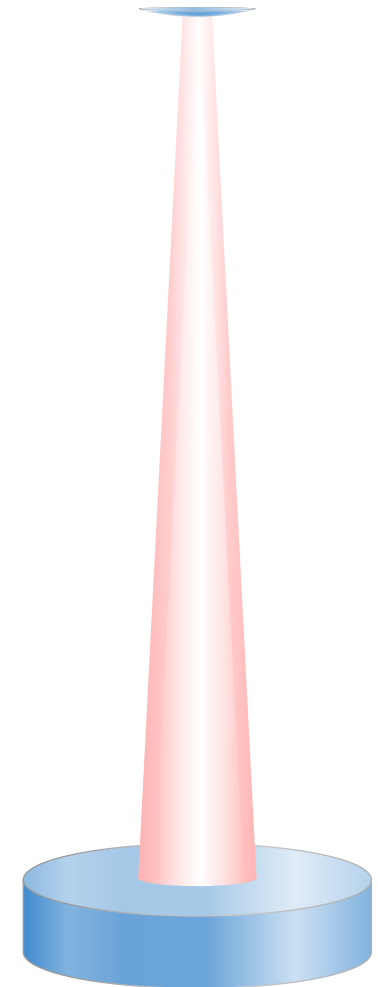
1. Stabilization of the cavity
2. A better model



Photothermal cancellation



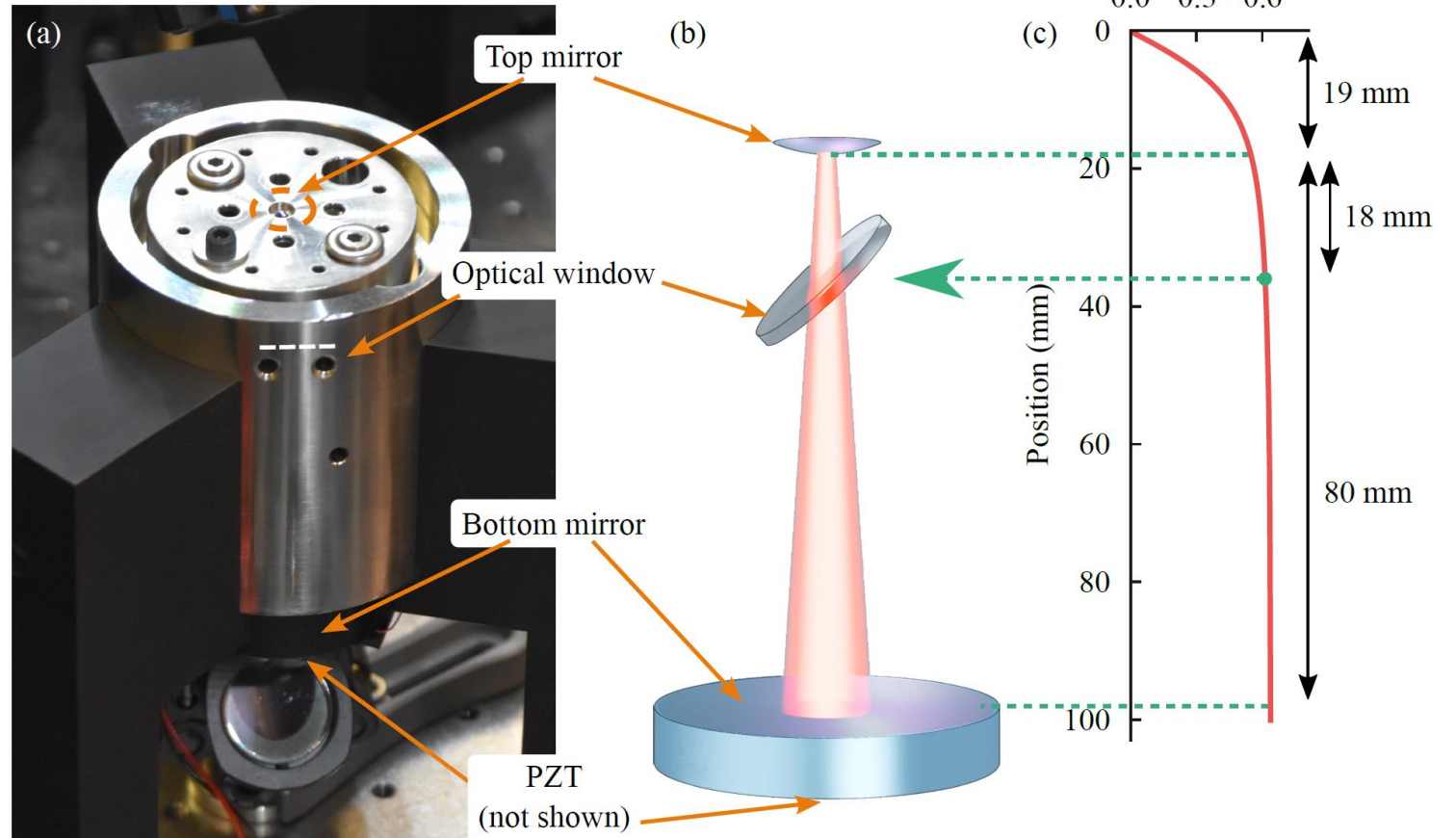
1. Optomechanics
2. Optical-levitation System and Current Model
- 3. Photothermal Cancellation**
4. Model with multiple photothermal effects



Photothermal cancellation



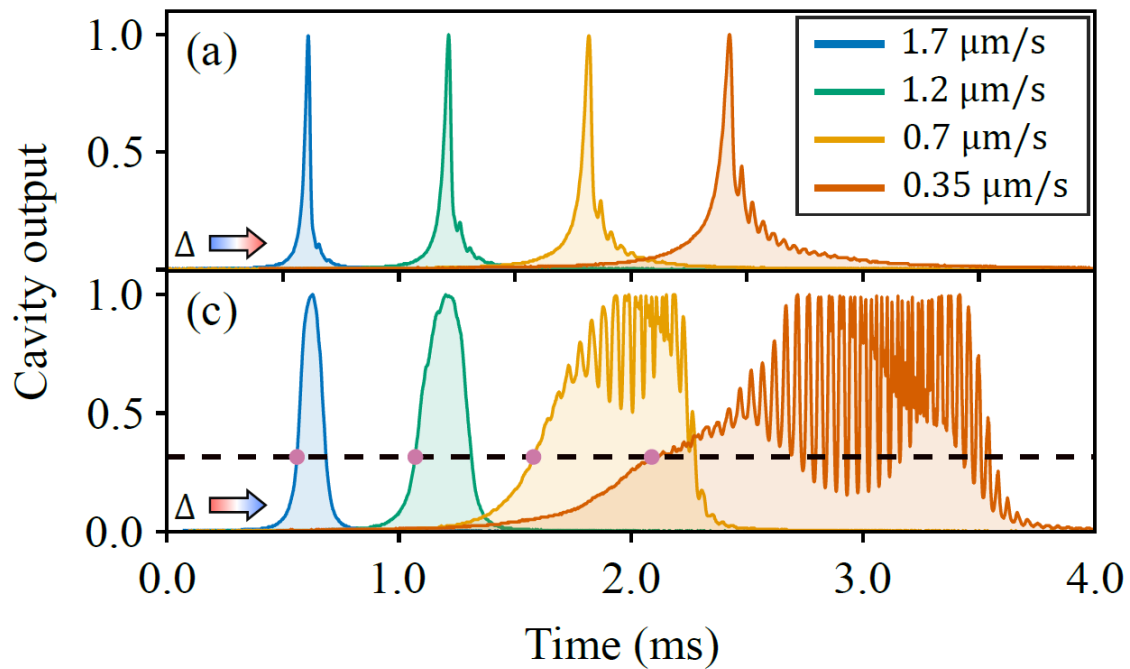
$$\begin{aligned}\dot{a} &= [-\kappa/2 + i(\Delta + G(x_{\text{th}} + x_{\text{ac}}))] a + \sqrt{\kappa_{\text{in}}} a_{\text{in}}, \\ \dot{x}_{\text{th}} &= -\gamma_{\text{th}}(x_{\text{th}} + \beta_{\text{th}} P_{\text{opt}}(a)), \\ \ddot{x}_{\text{ac}} &= -\gamma_{\text{ac}} \dot{x}_{\text{ac}} - \omega_{\text{ac}}^2 x_{\text{ac}} + F_{\text{opt}}(a)/m_{\text{ac}},\end{aligned}$$



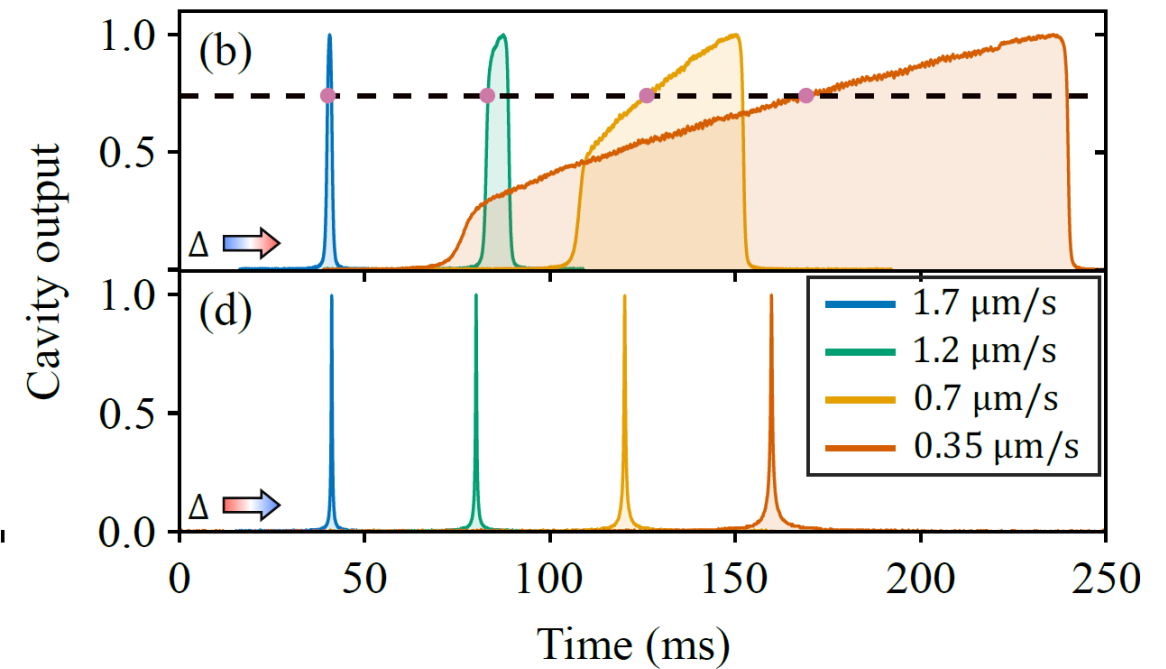
Photothermal cancellation



No window ($\beta > 0$)



