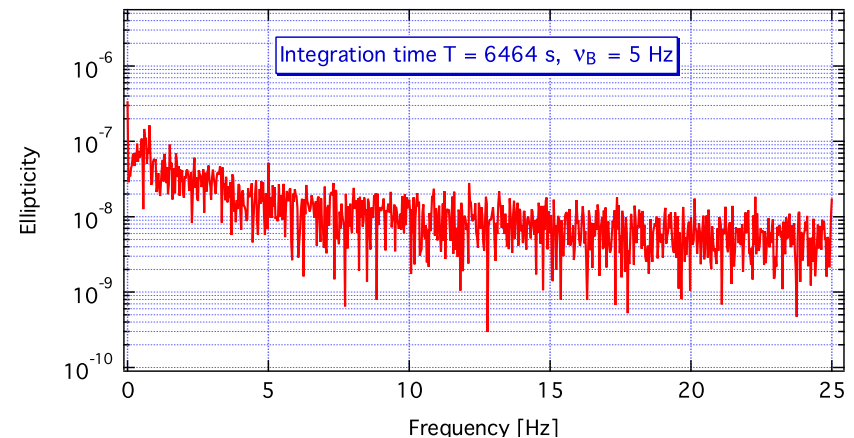
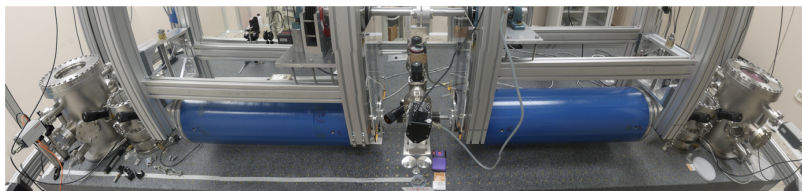
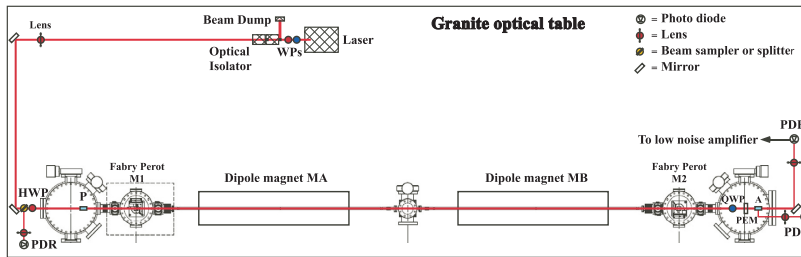


Review of the PVLAS experiment

D2 Hiroki Fujimoto
2024/2/16 @Ando Lab Seminar

PVLAS experiment

- Searches for the vacuum magnetic birefringence (VMB) using a linear cavity and rotating magnets
- Analyzes the ellipticity of the transmitted polarization
- Able to also search for the Axion Like Particles
- Research period: 1992-2017
- Result: $\Delta n^{(\text{PVLAS-FE})} \simeq (12 \pm 17) \times 10^{-23} @ B = 2.5 \text{ T}$
 - A factor of 7 worse than the QED prediction: $\Delta n^{(\text{EK})} = 2.5 \times 10^{-23} @ 2.5 \text{ T}$
 - Limiting noise source: thermal birefringence noise of mirror coatings(?)



Reference

- ◆ Review article on the PVLAS experiment
[A. Ejlli et al. Physics Reports 871, 1-74 \(2020\)](#)

Physics Reports 871 (2020) 1–74



Contents lists available at [ScienceDirect](#)

Physics Reports

journal homepage: www.elsevier.com/locate/physrep



The PVLAS experiment: A 25 year effort to measure vacuum magnetic birefringence

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Lagrangian density in QED

- Lagrangian density for electromagnetic fields in classical vacuum:

$$\mathcal{L}_{\text{Cl}} = \frac{1}{2\mu_0} \left(\frac{E^2}{c^2} - B^2 \right) \quad \longrightarrow \quad \text{Maxwell eqs. in classical vacuum} \quad \begin{cases} \vec{\nabla} \cdot \vec{E} = 0 & \vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t} \\ \vec{\nabla} \cdot \vec{B} = 0 & \vec{\nabla} \times \vec{B} = \varepsilon_0 \mu_0 \frac{\partial \vec{E}}{\partial t} \end{cases}$$

➤ No polarization nor magnetization: $\vec{D} = \varepsilon_0 \vec{E}$, $\vec{B} = \mu_0 \vec{H}$

- In QED, vacuum has virtual electron-positron pairs
- Lagrangian density with electron-positron fluctuations:

$$\mathcal{L}_{\text{EK}} = \mathcal{L}_{\text{Cl}} + \frac{A_e}{\mu_0} \left[\left(\frac{E^2}{c^2} - B^2 \right)^2 + 7 \left(\frac{\vec{E}}{c} \cdot \vec{B} \right)^2 \right] \quad A_e = \frac{2}{45\mu_0} \frac{\hbar^3}{m_e^4 c^5} \alpha^2 = 1.32 \times 10^{-24} \text{ T}^{-2}$$

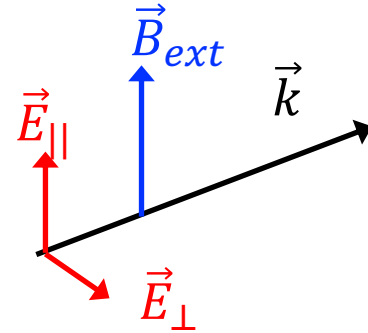
$$\longrightarrow \begin{cases} \vec{D} = \varepsilon_0 \vec{E} + \underbrace{4A_e \left(\frac{E^2}{c^2} - B^2 \right) \varepsilon_0 \vec{E} + 14\varepsilon_0 A_e \left(\frac{\vec{E}}{c} \cdot \vec{B} \right) \vec{B}}_{\text{Polarization: } \vec{P}} \\ \mu_0 \vec{H} = \vec{B} + \underbrace{4A_e \left(\frac{E^2}{c^2} - B^2 \right) \vec{B} - 14A_e \left(\frac{\vec{E}}{c} \cdot \vec{B} \right) \frac{\vec{E}}{c}}_{\text{Magnetization: } -\mu_0 \vec{M}} \end{cases}$$

Vacuum in QED can be “polarized” or “magnetized”

Vacuum Magnetic Birefringence (VMB)

- Consider an external magnetic field \vec{B}_{ext} perpendicular to a linear polarized beam \vec{k}

$$\rightarrow \begin{cases} \vec{D}_\gamma = \epsilon_0 \left[\vec{E}_\gamma - 4A_e B_{ext}^2 \vec{E}_\gamma + 14A_e (\vec{E}_\gamma \cdot \vec{B}_{ext}) \vec{B}_{ext} \right] \\ \vec{H}_\gamma = \frac{1}{\mu_0} \left[\vec{B}_\gamma - 4A_e B_{ext}^2 \vec{B}_\gamma - 8A_e (\vec{B}_\gamma \cdot \vec{B}_{ext}) \vec{B}_{ext} \right] \end{cases}$$



$$\rightarrow \vec{B} = \mu_0 \underline{\mu}(\vec{E}, \vec{B}) \vec{H}, \quad \vec{D} = \epsilon_0 \underline{\epsilon}(\vec{E}, \vec{B}) \vec{E} \Rightarrow \text{refractive index: } n = \sqrt{\epsilon \underline{\mu}}$$

Relative magnetic permeability

Relative dielectric constant

$$\rightarrow \begin{cases} n_{||} = 1 + 7A_e B_{ext}^2 \\ n_{\perp} = 1 + 4A_e B_{ext}^2 \end{cases} \quad A_e = \frac{2}{45\mu_0} \frac{\hbar^3}{m_e^4 c^5} \alpha^2 = 1.32 \times 10^{-24} \text{ T}^{-2}$$

Vacuum Magnetic Birefringence (VMB): $\Delta n^{(EK)} = 3A_e B_{ext}^2$

$$= 3.96 \times 10^{-24} \text{ @ } B_{ext} = 1 \text{ T}$$

Axion-photon interaction in external field

- Axion-photon interaction: Chern-Simons interaction term

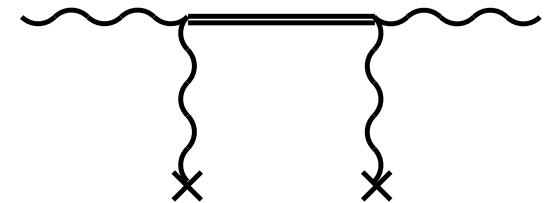
$$\mathcal{L} \supset \frac{1}{4} g_{a\gamma} a(t) F_{\mu\nu} \tilde{F}^{\mu\nu} = g_{a\gamma} a(t) \underline{\vec{E} \cdot \vec{B}}$$

Electric field parallel to external magnetic field \vec{B}_{ext} can interact with axion

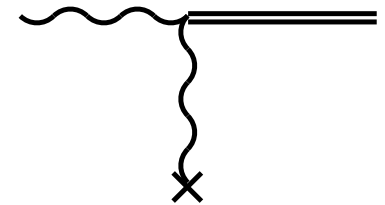
⇒ Difference in the complex refractive indices $\tilde{n}_{||/\perp} = n_{||/\perp} + i\kappa_{||/\perp}$
for the two polarizations in \vec{B}_{ext}

Refractive index Absorption index

➤ $\hbar\omega \ll m_a c^2 \Rightarrow$ Virtual production of axion causes phase delay
⇒ Birefringence Δn



➤ $\hbar\omega \gg m_a c^2 \Rightarrow$ Real production of axion causes photon absorption
⇒ Dichroism $\Delta\kappa$



Axion-photon interaction in external field

- Birefringence and dichroism by axion in external field \vec{B}_{ext} :

$$\begin{cases} |\Delta n| \simeq \frac{1}{2} \left(\frac{g_{a\gamma} B_{ext}}{2m_a} \right)^2 \left(1 - \frac{\sin 2\chi}{2\chi} \right)^2 \\ |\Delta\kappa| \simeq \frac{2}{\omega L_B} \left(\frac{g_{a\gamma} B_{ext} L_B}{4} \right)^2 \left(\frac{\sin \chi}{\chi} \right)^2 \end{cases} \quad \chi \equiv \frac{L_B m_a^2}{4\omega}$$

L_B : optical path inside \vec{B}_{ext}

- Photon energy: small ($\chi \gg 1$) $\Rightarrow \Delta n$: large, $\Delta\kappa$: small
- Photon energy: large ($\chi \ll 1$) $\Rightarrow \Delta n$: small, $\Delta\kappa$: large

- Important notes

- Axion needs not to be the dark matter
- **Static signals for any axion mass m_a**
- Signal $\propto g_{a\gamma}^2$

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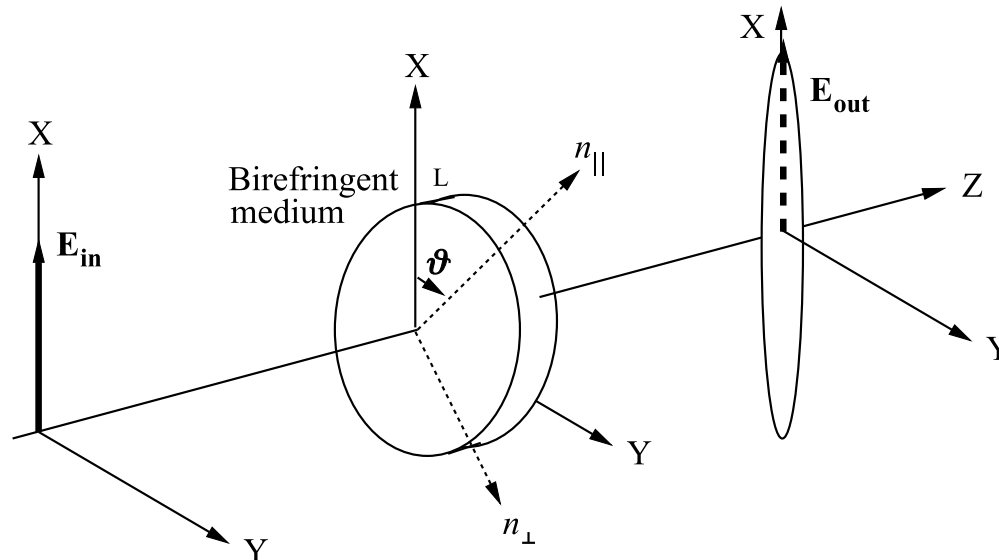
Effect of birefringence Δn and dichroism $\Delta\kappa$

- Consider pure birefringence Δn and input s-pol.:

$$\vec{E}_{in} = E_0 \begin{bmatrix} 1 \\ 0 \end{bmatrix} \longrightarrow \vec{E}_{out} = E_0 \begin{bmatrix} 1 + i \frac{\pi}{\lambda} \Delta D \cos 2\theta \\ i \frac{\pi}{\lambda} \Delta D \sin 2\theta \end{bmatrix} \quad \Delta D \equiv \int \Delta n(z) dz$$

p-pol. in phase quadrature \Rightarrow Elliptical polarization

\Rightarrow Ellipticity: $\psi = \frac{\pi}{\lambda} \Delta D \cos 2\theta$



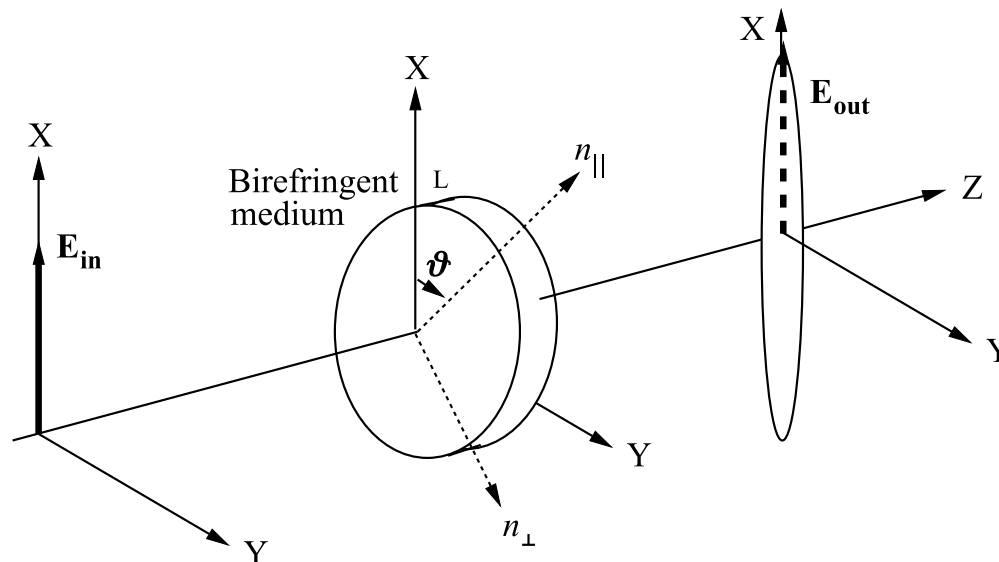
Effect of birefringence Δn and dichroism $\Delta\kappa$

- Consider pure dichroism $\Delta\kappa$ and input s-pol.:

$$\vec{E}_{in} = E_0 \begin{bmatrix} 1 \\ 0 \end{bmatrix} \longrightarrow \vec{E}_{out} = E_0 \begin{bmatrix} 1 - \frac{\pi}{\lambda} \Delta A \cos 2\theta \\ -\frac{\pi}{\lambda} \Delta A \sin 2\theta \end{bmatrix} \quad \Delta A \equiv \int \Delta\kappa(z) dz$$

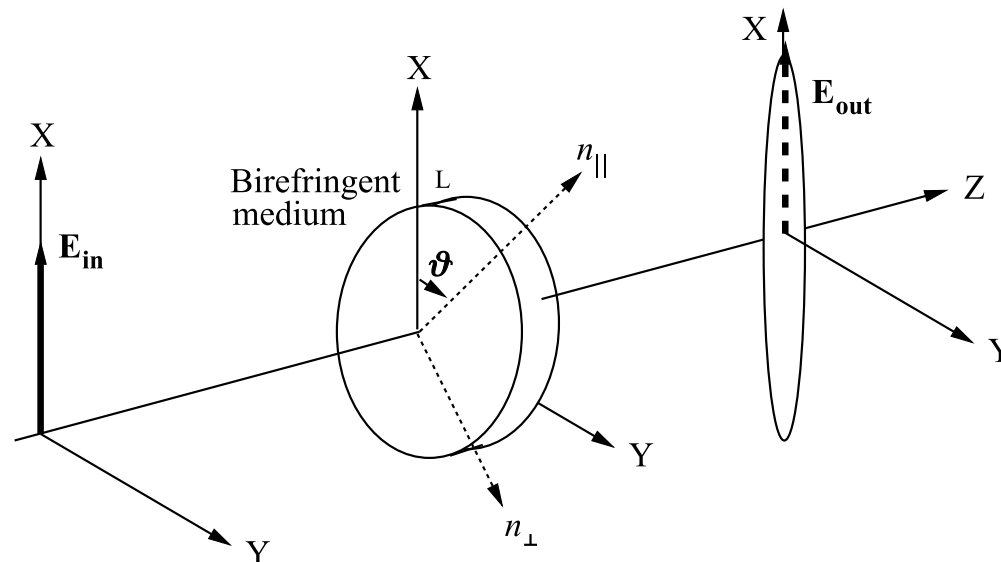
p-pol. in amplitude quadrature \Rightarrow Rotated linear polarization

\Rightarrow Rotation: $\phi = -\frac{\pi}{\lambda} \Delta A \cos 2\theta$



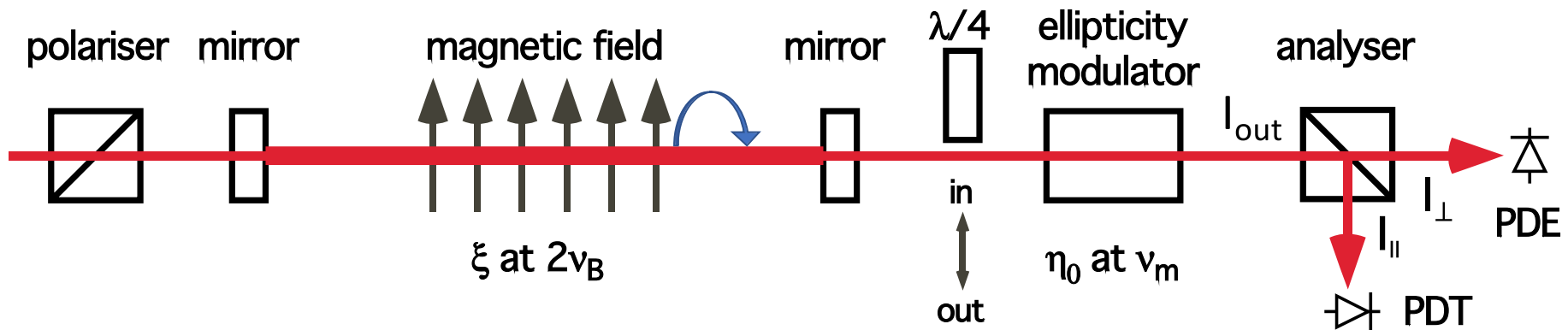
Effect of birefringence Δn and dichroism $\Delta\kappa$

- Important notes on birefringence Δn and dichroism $\Delta\kappa$:
 - Birefringence $\Delta n \Rightarrow$ Ellipticity ψ
 - Dichroism $\Delta\kappa \Rightarrow$ Rotation ϕ
 - VMB signal $\Delta n^{(EK)}$ appears as Ellipticity



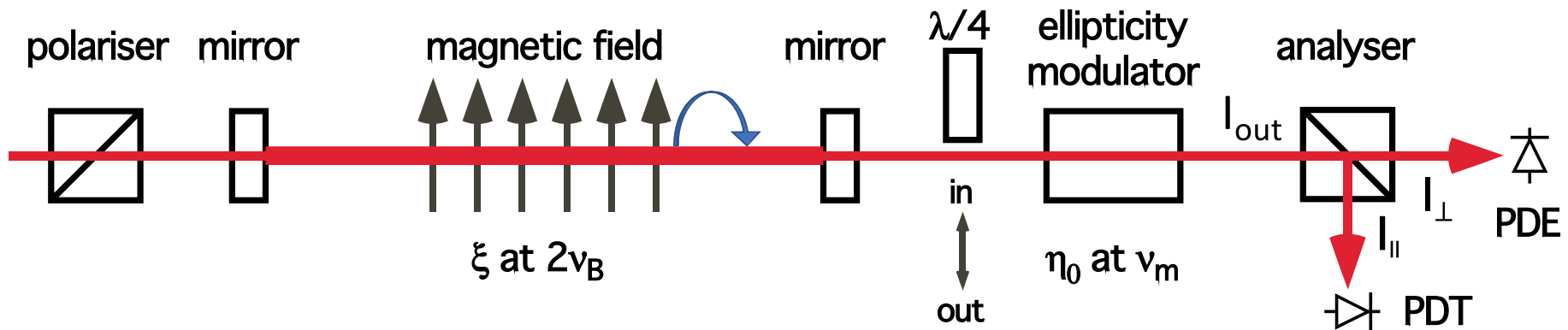
Scheme of PVLAS experiment

- Important components:
 - Rotating permanent magnet
 - Linear cavity
 - Ellipticity modulator
 - QWP for switching ellipticity/rotation measurements
 - PBS and PDs at detection port