N-BK7 window ($\beta > 0$)

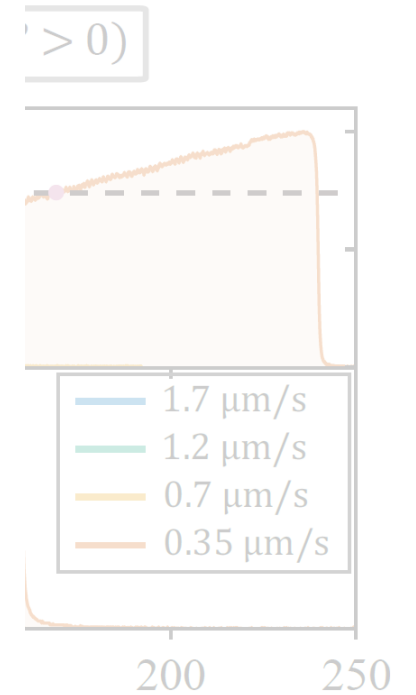
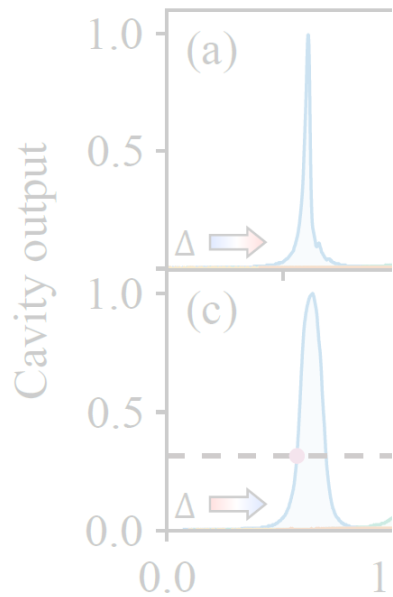


Photothermal cancellation



Table 4.1: Parameters of the cavity with different optical windows.

	Bare	Sapphire	N-BK7 ×1	N-BK7 ×2	N-BK7 ×4	N-BK7 thick
Refractive index	—	1.77	1.52	1.52	1.52	1.52
Thickness (mm)	—	3.0	0.22	0.44	0.88	1.0
Photothermal coefficient, $\sigma_{\text{th}}/2\pi$ (Hz pm W ⁻¹)	3750(650)	2870(350)	2120(740)	960(230)	N/A	-22380(670)
Photothermal relaxation rate, $\gamma_{\text{th}}/2\pi$ (Hz)	426(145)	279(10)	380(115)	151(51)	N/A	4.39(13)
Photothermal susceptibility, β_{th} (pm W ⁻¹)	9.3(19)	10.5(13)	7.0(6)	6.6(15)	N/A	-5100(20)
Cavity finesse	2850	2240	2350	2070	2030	650
Cavity linewidth, κ (MHz)	0.33	0.42	0.40	0.45	0.46	1.44



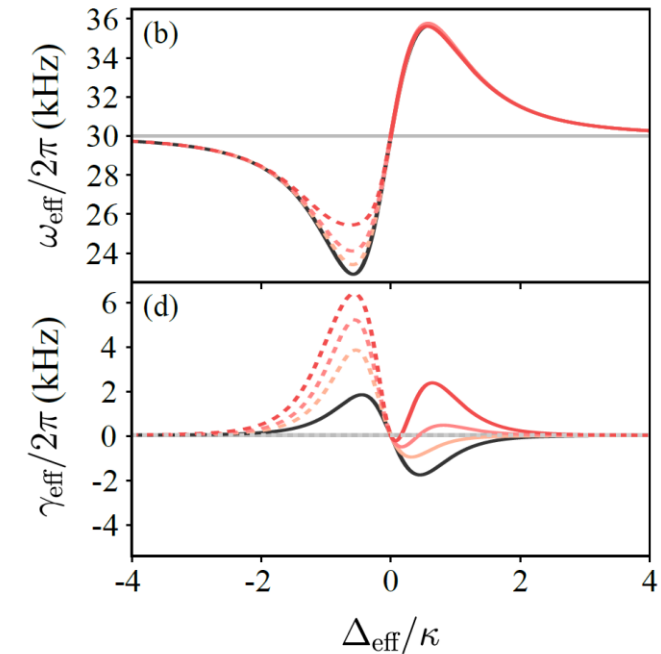
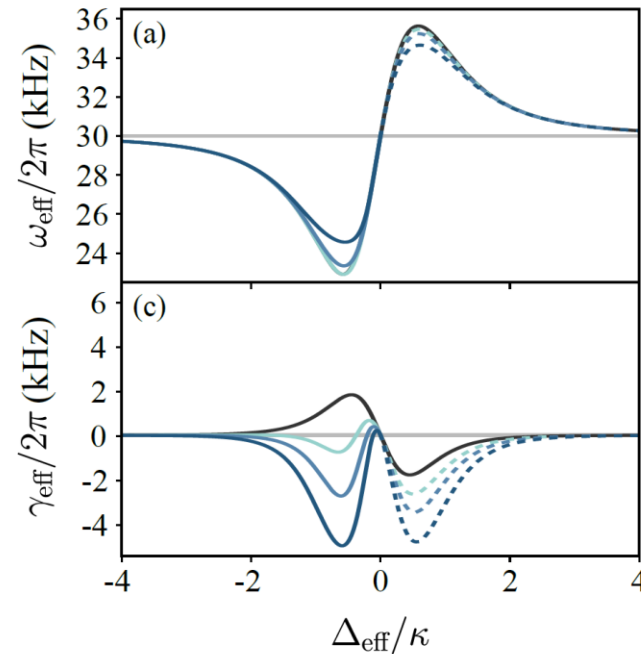
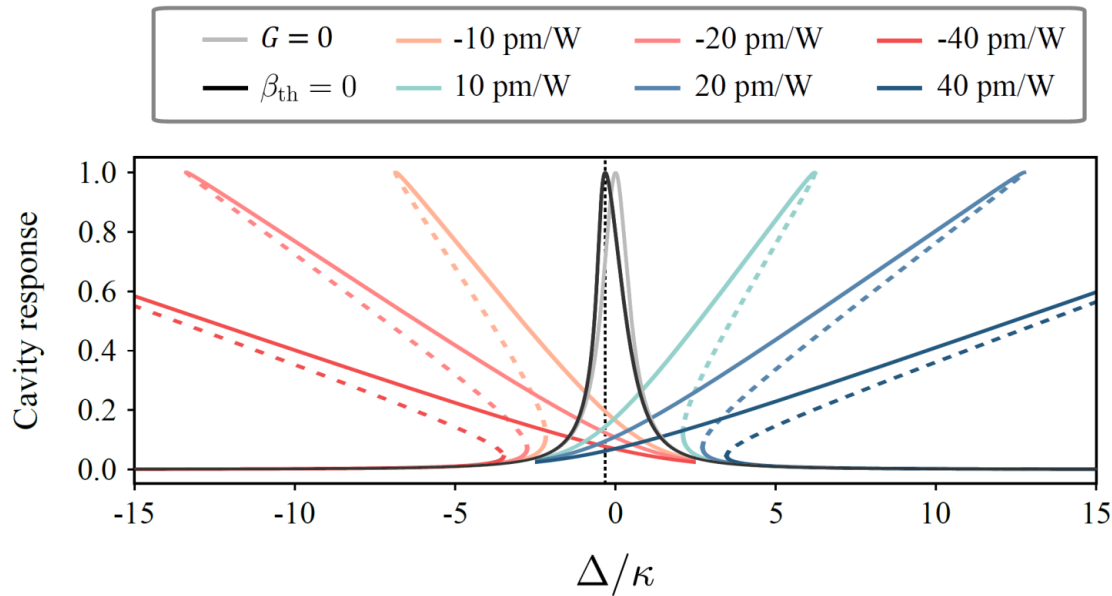
Photothermal cancellation



$$\dot{a} = [-\kappa/2 + i(\Delta + G(x_{\text{th}} + x_{\text{ac}}))] a + \sqrt{\kappa_{\text{in}}} a_{\text{in}}, \quad (3.1)$$

$$\dot{x}_{\text{th}} = -\gamma_{\text{th}}(x_{\text{th}} + \beta_{\text{th}} P_{\text{opt}}(a)), \quad (3.2)$$