Rotating permanent magnet

- Used to generate VMB signal ($\Delta n^{(EK)} = 3A_e B_{ext}^2$)
- Rotated to modulate VMB signal :
 - rotation at $\nu_B \Rightarrow$ **VMB signal appears at $2\nu_B$**



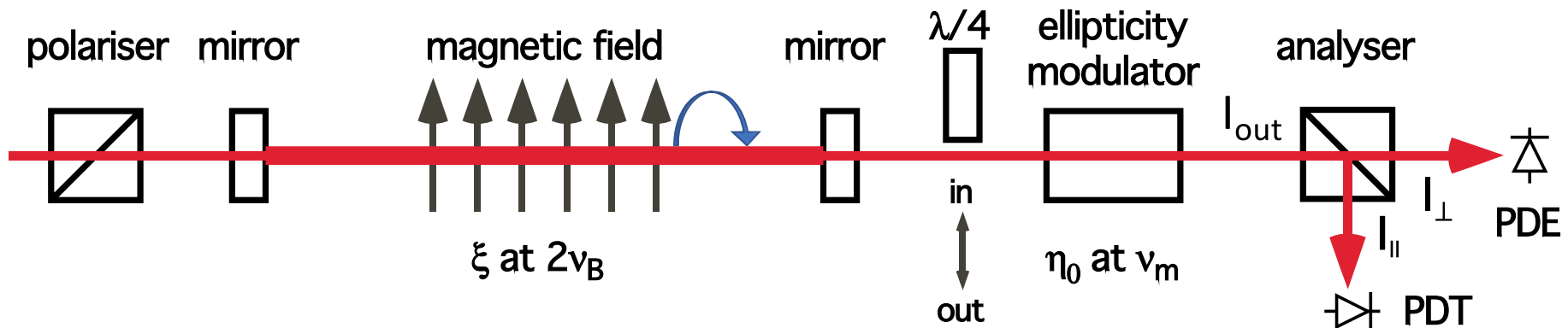
Linear cavity

- Ellipticity ψ and Rotation ϕ inside the cavity can be amplified when simultaneous resonance

$$\vec{E}_{out} = E_0 \frac{T}{1 - R} \begin{bmatrix} 1 + N(i\psi + \phi) \cos 2\theta \\ N(i\psi + \phi) \sin 2\theta \end{bmatrix}$$

$N \equiv \frac{2\mathcal{F}}{\pi}$: Amplification factor by finesse

*Birefringence of the mirror coatings can cause the resonant frequency difference between s/p-pols.



Ellipticity modulator

- Transmitted light from the cavity:

$$\vec{E}_{out} = E_0 \frac{T}{1-R} \begin{bmatrix} 1 + N(i\psi + \phi) \cos 2\theta \\ N(i\psi + \phi) \sin 2\theta \end{bmatrix}$$

VMB signal

⇒ need local an oscillator to detect the VMB signal in p-pol (phase)

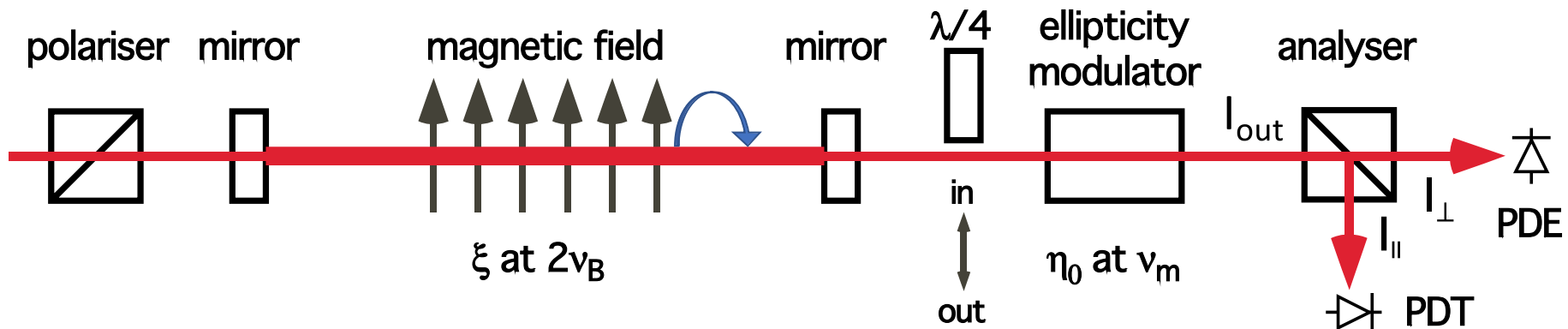
- Use **ellipticity modulator**:

$$MOD = \begin{bmatrix} 1 & i\eta_0 \sin 2\pi\nu_m t \\ i\eta_0 \sin 2\pi\nu_m t & 1 \end{bmatrix}$$

- Able to periodically change the ellipticity at ν_m
- Using photo-elastic effect

$$\vec{E}_{out} = E_0 \frac{T}{1-R} \begin{bmatrix} 1 + N(i\psi + \phi) \cos 2\theta \\ \underline{i\eta_0 \sin 2\pi\nu_m t} + N(i\psi + \phi) \cos 2\theta \end{bmatrix}$$

LO in phase quadrature (heterodyne detection)



QWP for switching ellipticity/rotation measurements

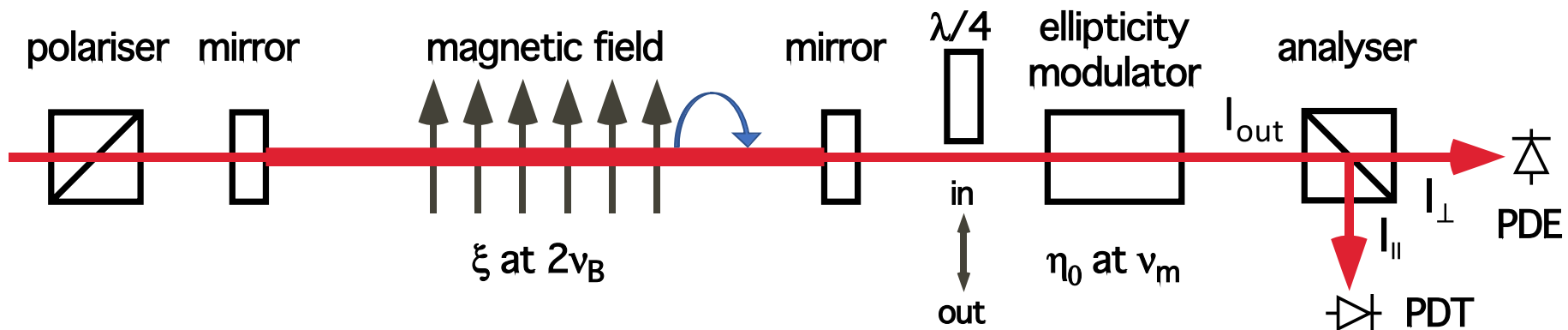
- By inserting QWP before ellipticity modulator, we can switch the measurement modes

➤ w/o QWP: Ellipticity measurement (without)

$$\vec{E}_{out} = E_0 \frac{T}{1-R} \begin{bmatrix} 1 + N(i\psi + \phi) \cos 2\theta \\ i\eta_0 \sin 2\pi\nu_m t + N(i\psi + \phi) \cos 2\theta \end{bmatrix}$$

➤ w/ QWP: Rotation measurement (without)

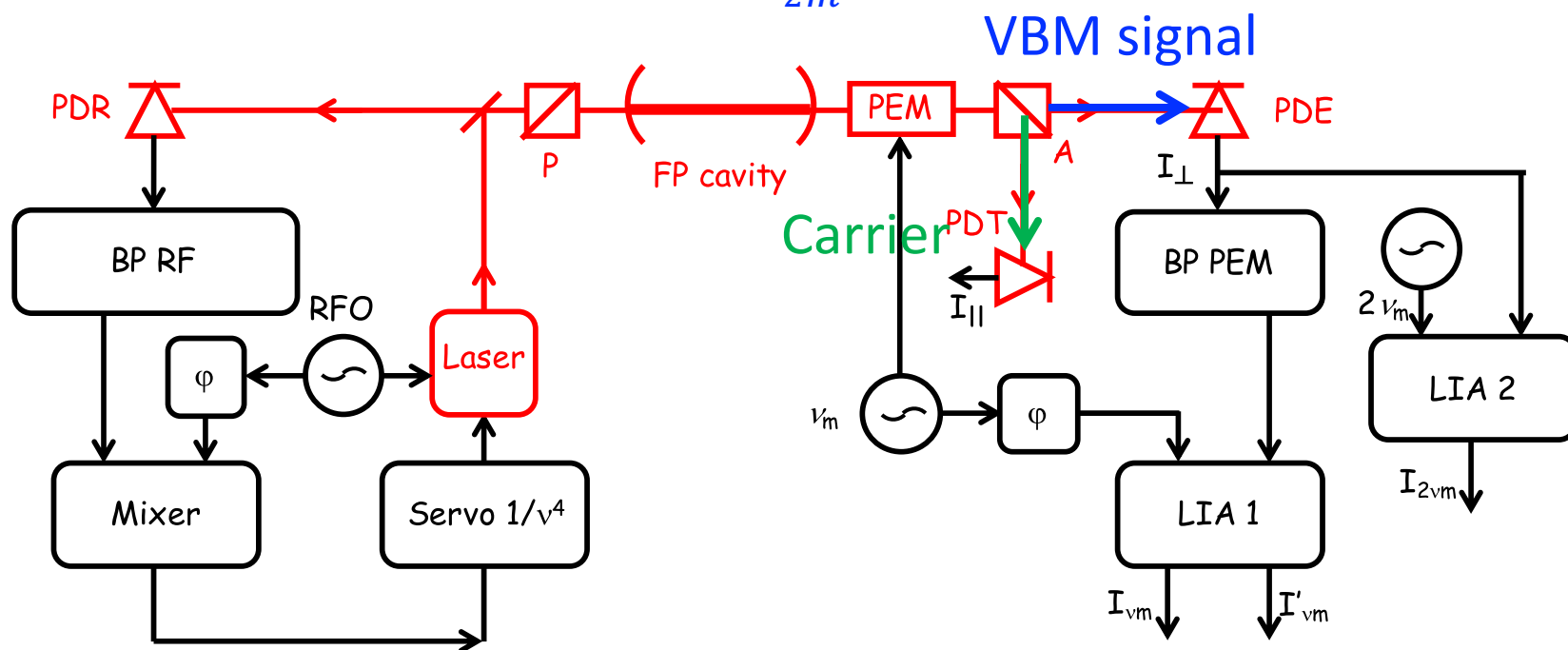
$$\vec{E}_{out} = E_0 \frac{T}{1-R} \begin{bmatrix} 1 + N(i\psi + \phi) \cos 2\theta \\ i\eta_0 \sin 2\pi\nu_m t + N(-\psi + i\phi) \cos 2\theta \end{bmatrix}$$



PDs at detection port

- Transmitted light is split to s- and p- pols. by a PBS
- By demodulating the PD outputs with ν_m , ellipticity signal can be obtained:
- VMB signal peak appears at $2\nu_B$

$$\Psi(t) = \frac{I_{\nu_m}(t) \eta_0}{I_{\nu_{2m}} 4}$$

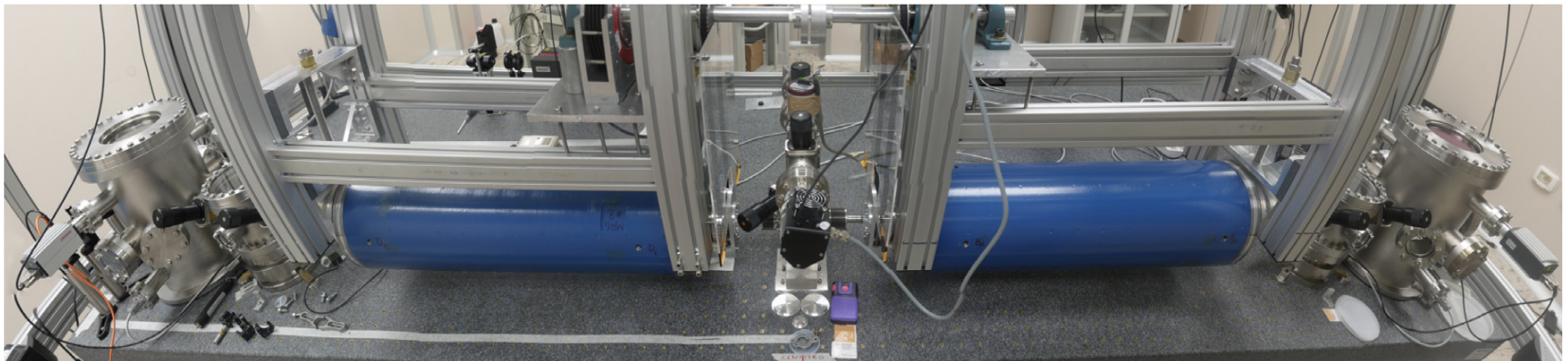
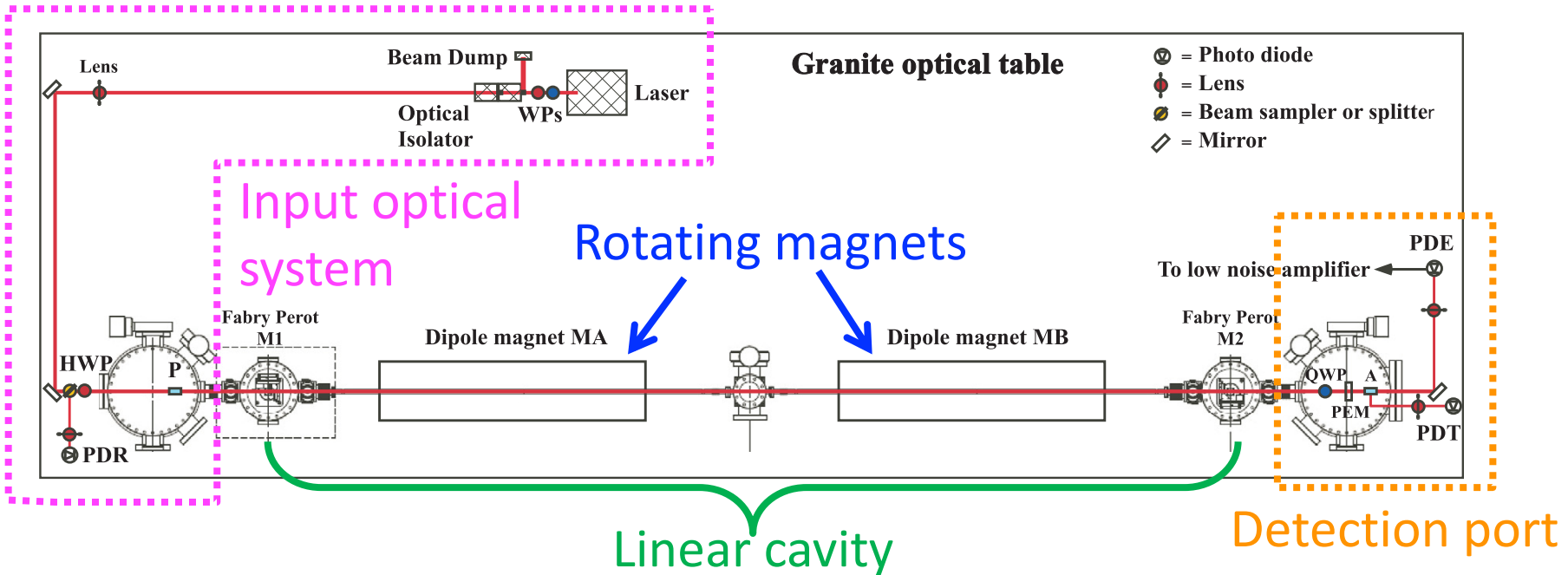


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PVLAS-FE

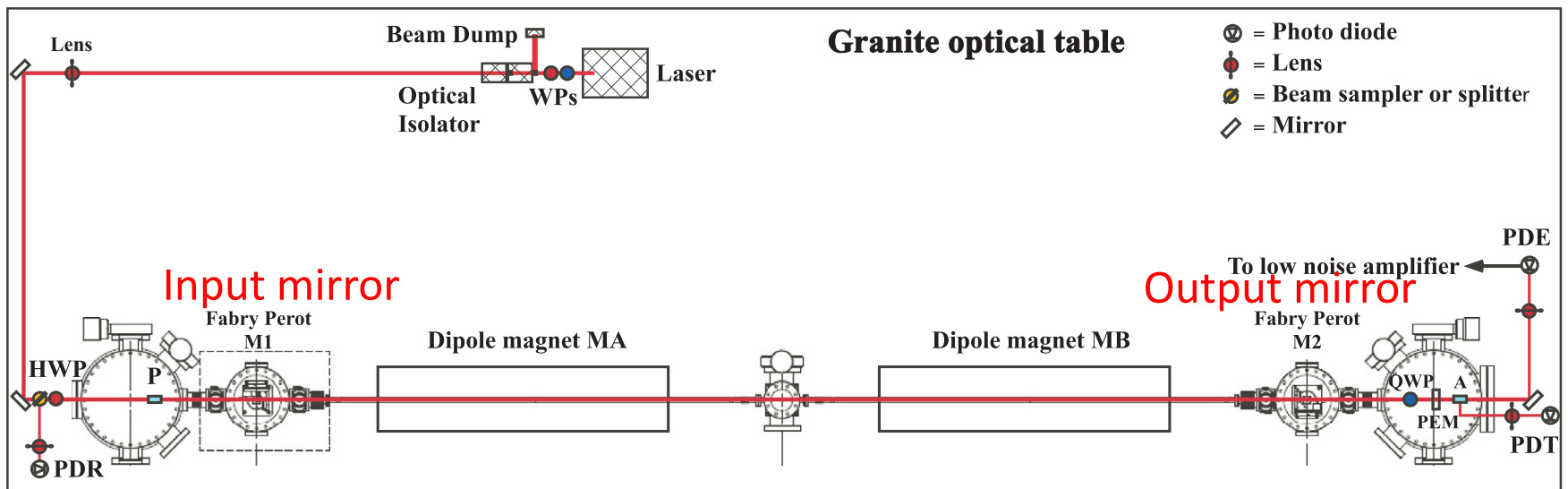
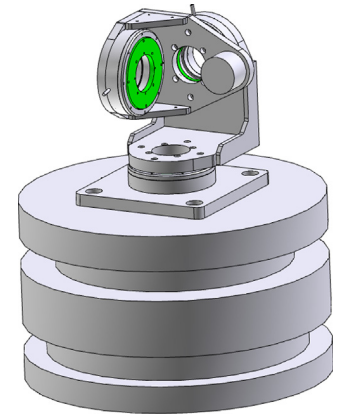
- PVLAS-FE: Final phase of the PVLAS experiment
 - Location: University of Ferrara, Italy