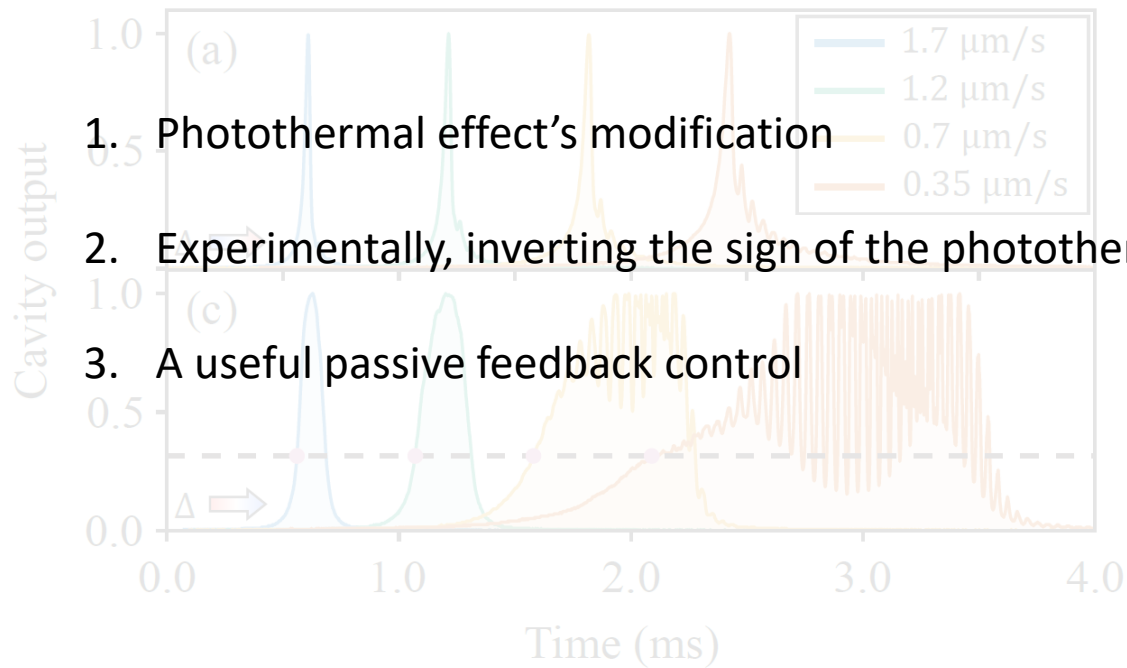
$$\ddot{x}_{\text{ac}} = -\gamma_{\text{ac}} \dot{x}_{\text{ac}} - \omega_{\text{ac}}^2 x_{\text{ac}} + F_{\text{opt}}(a)/m_{\text{ac}}, \quad (3.3)$$



Photothermal cancellation



No window ($\beta > 0$)

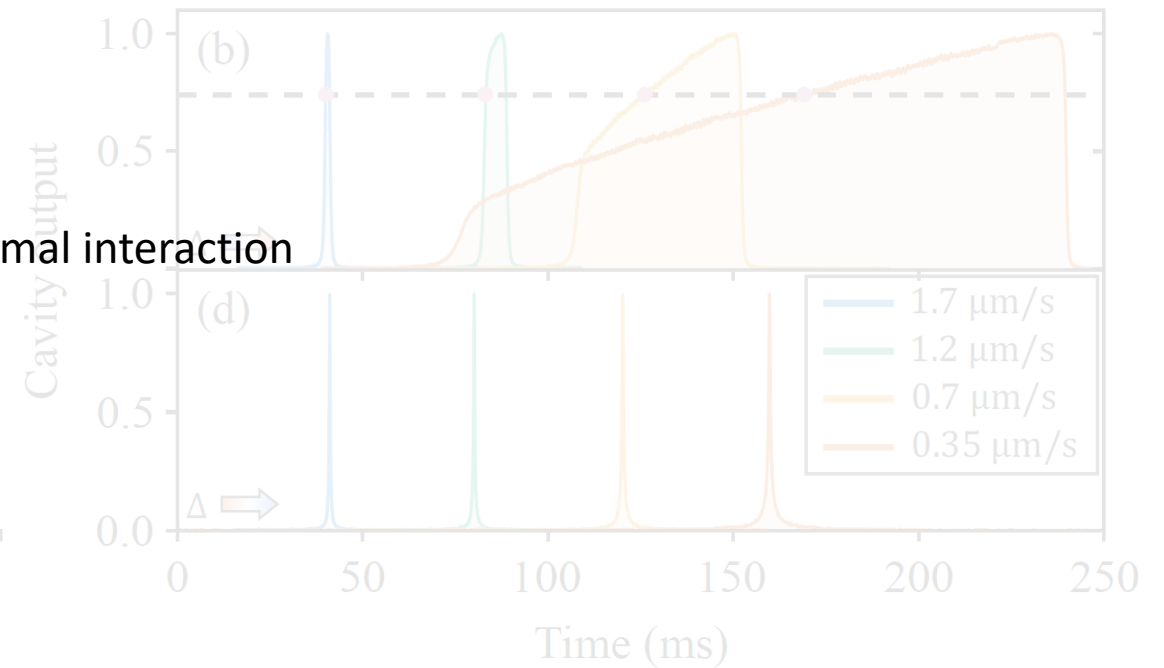


1. Photothermal effect's modification

2. Experimentally, inverting the sign of the photothermal interaction

3. A useful passive feedback control

N-BK7 window ($\beta > 0$)



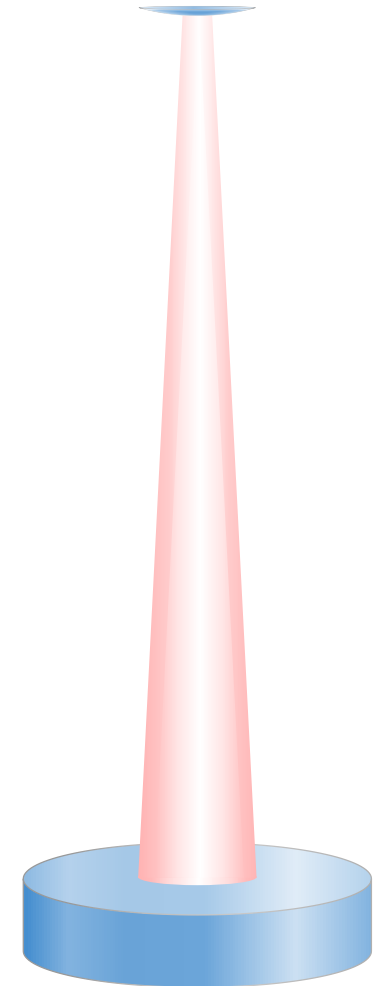
Cavity output

Time (ms)

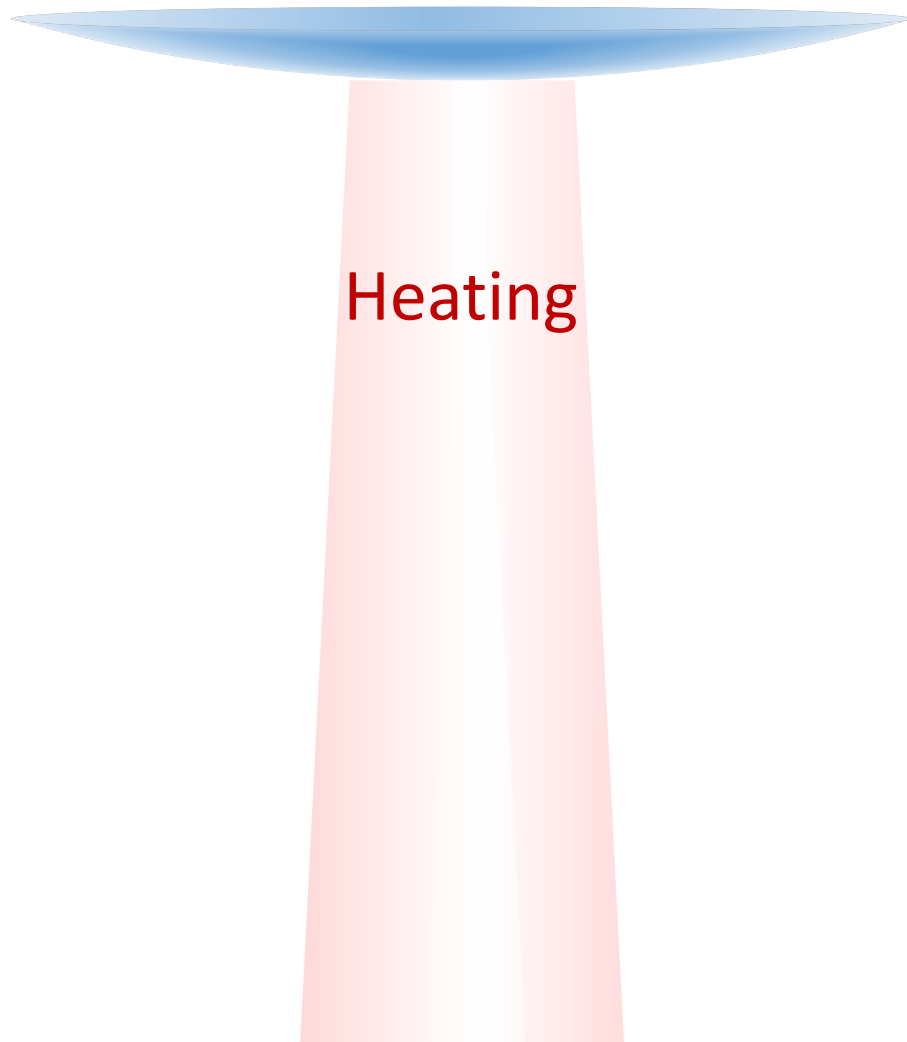
Photothermal effects



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Photothermal effects



[5] Ghosh, G. (1998). Academic Press.

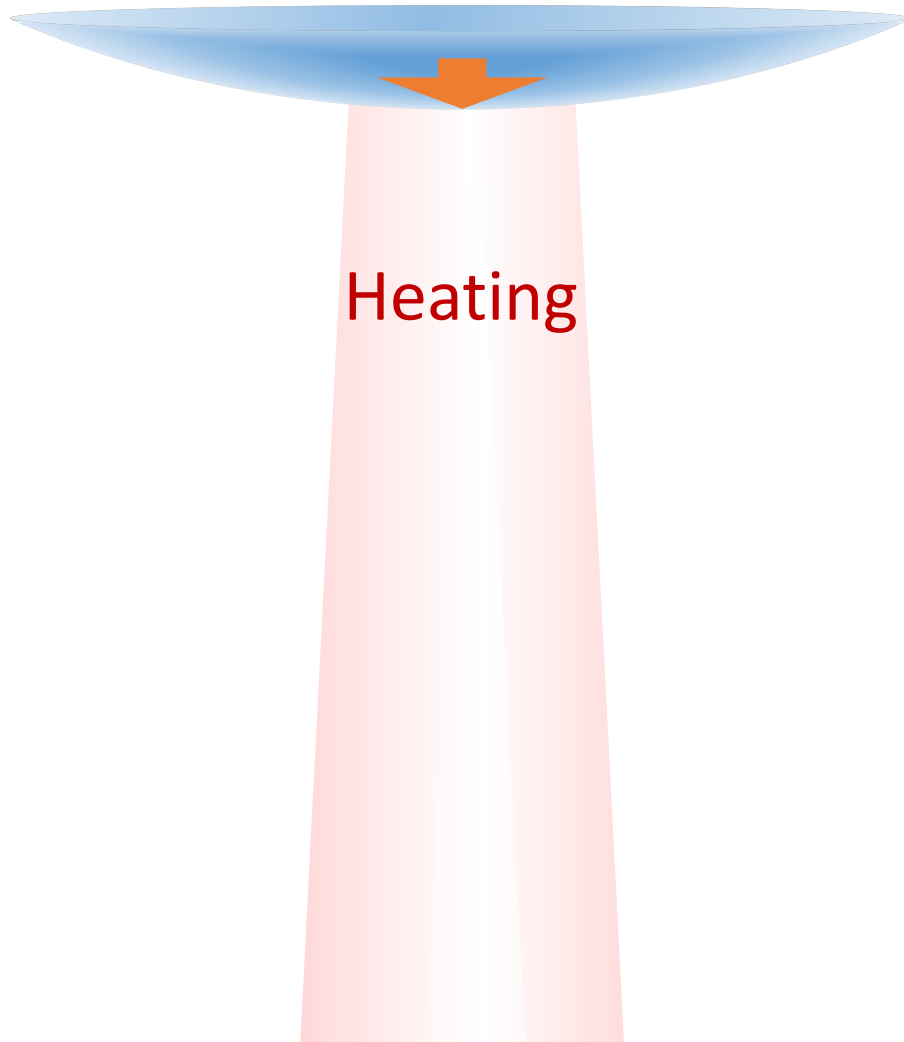
[6] Borgogno, J. P., et al. (1984). *Applied optics*, 23(20), 3567-3570.

[7] Wiechmann, S., & Müller, J. (2009). *Thin solid films*, 517(24), 6847-6849.