Optical system

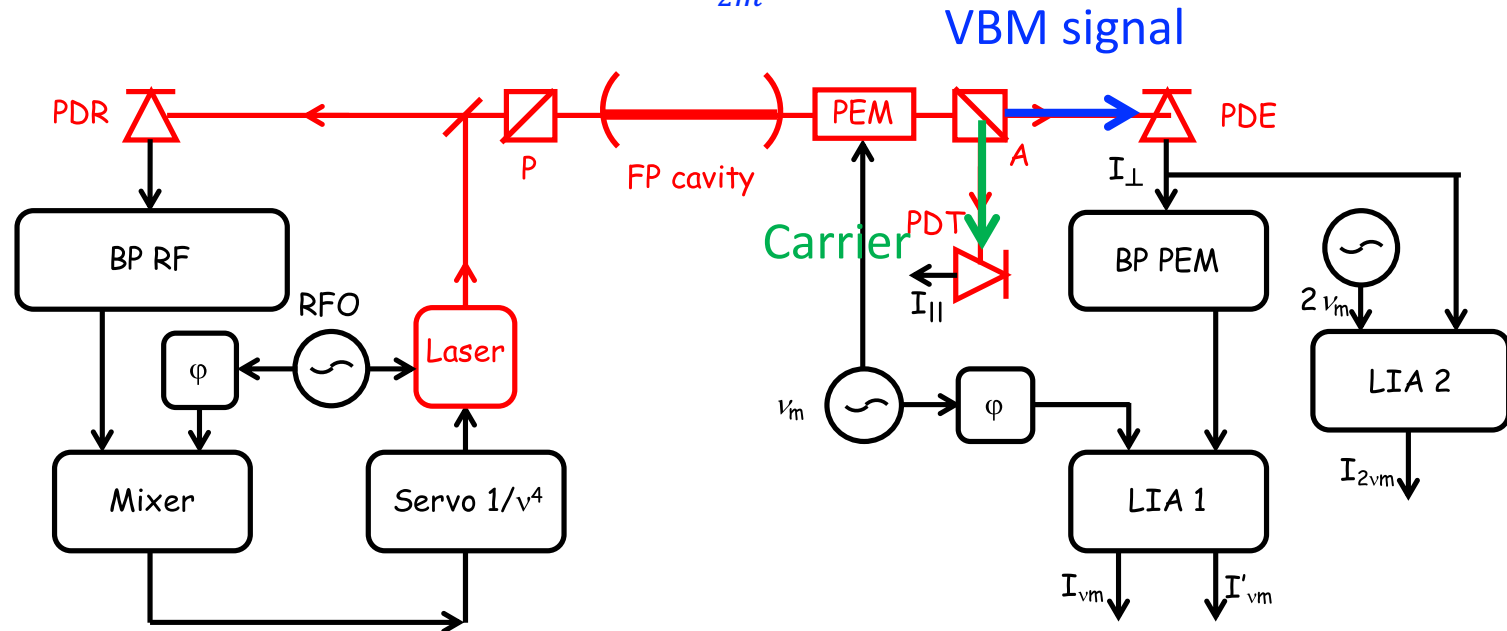
- Input optical system
 - Laser: Mephisto 2W (1064 nm)
 - Input power: 50 mW at the maximum
- Cavity
 - Length: 3.3 m (FSR~ 45 MHz)
 - **Finesse: $777,000 \pm 6000$** (Linewidth~59 Hz)
 - Mirror: $T = 2.4 \pm 0.2$ ppm, $Loss = 1.7 \pm 0.2$ ppm
 - Mirror mount can move in pitch, yaw and **roll** (for the alignment of the birefringent axis)

Mount for cavity mirrors



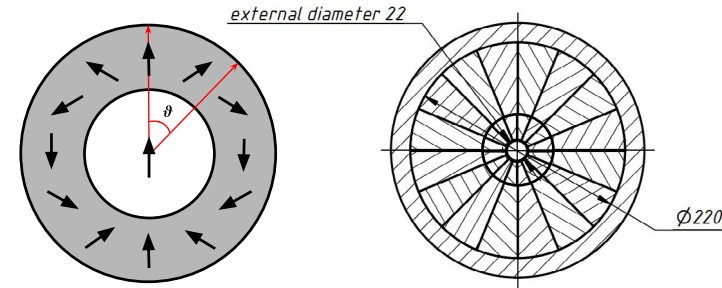
Cavity locking and data acquisition

- PDH method was used for the cavity locking
 - 718 kHz sidebands were generated using the PZT inside the laser
- Photo-elastic ellipticity modulator (PEM) for the local oscillator
 - Modulation depth η_0 : $3 \times 10^{-3} - 1 \times 10^{-2}$
 - Modulation frequency ν_m : 50 kHz
- Outputs of the PD at the detection port were sent to the lock-in amplifier
 - Calibrated ellipticity:
$$\Psi(t) = \frac{I_{\nu_m}(t) \eta_0}{I_{\nu_{2m}} 4}$$

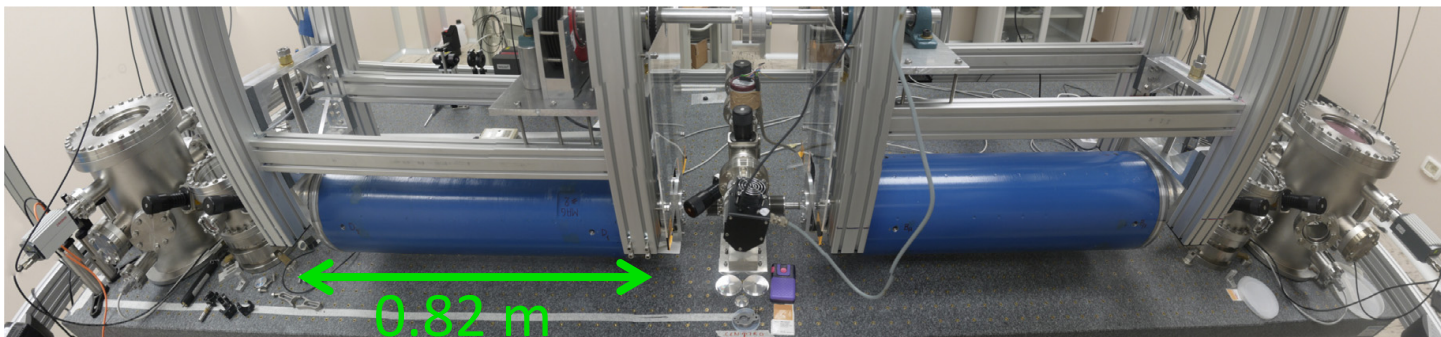
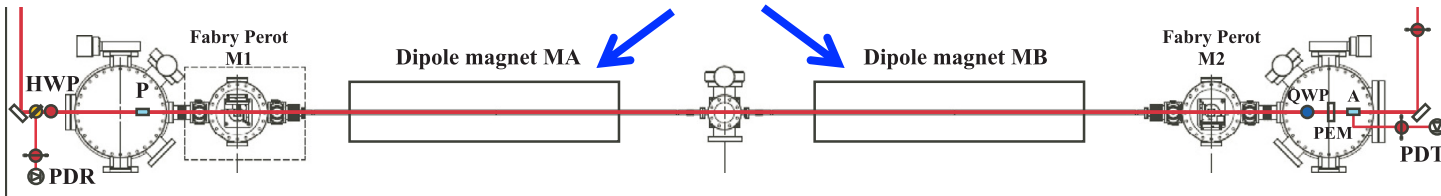


Rotating permanent magnets

- Nd-Fe-B sintered magnet: 2.5 T
- Halbach configuration
⇒ able to cancel the stray magnetic field
- Length: $L_B = 0.82$ m
- Rotation frequency: $\nu_B \sim 8$ Hz (depending on the run)
- Used two rotating magnets for the counter check (different ν_B for each magnet)

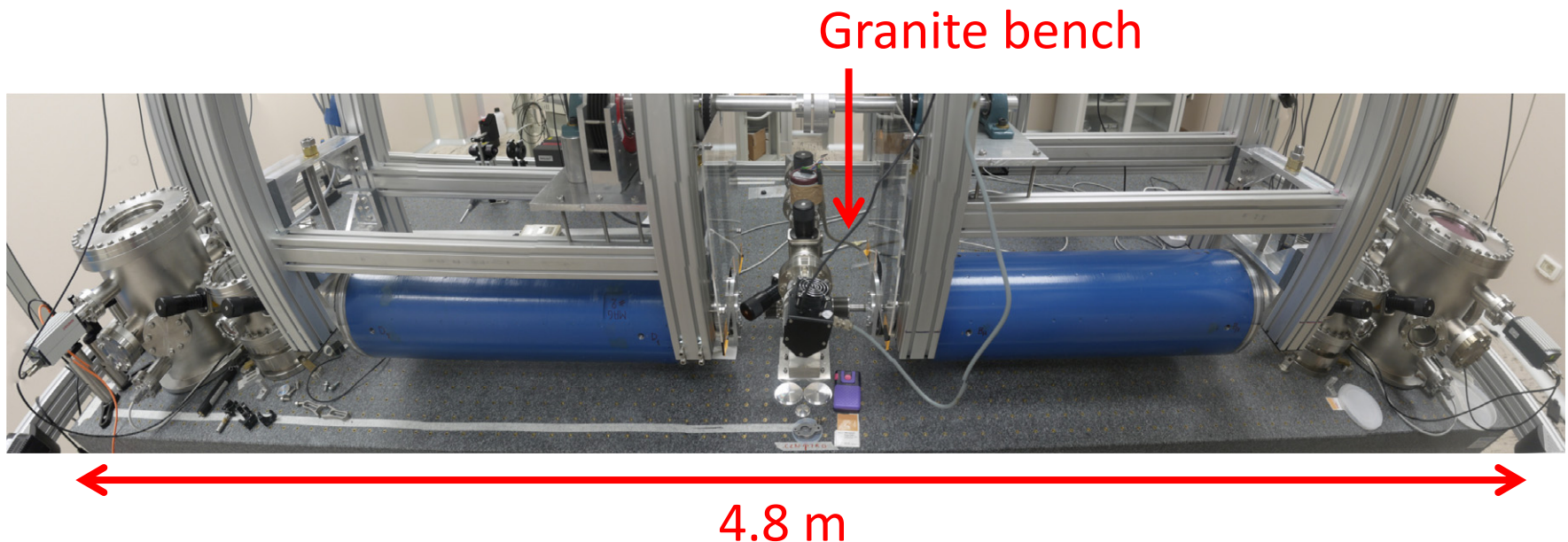


Rotating magnets



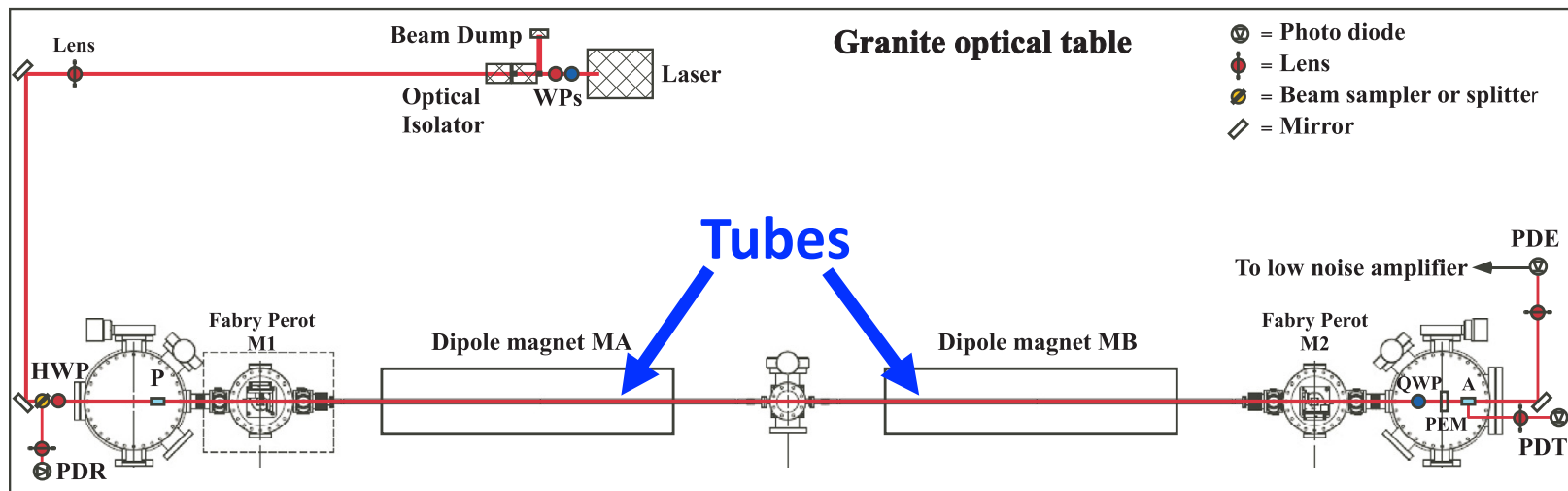
Optical bench and vibration isolation

- Stray magnetic field can produce magnetic force to optical components through eddy current
⇒ **Used a granite optical bench**
- Commercial active vibration isolation is used for the legs
 - BiAir® membrane spring air legs
 - Maintained the position of the bench within $10\ \mu\text{m}$