Photothermal effects



thermal expansion

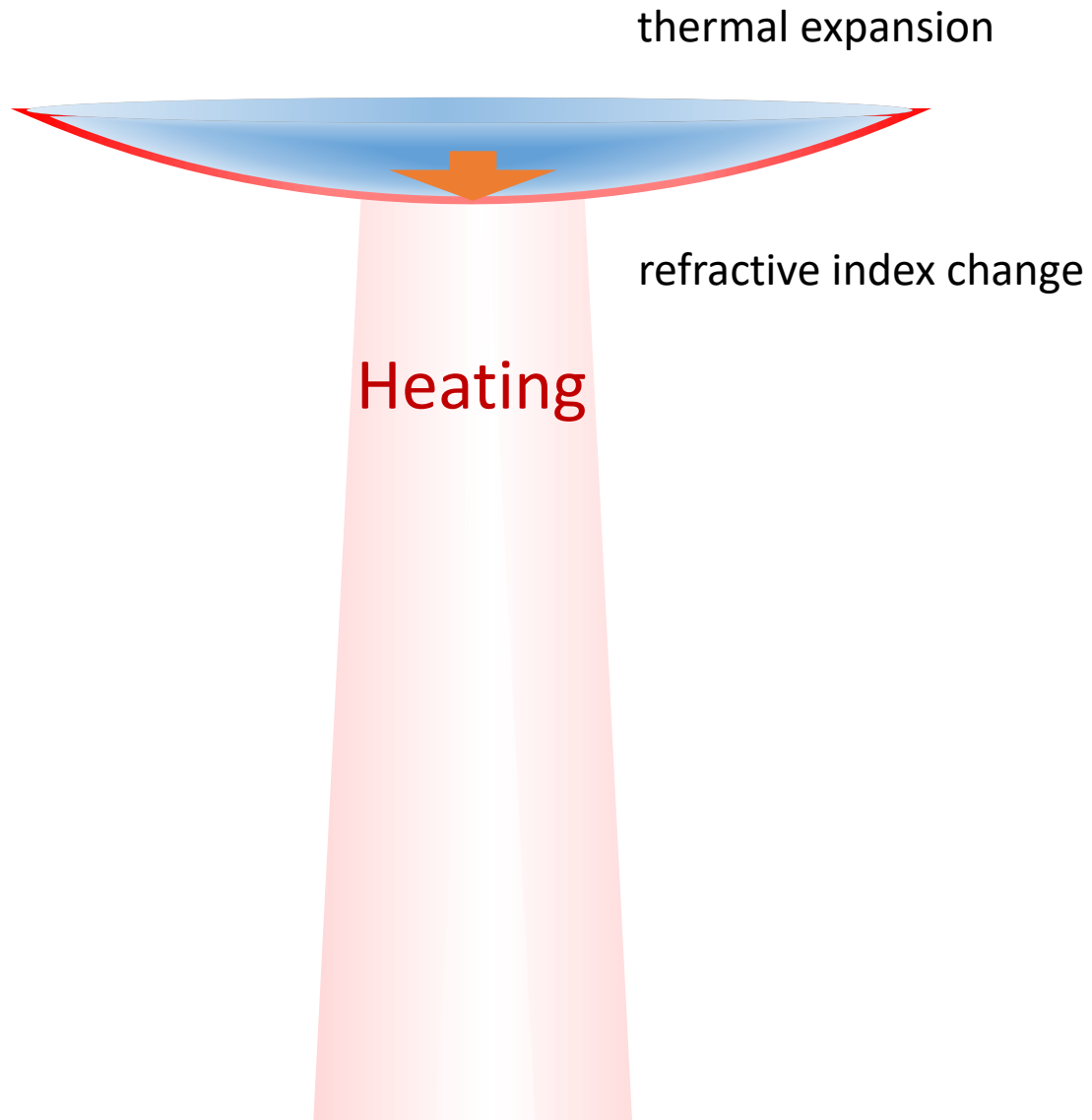


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Photothermal effects

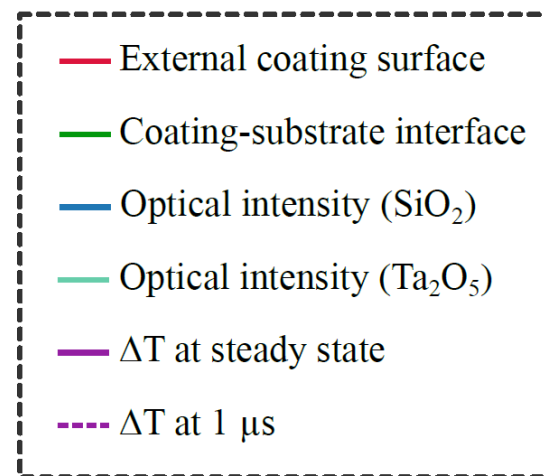
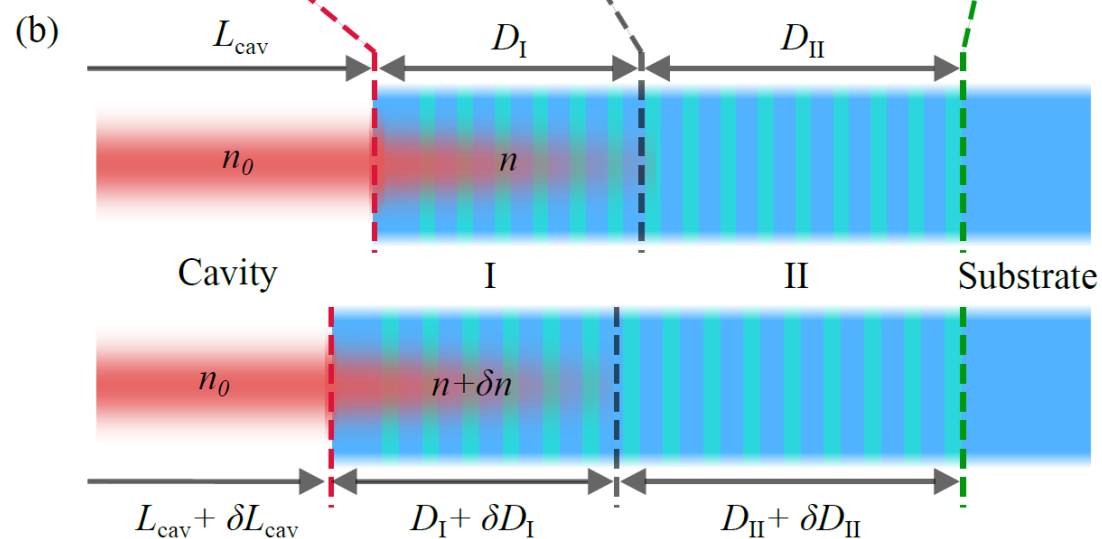
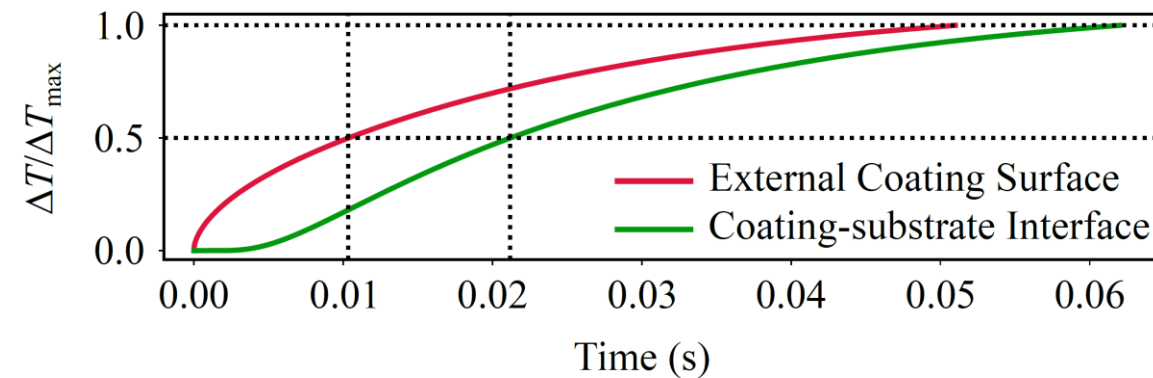
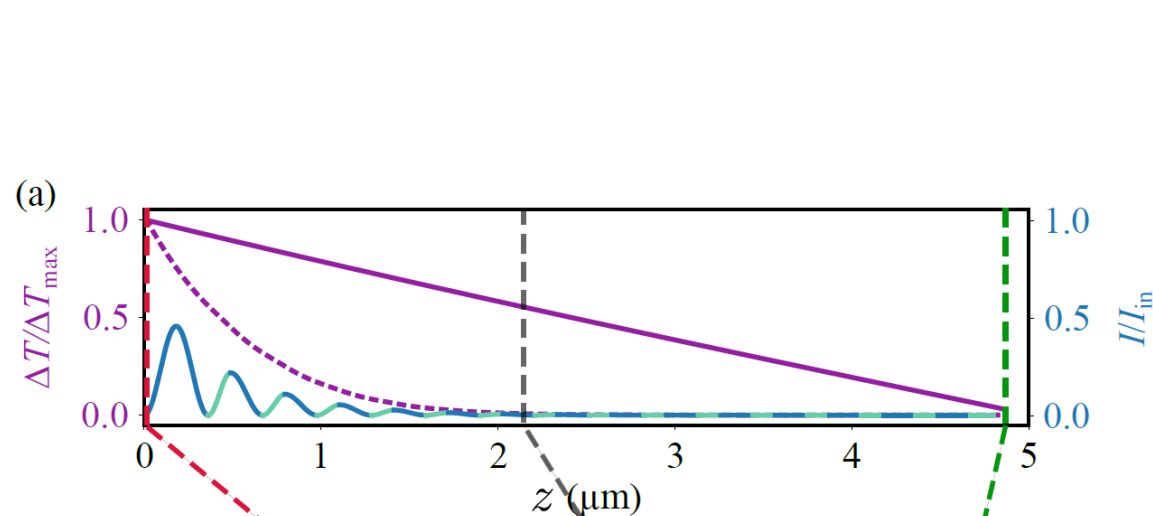


[5] Ghosh, G. (1998). Academic Press.

[6] Borgogno, J. P., et al. (1984). *Applied optics*, 23(20), 3567-3570.

[7] Wiechmann, S., & Müller, J. (2009). *Thin solid films*, 517(24), 6847-6849.

Photothermal effects



Refined model



$$\dot{a} = [-\kappa/2 + i(\Delta + G(x_{\text{th}} + x_{\text{ac}}))] a + \sqrt{\kappa_{\text{in}}} a_{\text{in}},$$

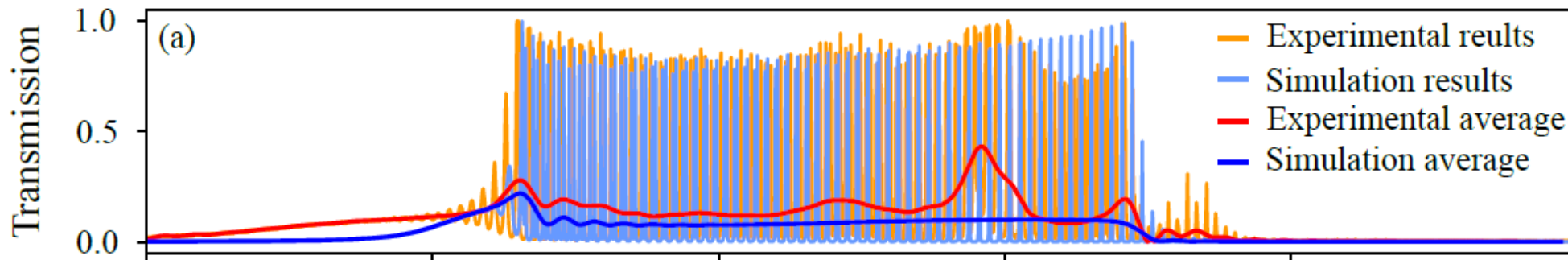
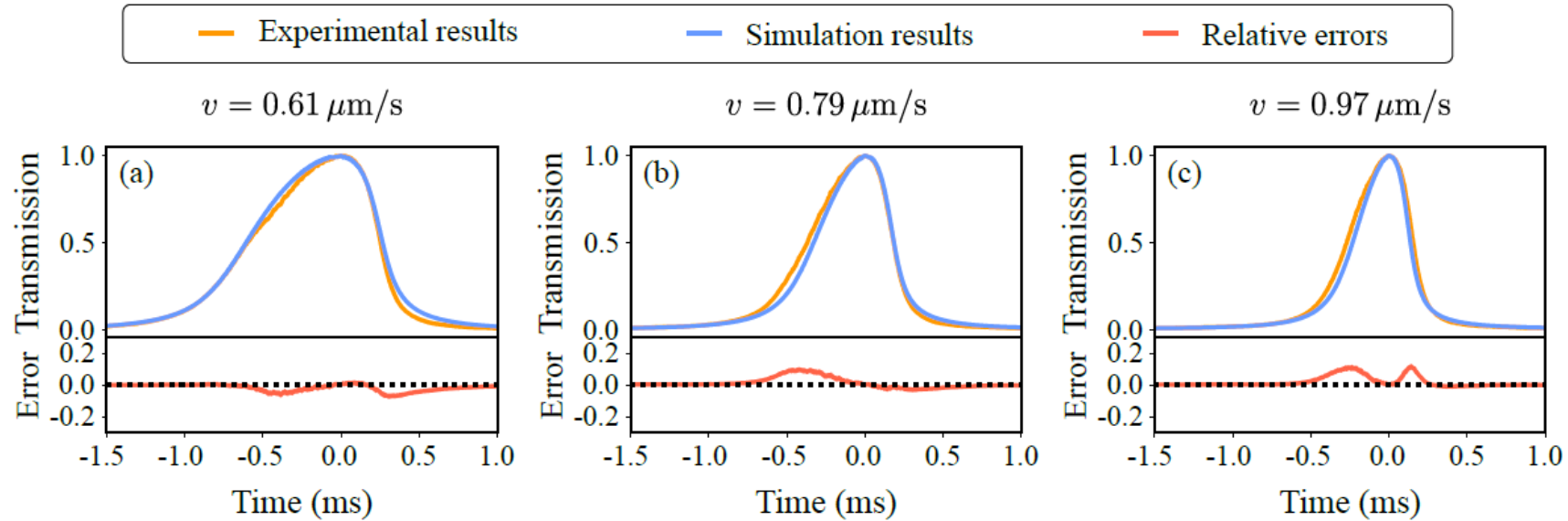
$$\dot{x}_{\text{th}} = -\gamma_{\text{th}}(x_{\text{th}} + \beta_{\text{th}} P_{\text{opt}}(a)),$$

$$\ddot{x}_{\text{ac}} = -\gamma_{\text{ac}} \dot{x}_{\text{ac}} - \omega_{\text{ac}}^2 x_{\text{ac}} + F_{\text{opt}}(a)/m_{\text{ac}},$$

Photothermal expansion $\dot{x}_{\text{ex}} = -\gamma_{\text{ex}}(x_{\text{ex}} + \beta_{\text{ex}} P_{\text{opt}}(a)),$

Thermo-optic effect $\dot{x}_{\text{re}} = -\gamma_{\text{re}}(x_{\text{re}} - \beta_{\text{re}} P_{\text{opt}}(a)),$

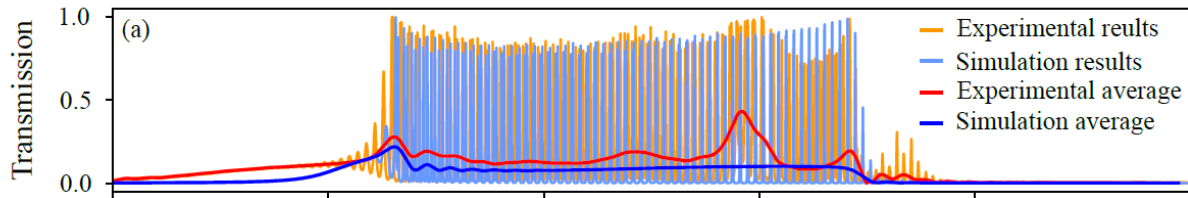
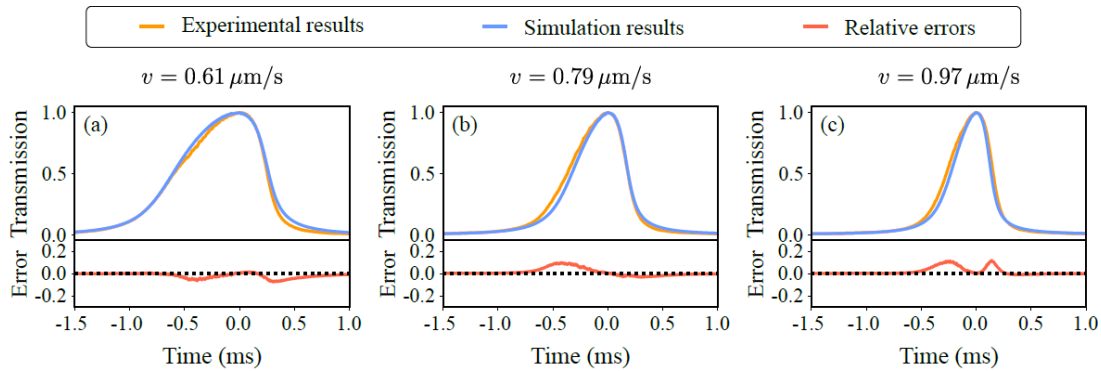
Refined model



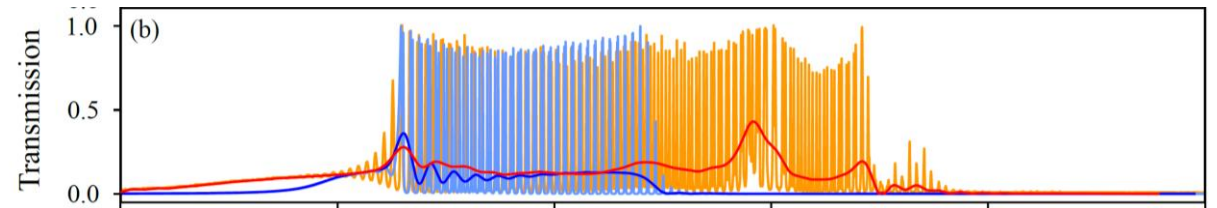
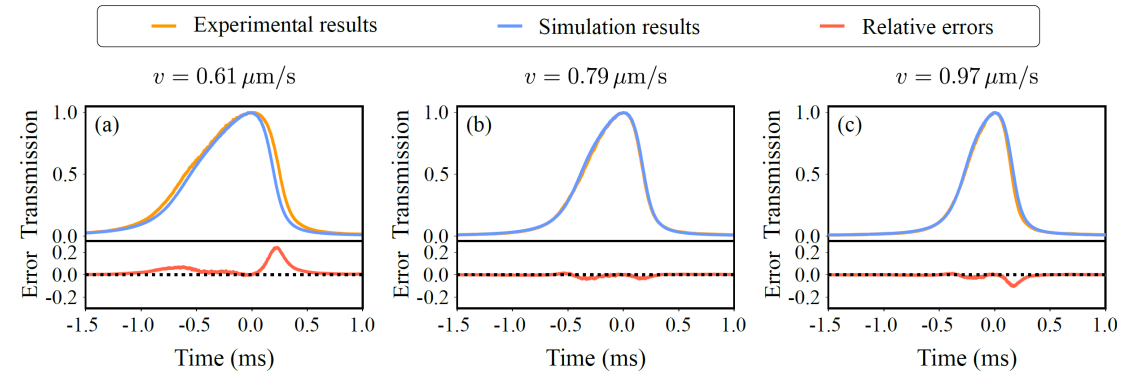
Refined model



Refined model



Original model



Nonlinearity of photothermal effects



$$\dot{a} = [-\kappa/2 + i(\Delta + G(x_{th} + x_{ac}))] a + \sqrt{\kappa_{in}} a_{in},$$

$$\dot{x}_{th} = -\gamma_{th}(x_{th} + \beta_{th} P_{opt}(a)),$$

$$\ddot{x}_{ac} = -\gamma_{ac} \dot{x}_{ac} - \omega_{ac}^2 x_{ac} + F_{opt}(a)/m_{ac},$$

$$\dot{x}_{th} = -\gamma_{th} [x_{th} + \beta(P_{opt})]$$
 photothermal response coefficient to optical power:

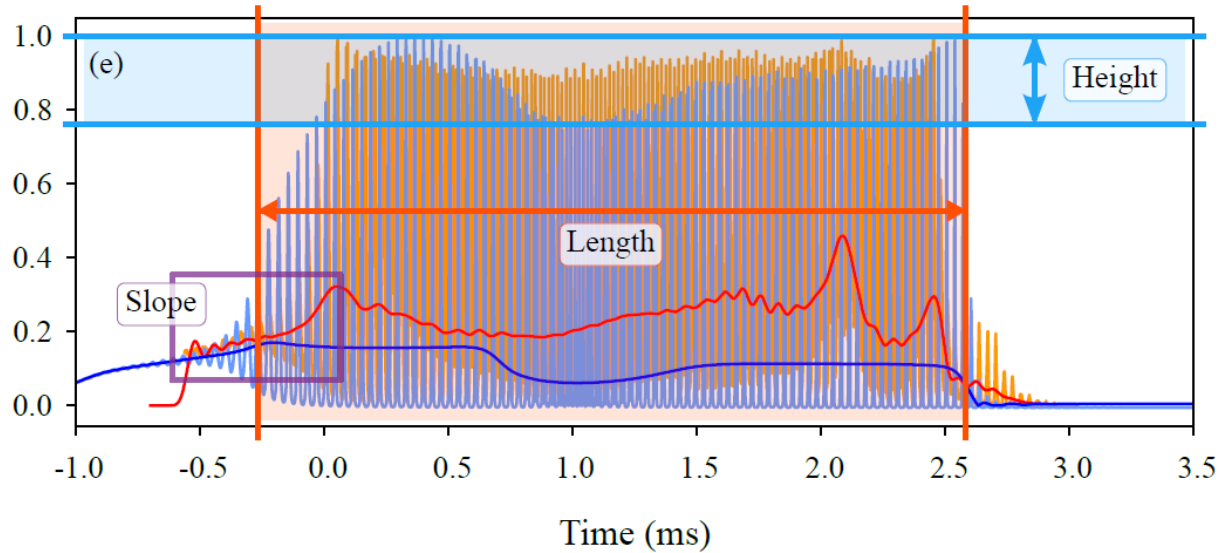
Linear: $\beta(P_{opt}) = \beta_1 P_{opt} \rightarrow \dot{x}_{th} = -\gamma_{th} [x_{th} + \beta_1 P_{opt}]$

Nonlinear: $\beta(P_{opt}) = \beta_1 P_{opt} + \beta_2 P_{opt}^2 \rightarrow \dot{x}_{th} = -\gamma_{th} [x_{th} + \beta_1 P_{opt} + \beta_2 P_{opt}^2]$