Vacuum tubes

- Gas in the magnetic field can exhibit birefringence:
Cotton-Mouton effect (can be used for the calibration)
⇒ Need vacuum to reduce the noise
 - Achieved pressure: 2×10^{-6} Pa
- Two tubes inside the magnets
 - Made of non-magnetic materials (borosilicate glass, silicon nitride ceramics)
 - Diameter: ~ 18 mm



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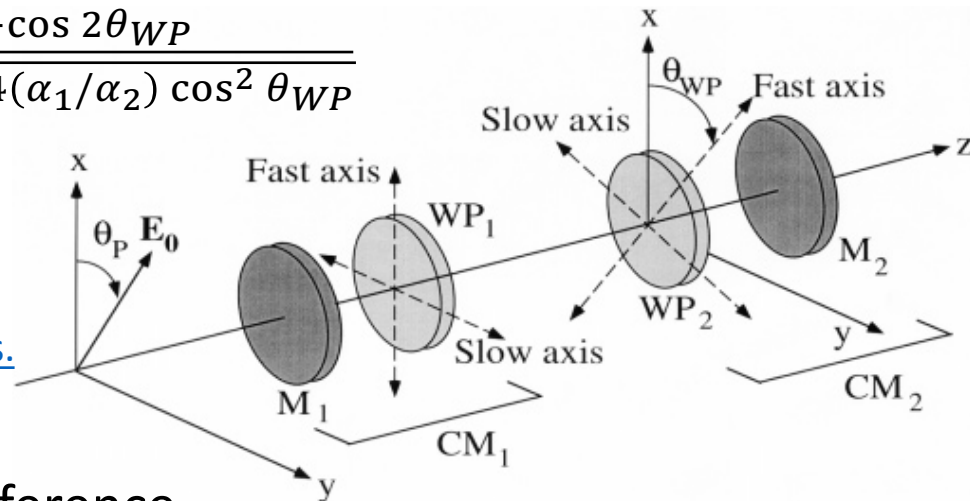
Resonant frequency difference of linear cavity

- Birefringence of mirror coating causes resonant frequency difference even in a linear cavity
 - Birefringence of M_1 and $M_2 \Rightarrow$ wave plates: WP_1 and WP_2
 - WP_1 and $WP_2 \Rightarrow$ **an effective WP: WP_{EQ}**

Phase difference: $\alpha_{EQ} = \sqrt{(\alpha_1 - \alpha_2)^2 + 4\alpha_1\alpha_2 \cos^2 \theta_{WP}}$

Angle of axis: $\theta_{EQ} = \frac{\alpha_1/\alpha_2 + \cos 2\theta_{WP}}{\sqrt{(\alpha_1/\alpha_2 - 1)^2 + 4(\alpha_1/\alpha_2) \cos^2 \theta_{WP}}}$

[F.Brandi et al. Appl. Phys. B 65, 351-355 \(1997\)](#)



- α_{EQ} causes resonant frequency difference
- θ_{EQ} defines the angle of the eigen linear polarizations in front of M_1
- θ_{WP} determines the eigen polarization modes inside the cavity (Linear for $\theta_{WP} = 0, \pi/2, \pi, 3\pi/2$, Elliptic for other values)

Issues on resonant frequency difference

- Polarization inside cavity needs to be linear to interact with the VMB
- Resonant frequency difference degrades the signal amplification by the cavity like DANCE

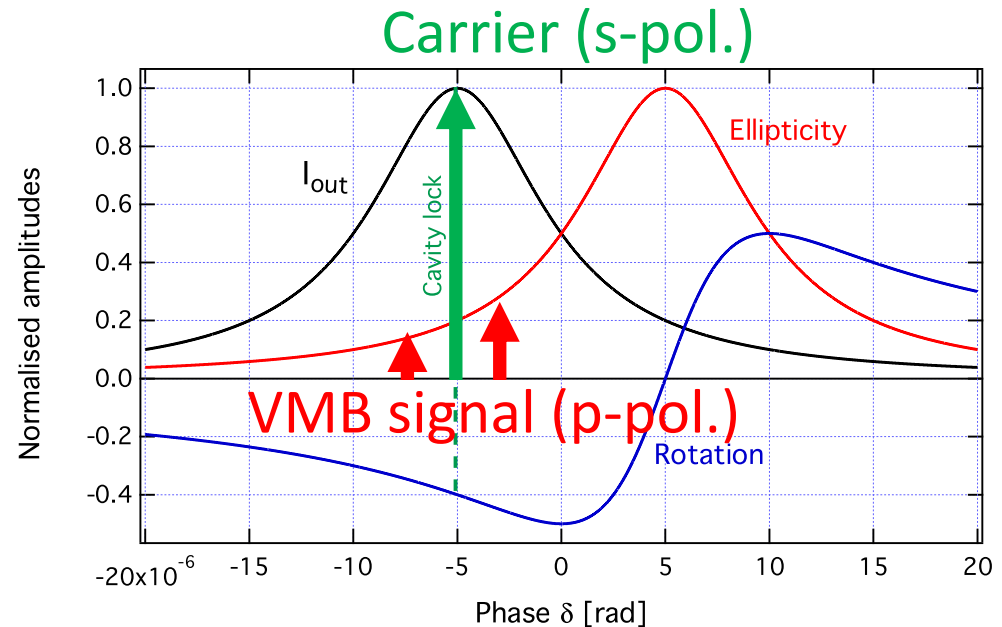


Align the slow axis of M1 to the **fast** axis of M2 to cancel out the phase difference α_{EQ}

$$\Leftrightarrow \theta_{WP} = \pi/2, 3\pi/2$$

How can we align it?

- α_{EQ} causes resonant frequency difference
- θ_{EQ} defines the angle of the eigen linear polarization in front of M1
- θ_{WP} determines the eigen polarization modes inside the cavity (Linear for $\theta_{WP} = 0, \pi/2, \pi, 3\pi/2$, Elliptic for other values)



How to align birefringent axis

- Resonant frequency difference also causes **the signal mixing of ellipticity ψ and rotation ϕ** due to the detuned cavity:

$$\text{Measured "Ellipticity": } i\Psi = i \frac{N}{1+N^2 \sin^2 \alpha_{EQ}/4} \left[\psi + \underbrace{N \frac{\alpha_{EQ}}{2} \phi}_{\text{Mixed rotation}} \right]$$

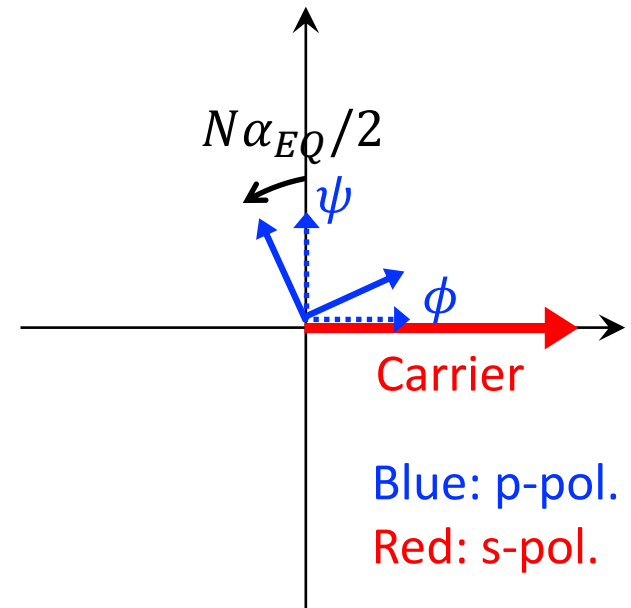
Actual ellipticity ψ

$$\text{Measured "Rotation": } \Phi = \frac{N}{1+N^2 \sin^2 \alpha_{EQ}/4} \left[\phi - \underbrace{N \frac{\alpha_{EQ}}{2} \psi}_{\text{Mixed ellipticity}} \right]$$

Actual rotation ϕ

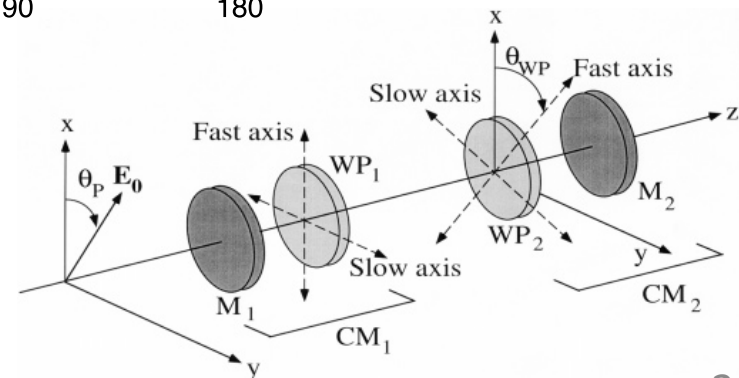
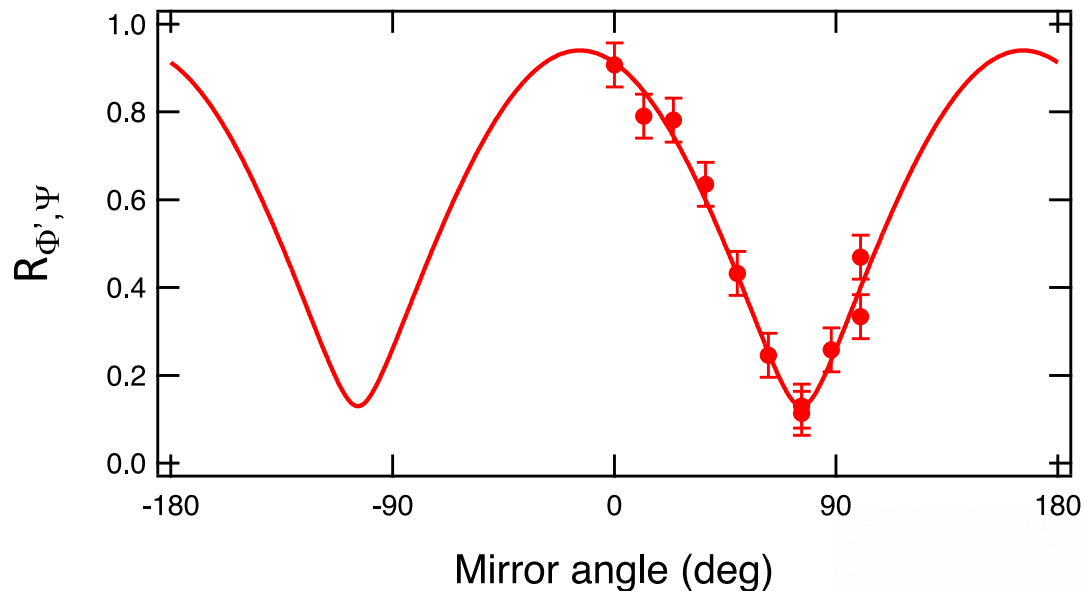
- We can use this mixing to measure α_{EQ}**
 - Prepare intentional birefringence with gas (Cotton-Mouton effect)
 - Measure its ellipticity and rotation and take their ratio:

$$R_{\Phi',\Psi} = \Phi/\Psi = \frac{N}{2} \alpha_{EQ}$$
 - Measure α_{EQ} changing birefringent axis of one of the cavity mirrors



How to align birefringent axis

- Results: $\alpha_{EQ} = 0.6 \mu\text{rad} - 4.3 \mu\text{rad}$
 - DANCE: $\sim 10 \text{ mrad} / \text{mirror}$ (*mechanism is different)
- α_{EQ} was set to $0.6 \mu\text{rad}$ during the VMB measurement



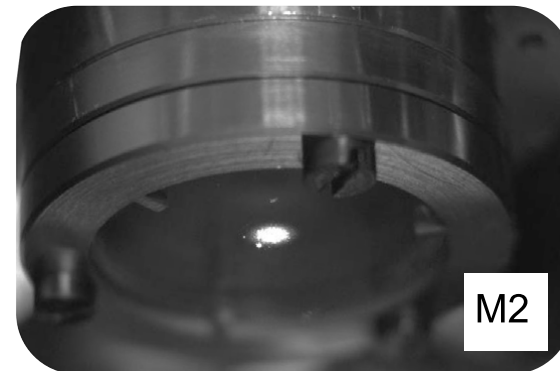
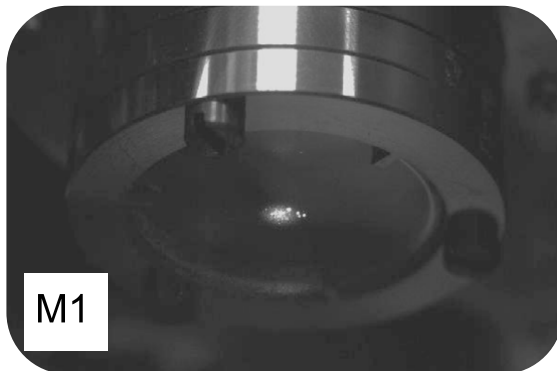
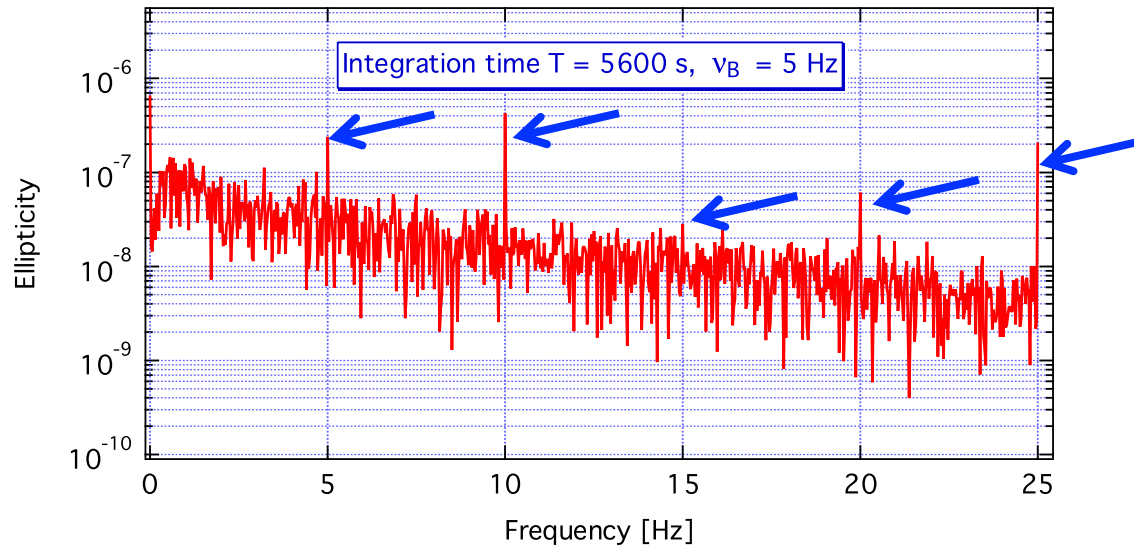
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In-phase spurious peak by scattered light

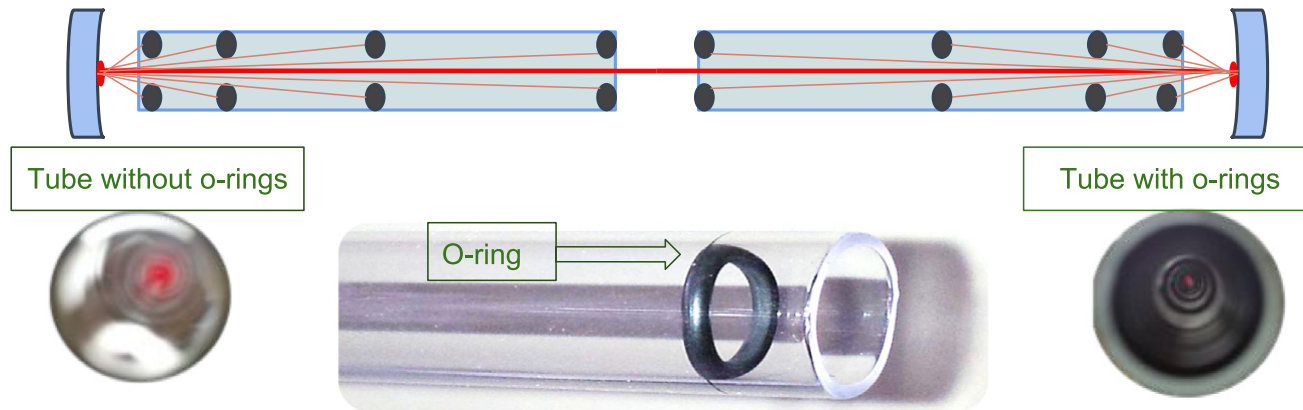
- Signals at harmonics of the magnet rotation (5 Hz) in the ellipticity spectrum

⇒ Scattered light modulated by the oscillation of the vacuum tubes caused these peaks

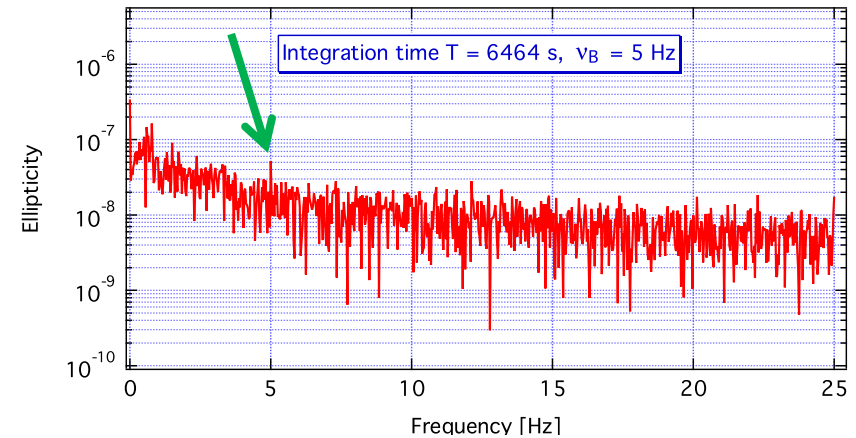
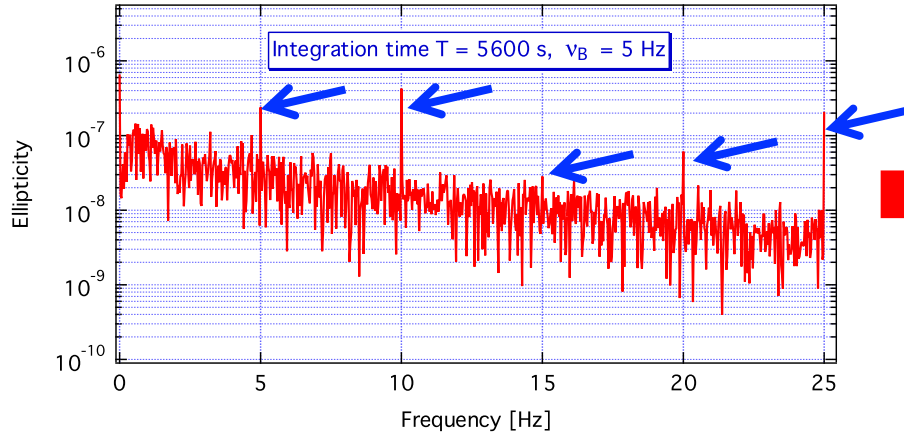


Baffles for prevention of scattered light

- Inserted baffles inside the vacuum tubes
⇒ **Spurious peaks disappeared greatly** except for remaining peak at 5 Hz