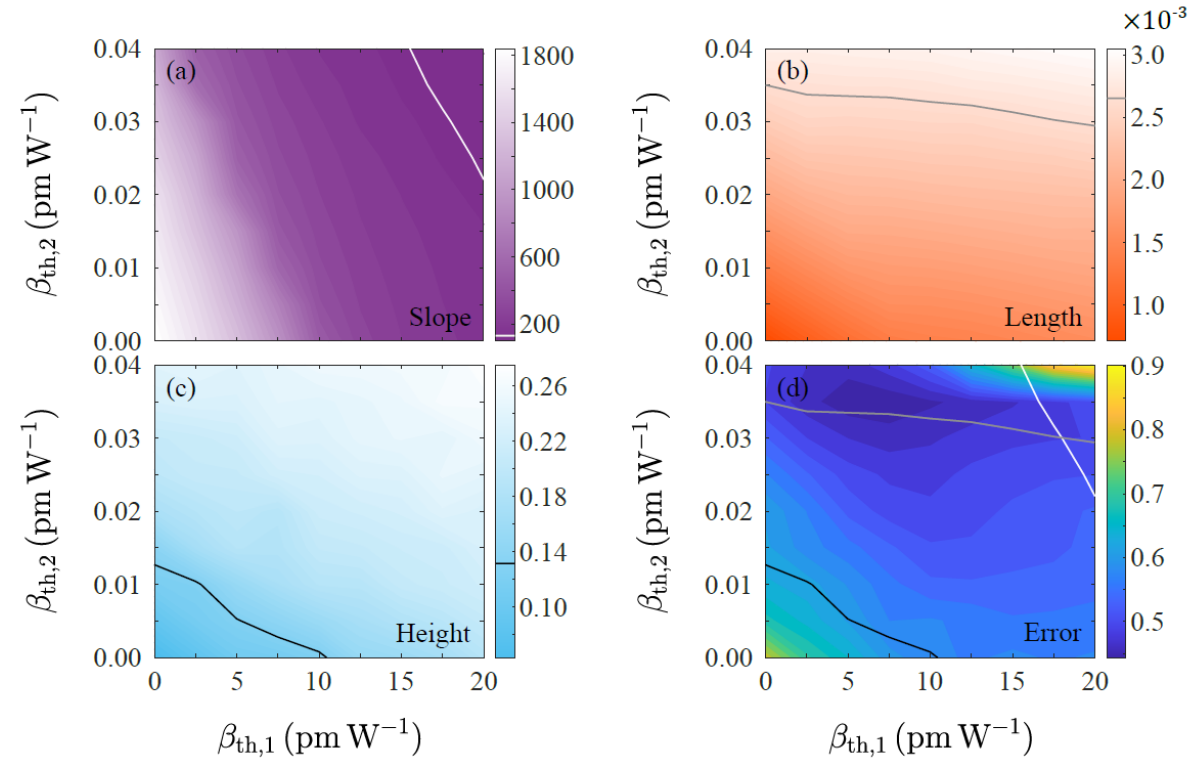
Nonlinearity of photothermal effects



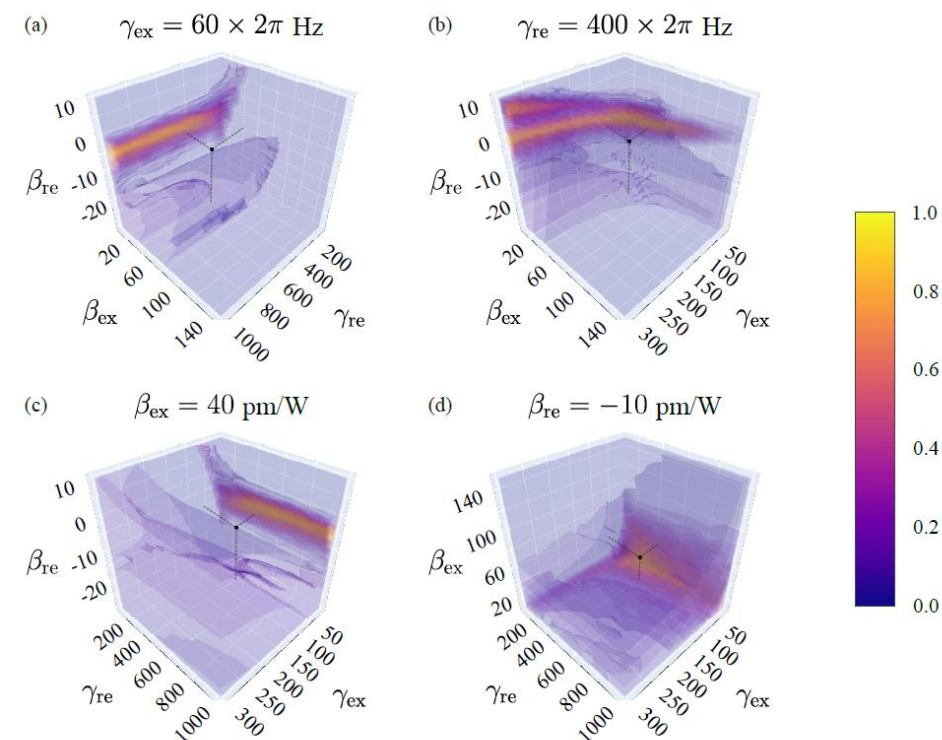
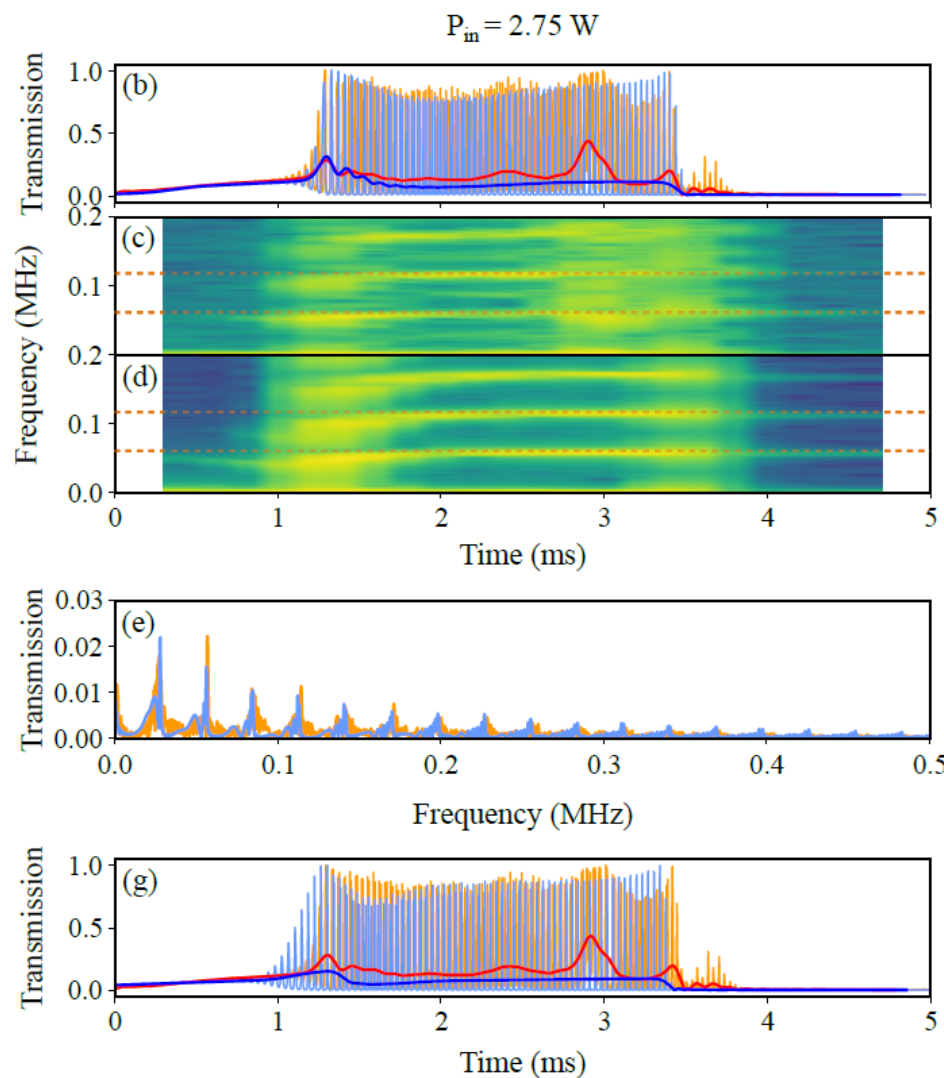
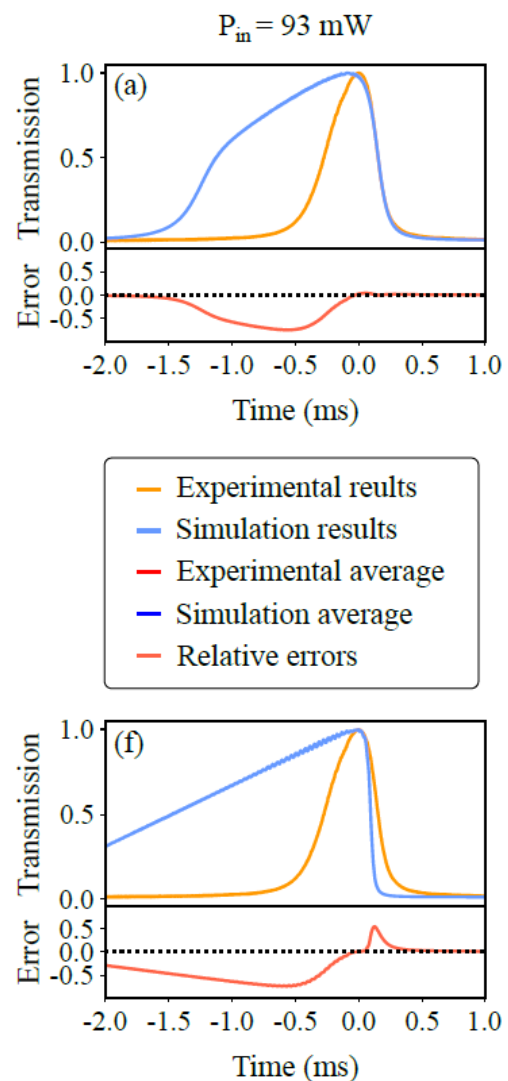
Transmission



— Experimental results — Simulation results — Experimental average — Simulation average



More discussion



Photothermal effects

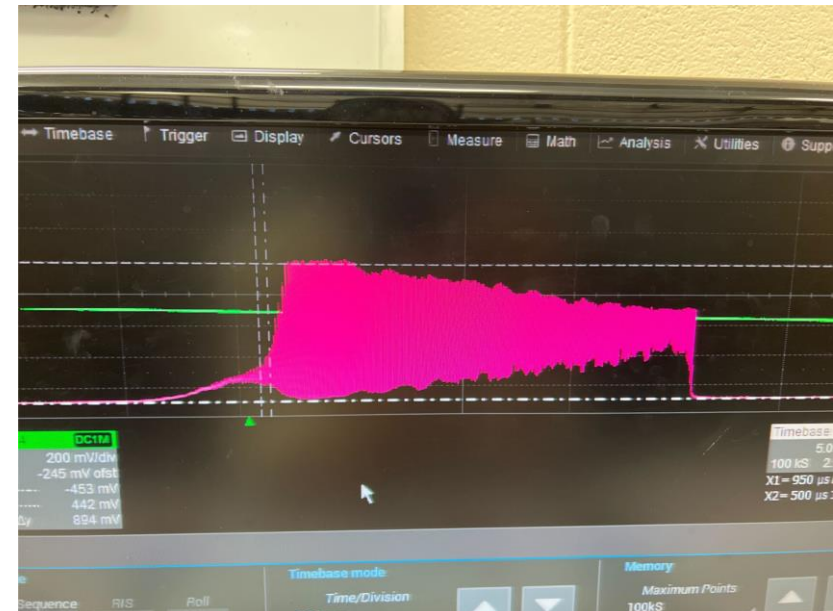
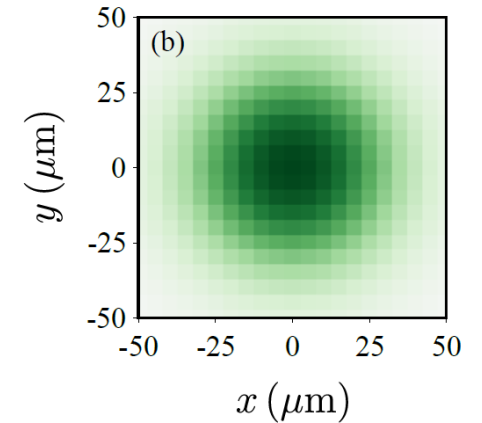
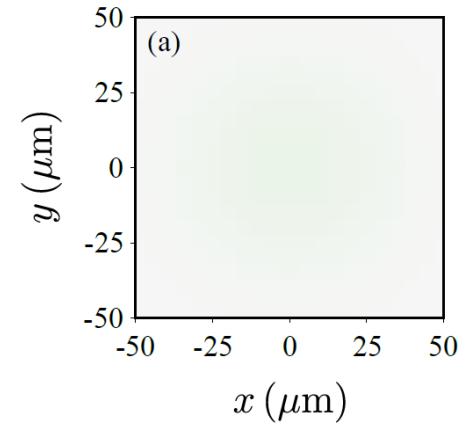