Remaining peak



Remaining peak: Faraday rotation by mirror coating

- Remaining peak at 5 Hz does not affect the VMB signal at 10 Hz
- This peak was caused by the Faraday rotation on the mirror coating induced by stray magnetic field:

Faraday rotation: $\Phi_F = NC_{Ver}B_{long}$ Stray magnetic field along cavity axis

Verdet constant per reflection

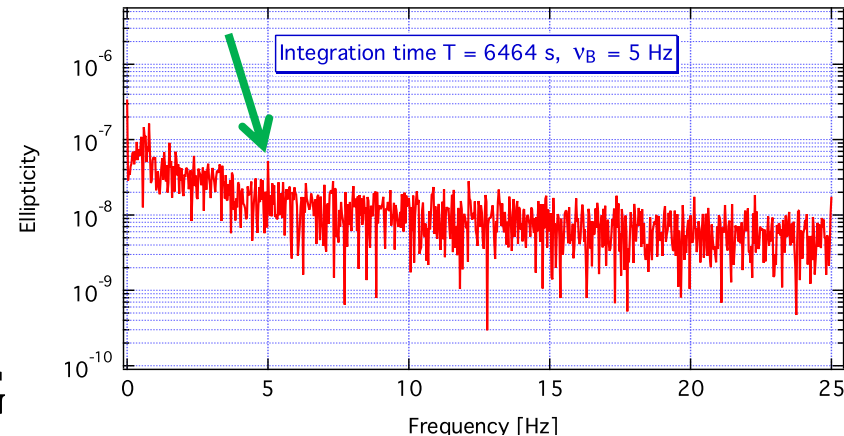
($\sim 0.37 \times 10^{-9}$ rad/G by [E.Iacopini et al. Appl. Phys. A 32, 63-67 \(1983\)](#))

- Estimated stray field along cavity axis from the equation : $\sim 2 \times 10^{-4}$ G



Plausible from the observed stray field around mirror: < 0.1 G

Remaining peak

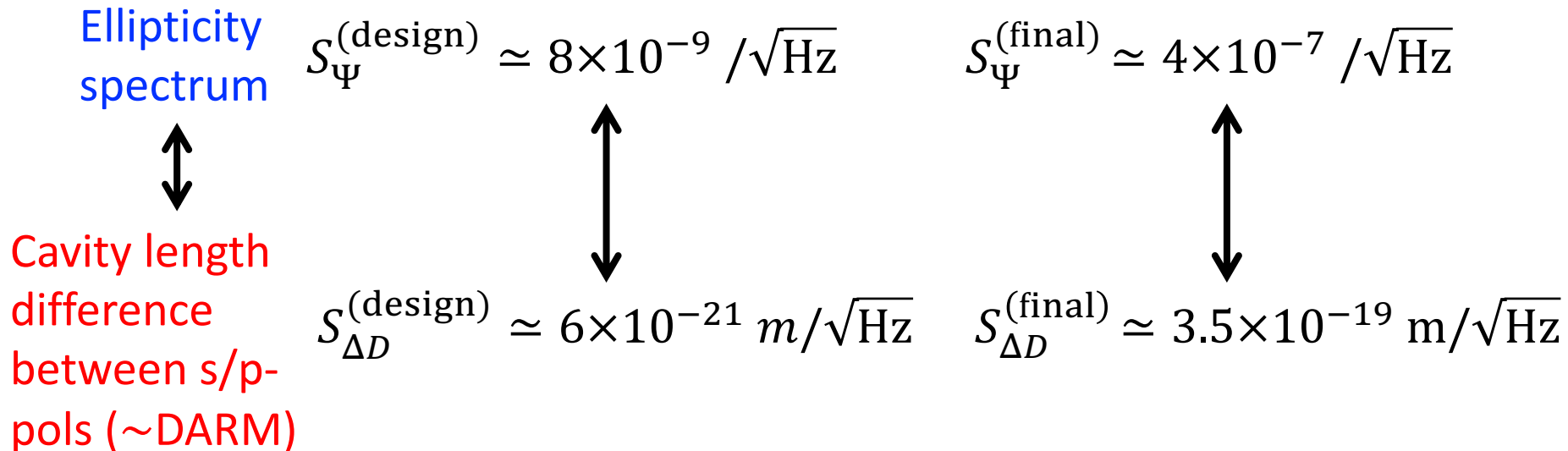


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Design and final sensitivities

- Design and final sensitivities at 16 Hz:



- A factor of ~ 50 times worse than the design sensitivity
- Remaining wide band noise is not fully understood
 - Possible cause: Birefringence noise of the mirror coatings induced by thermal fluctuations (**Thermoelastic noise**)

Thermoelastic noise

- Mechanism and calculation of the thermoelastic noise:
 1. Small volume elements of the mirror coatings have temperature fluctuations, and it causes stress fluctuations
 2. Stress fluctuations causes birefringence fluctuations through photo-elastic effect
 3. Average birefringence fluctuations over the whole volume defined by the beam diameter and the optical depth

$$S_{\Delta\mathcal{D}} = 2d_e\sqrt{2}C_{SO}Y\alpha_T S_T = d_e C_{SO} Y \alpha_T \sqrt{\frac{8k_B T^2}{\pi r_0^2 \sqrt{\pi \rho C_T \lambda_T \nu}}} \propto \nu^{-1/4}$$

Fused silica $S_{\Delta\mathcal{D}}^{(\text{FS})} \simeq 4 \times 10^{-21} \text{ m}/\sqrt{\text{Hz}}$

Tantala $S_{\Delta\mathcal{D}}^{(\text{Ta})} \simeq (1 - 5) \times 10^{-19} \text{ m}/\sqrt{\text{Hz}}$

Plausible compared with $S_{\Delta\mathcal{D}}^{(\text{final})} \simeq 3.5 \times 10^{-19} \text{ m}/\sqrt{\text{Hz}}$

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Limit on VMB

- PVLAS-FE conducted observation runs from 2014 to 2016
 - Total observation time: $T_{obs} \sim 5 \times 10^6 \text{ s} \sim 2 \text{ months}$
- Set the best limit on VMB:

$$\left\{ \begin{array}{l} \Delta n^{(\text{PVLAS-FE})} \simeq (12 \pm 17) \times 10^{-23} @ B = 2.5 \text{ T} \\ |\Delta \kappa|^{(\text{PVLAS-FE})} \simeq (10 \pm 28) \times 10^{-23} @ B = 2.5 \text{ T} \end{array} \right.$$

* QED prediction: $\Delta n^{(\text{EK})} = 2.5 \times 10^{-23} @ B = 2.5 \text{ T}$

⇒ A factor of 7 worse than the QED prediction

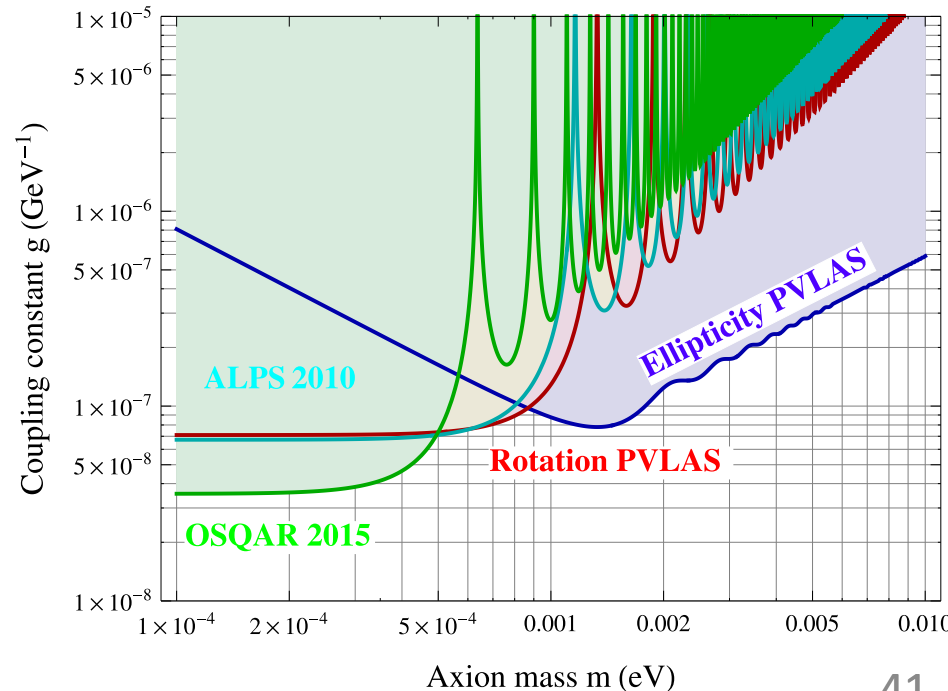
- Although longer observation time can improve the SNR, 50 times longer integration ($\sim 8 \text{ years}$) is needed to reach SNR=1
- PVLAS-FE ended in 2017 due to the practical impossibility to decrease the wide band noise (thermoelastic noise?)

Limit on Axion Like Particles

- Axion Like Particles produce the birefringence and the dichroism in the presence of external magnetic field:

$$\begin{cases} |\Delta n| \simeq \frac{1}{2} \left(\frac{g_{a\gamma} B_{ext}}{2m_a} \right)^2 \left(1 - \frac{\sin 2\chi}{2\chi} \right)^2 \\ |\Delta \kappa| \simeq \frac{2}{\omega L_B} \left(\frac{g_{a\gamma} B_{ext} L_B}{4} \right)^2 \left(\frac{\sin \chi}{\chi} \right)^2 \end{cases} \quad \chi \equiv \frac{L_B m_a^2}{4\omega}$$

- PVLAS-FE VMB limits
 \Rightarrow Upper limit on axion-photon coupling constant: $g_{a\gamma}$
- Worse than CAST ($\sim 10^{-10} \text{ GeV}^{-1}$), but best model-independent limit



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Summary

- PVLAS: experiment for the vacuum magnetic birefringence (VMB) using a linear cavity and rotating magnets
- Analyzes the ellipticity of the transmitted polarization
- Result: $\Delta n^{(\text{PVLAS-FE})} \simeq (12 \pm 17) \times 10^{-23} @ B = 2.5 \text{ T}$
 - A factor of 7 worse than the QED prediction: $\Delta n^{(\text{EK})} = 2.5 \times 10^{-23} @ 2.5 \text{ T}$
 - Also set the upper bound for axion like particles
 - Limiting noise source: thermal birefringence noise of mirror coatings(?)