1. Thermal conduction of the coating
2. Two photothermal effects are necessary for a more precise simulation
3. Better model? More investigation in other effects

Future work



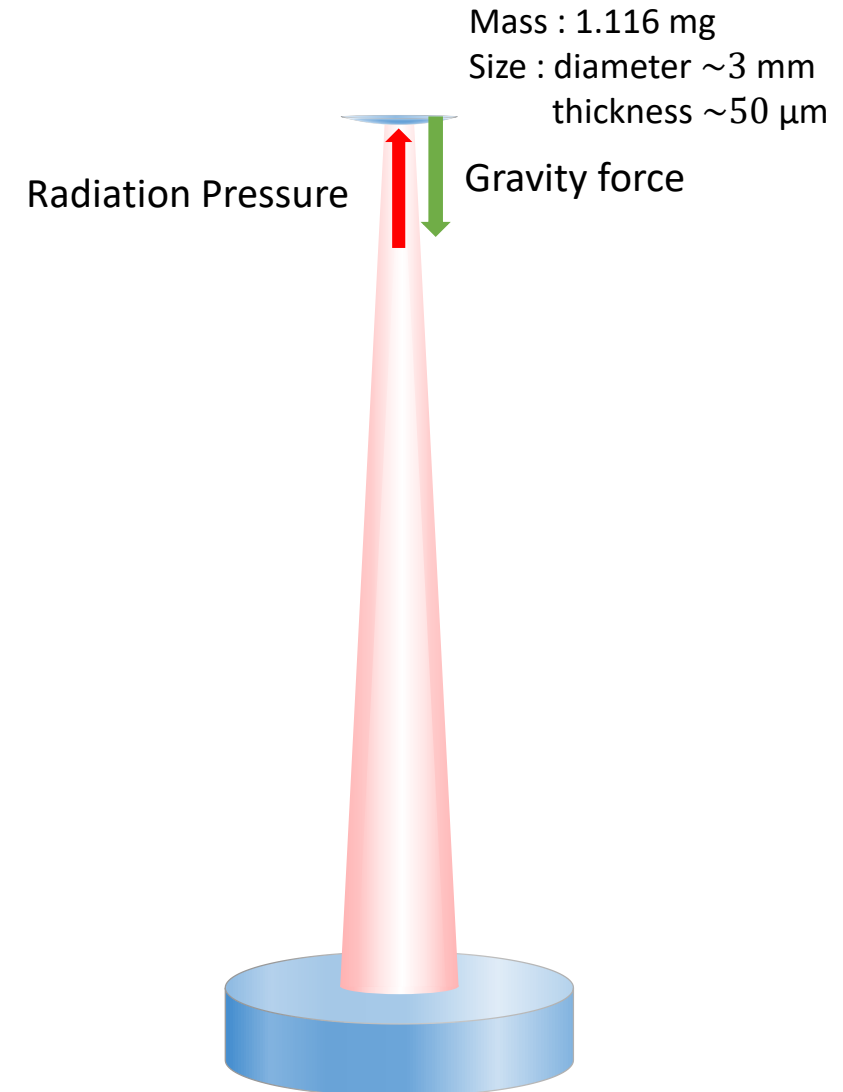
1. Nonlinearity of the photothermal effects
 - 1) a better model
 - 2) experimental observation
2. Characterize the optical spring from the experiments
3. Cancellation of photothermal effects experimentally
4. Other ways to stabilize the cavity
5. Other proposals for optical levitation



1. Optomechanics
2. Optical-levitation System and Current Model
3. Photothermal Cancellation
4. Model with multiple photothermal effects

References

- [1] Aspelmeyer, M., et al. (2014). *Reviews of Modern Physics*, 86(4), 1391.
- [2] Delić, U., et al. (2020). *Science*, 367(6480), 892-895.
- [3] Konthasinghe, K., et al. (2017). *Physical Review A*, 95(1), 013826.
- [4] Ma, J., et al. (2020). *Communications Physics*, 3(1), 1-10
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- [6] Borgogno, J. P., et al. (1984). *Applied optics*, 23(20), 3567-3570.
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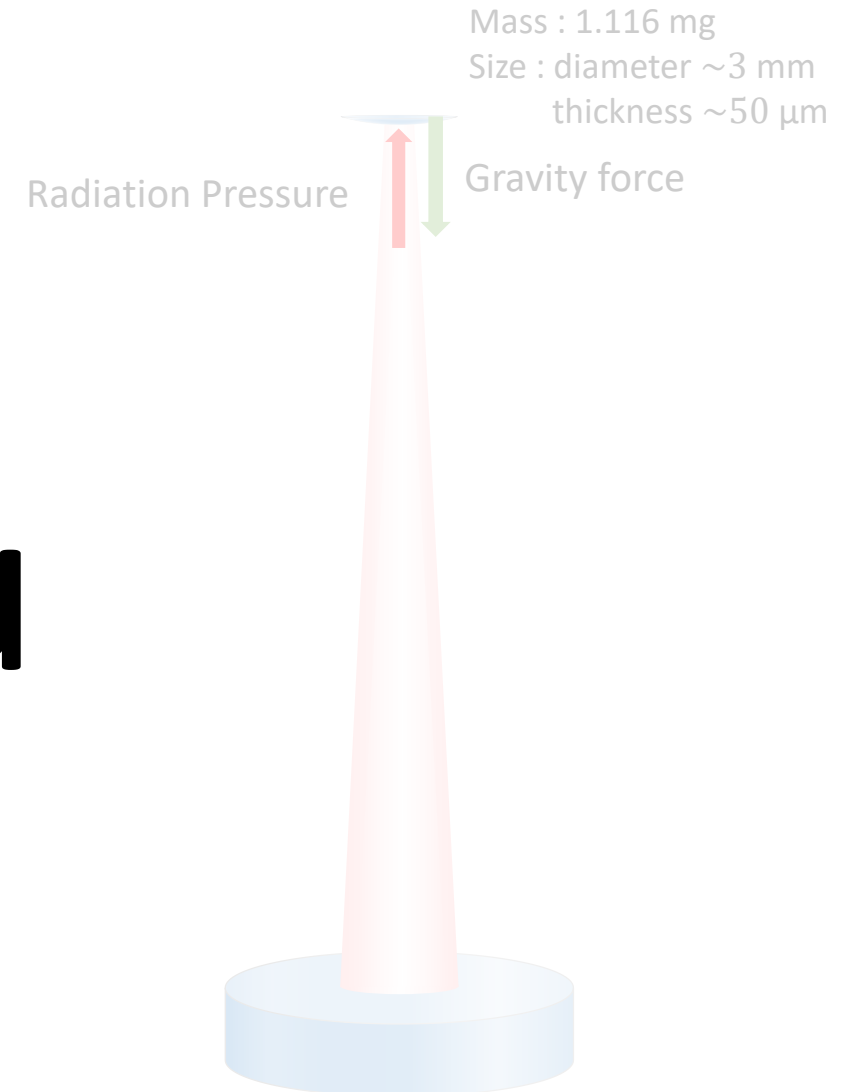


1. Optomechanics
2. Optical-levitation System and Current Model
3. Photothermal Cancellation
4. Model with multiple photothermal effects

Thank you

References

- [1] Aspelmeyer, M., et al. (2014). *Reviews of Modern Physics*, 86(4), 1391.
- [2] Delić, U., et al. (2020). *Science*, 367(6480), 892-895.
- [3] Konthasinghe, K., et al. (2017). *Physical Review A*, 95(1), 013826.
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- [7] Wiechmann, S., & Müller, J. (2009). *Thin solid films*, 517(24), 6847-6849.



Optomechanical system



$$\begin{aligned} \dot{a} &= [-\kappa/2 + i(\Delta + G(x_{\text{lev}} + x_{\text{th}} + x_{\text{ac}}))] a + \sqrt{\kappa_{\text{in}}} a_{\text{in}}, \\ \dot{x}_{\text{th}} &= -\gamma_{\text{th}}(x_{\text{th}} + \beta_{\text{th}} P_{\text{opt}}(a)), \\ \ddot{x}_{\text{ac}} &= -\gamma_{\text{ac}} \dot{x}_{\text{ac}} - \omega_{\text{ac}}^2 x_{\text{ac}} + F_{\text{opt}}(a)/m_{\text{ac}}, \\ \ddot{x}_{\text{lev}} &= \begin{cases} -\gamma_{\text{lev}} \dot{x}_{\text{lev}} & F_{\text{opt}} \leq F_{\text{g}}, \\ -\gamma_{\text{lev}} \dot{x}_{\text{lev}} + (F_{\text{opt}}(a) - F_{\text{g}})/m & F_{\text{opt}} > F_{\text{g}}, \end{cases} \end{aligned}$$

